



AUG 14 2013

Mary A. Papazian  
President  
Southern Connecticut State University  
501 Crescent Street  
New Haven, CT 06515-1355

Certified Mail  
Return Receipt Requested

RE: **Final Program Review Determination**  
OPE ID: 001406  
PRCN: 201220227806

Dear President Papazian:

The U.S. Department of Education's (Department's) School Participation Team – New York/Boston issued a program review report on May 4, 2012 covering Southern Connecticut State University's (SCSU) administration of programs authorized by Title IV of the Higher Education Act of 1965, as amended, 20 U.S.C. §§ 1070 et seq. (Title IV, HEA programs), for the 2010/2011 and 2011/2012. SCSU's final response was received on November 7, 2012. A copy of the program review report (and related attachments) and SCSU's response are attached. Any supporting documentation submitted with the response is being retained by the Department and is available for inspection by SCSU upon request. Additionally, this Final Program Review Determination (FPRD), related attachments, and any supporting documentation may be subject to release under the Freedom of Information Act (FOIA) and can be provided to other oversight entities after this FPRD is issued.

**Purpose:**

Final determinations have been made concerning all of the outstanding findings of the program review report. The purpose of this letter is to: (1) identify liabilities resulting from the findings of this program review report, (2) provide instructions for payment of liabilities to the Department, and (3) notify the institution of its right to appeal. The total liabilities due from the institution from this program review are \$930,056.00

This final program review determination contains detailed information about the liability determination for all findings.

**Protection of Personally Identifiable Information (PII):**

PII is any information about an individual which can be used to distinguish or trace an individual's identity (some examples are name, social security number, date and place of birth). The loss of PII can result in substantial harm, embarrassment, and inconvenience to individuals and may lead to identity theft or other fraudulent use of the information. To protect PII, the findings in the attached report do not contain any student PII. Instead, each finding references students only by a student number created by Federal Student Aid. The student numbers were assigned in Appendix A, Student Level Detail and Appendix B, Student Level Detail for Student's with Liabilities Identified from a File Review.

**Appeal Procedures:**

This constitutes the Department's FPRD with respect to the liabilities identified from the May 4, 2012 program review report. If SCSU wishes to appeal to the Secretary for a review of monetary liabilities established by the FPRD, the institution must file a written request for an administrative hearing. The Department must receive the request no later than 45 days from the date SCSU receives this FPRD. An

**Federal Student Aid**

An OFFICE of the U.S. DEPARTMENT of EDUCATION

New York/Boston School Participation Division

5 Post Office Square, 9<sup>th</sup> Floor, Suite 950-A, Boston, MA 02109-3921

StudentAid.gov

original and four copies of the information SCSU submits must be attached to the request. The request for an appeal must be sent to:

Ms. Mary E. Gust, Director  
Administrative Actions and Appeals Service Group  
U.S. Department of Education  
Federal Student Aid/PC  
830 First Street, NE - UCP3, Room 84F2  
Washington, DC 20002-8019

SCSU's appeal request must:

- (1) indicate the findings, issues and facts being disputed;
- (2) state the institution's position, together with pertinent facts and reasons supporting its position;
- (3) include all documentation it believes the Department should consider in support of the appeal. An institution may provide detailed liability information from a complete file review to appeal a projected liability amount. Any documents relative to the appeal that include PII data must be redacted except the student's name and last four digits of his / her social security number (please see the attached document, "Protection of Personally Identifiable Information," for instructions on how to mail "hard copy" records containing PII); and
- (4) include a copy of the FPRD. The program review control number (PRCN) must also accompany the request for review.

If the appeal request is complete and timely, the Department will schedule an administrative hearing in accordance with § 487(b)(2) of the HEA, 20 U.S.C. § 1094(b)(2). The procedures followed with respect to SCSU's appeal will be those provided in 34 C.F.R. Part 668, Subpart H. **Interest on the appealed liabilities shall continue to accrue at the applicable value of funds rate, as established by the United States Department of Treasury, or if the liabilities are for refunds, at the interest rate set forth in the loan promissory note(s).**

**Record Retention:**

Program records relating to the period covered by the program review must be retained until the later of: resolution of the loans, claims or expenditures questioned in the program review; or the end of the retention period otherwise applicable to the record under 34 C.F.R. §§ 668.24(e)(1), (e)(2), and (e)(3).

The Department expresses its appreciation for the courtesy and cooperation extended during the review. If the institution has any questions regarding this letter, please contact Shari Mecca at (646) 428-3757. Questions relating to any appeal of the FPRD should be directed to the address noted in the Appeal Procedures section of this letter.

Sincerely,

(b)(6)

Division Director

Enclosure:  
Protection of Personally Identifiable Information  
Final Program Review Determination

cc: Gloria Lee, Director of Financial Aid  
Connecticut Board of Regents for Higher Education  
New England Association of Schools and Colleges

Prepared for  
**Southern Connecticut State  
University**

**Federal Student Aid**  
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OPE ID 001406  
PRCN 201220227806

Prepared by  
U.S. Department of Education  
Federal Student Aid  
School Participation Division – New York/Boston

# Final Program Review Determination

August 14, 2013

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**A. Institutional Information**

Southern Connecticut State University  
501 Crescent Street  
New Haven, CT 06515-1355

Type: Public

Highest Level of Offering: Master's Degree or Doctor's Degree

Accrediting Agency: New England Association of Schools and Colleges - CHE

Current Student Enrollment: 10,800 (Spring 2012 per school's website)

% of Students Receiving Title IV: 56.5% (2011/2012 as reported by the school)

Title IV Participation (from PEPS):

	<b>2011-2012 AY</b>
William D. Ford Federal Direct Loan Program (Direct Loan)	\$ 66,438,813.00
Federal Pell Grant Program (Pell)	\$ 11,878,778.00
Federal Supplemental Educational Opportunity Grant Program (FSEOG)	\$ 393,181.00
Federal Work Study Program (EWS)	\$ 257,170.00
Federal Perkins Loan Program (Perkins)	\$ 1,225,232.00

Default Rate FFEL/DL:	2010	5.2%
	2009	3.9%
	2008	7.1%
	2007	6.4%

Default Rate Perkins:	2011	13.3%
	2010	10.6%
	2009	15.7%
	2008	20.3%

## **B. Scope of Review**

The U.S. Department of Education (the Department) conducted a program review at Southern Connecticut State University (SCSU) from February 28, 2012 to March 2, 2012. The review was conducted by Shari Mecca and Stephen Podeszwa.

The focus of the review was to determine SCSU's compliance with the statutes and federal regulations as they pertain to the institution's administration of Title IV programs, specifically for withdrawn students. The review consisted of, but was not limited to, an examination of SCSU's policies and procedures regarding institutional and student eligibility, individual student financial aid and academic files, attendance records, and student account ledgers.

A sample of 15 files was identified for review from the 2010-2011 and 2011-2012 (year to date) award years. The files were selected randomly from a statistical sample of the total population of withdrawn students receiving Title IV, HEA program funds for each award year. In addition, 10 files were selected based on the student having a 0 grade point average (GPA) for a semester in the award year. Appendix A lists the names and partial social security numbers of the students whose files were examined during the program review.

### **Disclaimer:**

Although the review was thorough, it cannot be assumed to be all-inclusive. The absence of statements in the report concerning SCSU's specific practices and procedures must not be construed as acceptance, approval, or endorsement of those specific practices and procedures. Furthermore, it does not relieve SCSU of its obligation to comply with all of the statutory or regulatory provisions governing the Title IV, HEA programs.

## **C. Findings and Final Determinations**

### **Resolved Findings**

Findings 2, 3, 5, 6 and 7

SCSU has taken the corrective actions necessary to resolve findings 2, 3, 5, 6 and 7 of the program review report. Therefore, these findings may be considered closed. SCSU's response can be found in Appendices D and E. Findings requiring further action by SCSU are discussed below.

### **Findings with Final Determinations**

The program review report findings requiring further action are summarized below. At the conclusion of each finding is a summary of SCSU's response to the finding, and the Department's final determination for that finding. A copy of the program review report issued on May 4, 2012 is attached as Appendix C.

Note: Any additional costs to the Department, including interest, special allowances, cost of funds, unearned administrative cost allowance, etc., are not included in individual findings, but instead are included in the summary of liabilities table in Section D of the report.

## **Finding 1. Failure to Confirm Enrollment Status**

### **Noncompliance:**

An institution may disburse Title IV, HEA program funds to a student or parent for a payment period only if the student is enrolled for classes for that payment period and is eligible to receive those funds. 34 C.F.R. § 668.164(b)(3). If a student does not begin attendance in a payment period or period of enrollment, the institution must return all Title IV, HEA program funds that were credited to the student's account at the institution or disbursed directly to that student for that payment period or period of enrollment. 34 C.F.R. § 668.21(a). If a student's enrollment status changes in a payment period the institution must recalculate the student's Title IV award. Only those classes in which the student began attendance can be used to determine the student's adjusted award. See 34 C.F.R. § 685.303(b), 690.80(b)(2)(ii).

A student who ceases attendance at an institution that is not required to take attendance without providing official notification to the institution, in writing or orally, of his or her intent to withdraw, the withdrawal date is the midpoint of the payment period or the period of enrollment. 34 C.F.R. § 668.22(c)(1)(iii). In interpreting this regulation, the Department has made clear that if a student who began attendance and has not officially withdrawn fails to earn a passing grade in at least one course offered over an entire period, the institution must assume, for Title IV purposes, that the student has unofficially withdrawn, unless the institution can document that the student completed the period. See Federal Student Aid Handbook, Volume 2. If the student did not begin the institution's withdrawal process or otherwise provide official notification to the institution of his or her intent to withdraw because of illness, accident, grievous personal loss, or other such circumstances beyond the student's control, the date that the institution determines is related to that circumstance is the student's withdrawal date. 34 C.F.R. § 668.22(c)(1)(iv)(C).

The review team found that SCSU's procedures to monitor student's enrollment status for purposes of determining Title IV eligibility is not adequate for students who unofficially stop attending an academic term or particular classes. The reviewers were unable to determine the enrollment status for students who received a grade point average of 0. A conversation was held with staff members present at the exit interview regarding the lack of a working process for verifying enrollment statuses. Staff acknowledged that since SCSU is not required to take attendance, it is difficult to get professors to cooperate in confirming attendance. SCSU was informed that it must have a process in place to determine whether any student who failed to receive any passing grades during a term established eligibility for the Title IV funds disbursed to the student during the period. If an institution does not have an adequate system to determine whether students actually began enrollment in any classes in a term, it cannot assume that a student attended through the midpoint of the term if it subsequently identifies that the student did not complete that term.

Failure to confirm a student's actual enrollment status in a term may result in a student receiving funds for periods of non-enrollment, or may receive funds based on an incorrect enrollment status – especially for the Pell Grant program. Failure to properly document a student's withdrawal date at an institution may result in the institution performing a return of Title IV calculation that could result in the institution keeping more Title IV funds than it is entitled to, thereby creating an increased expense to the Department.

### **Directives from Program Review Report:**

SCSU was required to confirm the enrollment status for each Title IV recipient who received all non-passing grades (term GPA of 0) for the 2010/2011 and 2011/2012 award years, including the students cited in this finding. If SCSU could not document any attendance for the period of

enrollment, it was informed that all Title IV funds paid to the student for the enrollment period would be an institutional liability. In addition, if SCSU was able to document attendance for some but not all of the courses, an adjustment to Title IV funds received would be necessary. For students who are documented to have started classes and stopped attending unofficially, SCSU could use as the withdrawal date the midpoint of the payment period or period of enrollment, or SCSU could use a documented last day of attendance at, or participation in, any academically-related activity as documentation of the student's last day of attendance. SCSU was directed to adjust the enrollment status and Title IV awards for any student(s) who did not begin attendance in the classes that their awards were based upon.

SCSU was also required to revise the procedures for identifying unofficial withdrawals and for students who do not begin attendance during a semester, and submit the revisions with the institution's response. Since students are allowed to withdraw from classes through an online process which could affect their eligibility for Title IV funds, it was recommended that withdrawals done online are not only reported on the SFASTCA screen in Banner, but are also put on a report that the financial aid office can review to ensure refunds and adjustments are done in the proper timeframe. At the time of the review, this information was not carried over to the Financial Aid Department.

**Final Determination:**

SCSU performed the required file reviews for students that received a 0 GPA for the 2010/2011 and 2011/2012 award years. SCSU's file reviews identified several additional students who were not eligible for part or all of their Title IV disbursements due to not being enrolled for the same number of credits as awarded for or for not attending any or all classes. The file reviews also identified students who had withdrawn from the institution. Results of these file reviews can be found in Appendices E-1 through E-4.

SCSU has revised policies and procedures to account for unofficial withdrawals. In addition, SCSU has implemented a procedure to monitor online withdrawals and their effect on Title IV eligibility.

After reviewing SCSU's file review results, the following is due the Department for this finding:

2010/2011 Pell Grant Principal	\$ 80,952.00
2010/2011 Pell Grant Interest	\$ 1,142.84
2010/2011 FSEOG Principal (Federal Share Only)	\$ 375.00
2010/2011 FSEOG Interest	\$ 6.15
2010/2011 ACG Principal	\$ 375.00
2010/2011 ACG Interest	\$ 4.67
2010/2011 SMART Grant Principal	\$ 2,000.00
2010/2011 SMART Grant Interest	\$ 32.82
2010/2011 Direct Subsidized Loan Principal	\$ 92,192.00
2010/2011 Direct Unsubsidized Loan Principal	\$ 97,468.00
2010/2011 Direct PLUS Loan Principal	\$ 5,375.00
2010/2011 Direct Loan Interest	\$ 2,820.00
2011/2012 Pell Grant Principal	\$ 56,117.00
2011/2012 Pell Grant Interest	\$ 230.98
2011/2012 FSEOG Principal (Federal Share Only)	\$ 1,500.00
2011/2012 FSEOG Interest	\$ 3.86
2011/2012 Direct Subsidized Loan Principal	\$ 73,485.00
2011/2012 Direct Unsubsidized Loan Principal	\$ 96,245.00
2011/2012 Direct PLUS Loan Principal	\$ 13,539.00

2011/2012 Direct Loan Interest	\$ 762.00
Total	\$524,626.32

Details of this liability can be found in Appendix F.

SCSU must notify all students and/or borrowers in writing regarding payments made on their behalf. This notification must include the amount and date of the payments.

Total liabilities for this finding are \$524,626.32.

Students cited in this finding (11, 32, 33, and 37,) can also be found in Appendix B as liabilities have been assessed for these students. Appendix B assigns different numbers to the students that have liabilities due. Appendix A has a cross-reference to Appendix B. **Student 37 (156 in Appendix B)** had funds returned in August 2012; therefore, the only liability assessed is for interest due the Department for this student. The other three students are being assessed liabilities for principal and interest. Liabilities associated with **Student 38** are assessed in **Finding 4.**

#### **Finding 4. Late or Missing Return to Title IV Calculation (R2T4)**

##### **Noncompliance:**

When a recipient of Title IV funds withdraws from an institution during a payment period or period of enrollment in which the recipient began attendance, the Institution must determine the amount of Title IV funds that the student earned as of the student's withdrawal date. 34 C.F.R. § 668.22(a).

For an institution that is not required to take attendance, the student's withdrawal date is:

1. The date that the student began the withdrawal process prescribed by the institution;
2. The date that the student otherwise provided official notification to the institution, in writing or orally, or his or her intent to withdraw;
3. If the student ceases attendance without providing official notification to the institution of his or her withdrawal, the mid-point of the payment period (or period of enrollment, if applicable);
4. If the institution determines that a student did not begin the institution's withdrawal process or other provide official notification to the institution of his or her intent to withdraw because of illness, accident, grievous personal loss, or other such circumstances beyond the student's control, the date that the institution determines is related to that circumstance;
5. If a student does not return from an approved leave of absence, the date that the institution determines the student began the leave of absence; or
6. If a student takes a leave of absence, the date that the student began the leave of absence.

34 C.F.R. § 668.22(c). An institution that is not required to take attendance may also use as the student's withdrawal date a student's last date of attendance at an academically-related activity provided that the institution documents that the activity is academically related and documents the student's attendance at the activity. 34 C.F.R. § 668.22(c)(3).

The percentage of the payment period or period of enrollment completed is determined by dividing the total number of calendar days in the payment period or period of enrollment into the number of calendar days completed in that period as of the student's withdrawal date for a program that is measured in credit hours. The total number of calendar days in a payment period or period of enrollment includes all days within the period, except that scheduled breaks of at least five consecutive days are excluded from the total number of calendar days in a payment period or period of enrollment and the number of calendar days completed in that period. The total number of calendar days in a payment period or period of enrollment does not include days in which the student was on an approved leave of absence. 34 C.F.R. § 668.22(f).

An institution must return the amount of Title IV funds for which it is responsible as soon as possible but no later than 45 days after the date of the institution's determination that the student withdrew. 34 C.F.R § 668.22(j).

The Department found that SCSU did not perform R2T4 calculations timely for 2 students, and for 1 student, a R2T4 calculation was not performed. In August 2011, the institution performed a self-audit of academic year 2010-2011 to ensure that a withdrawal calculation was processed for each financial aid recipient that was on the withdrawal spreadsheet. During that self-audit, it was determined that SCSU failed to perform a R2T4 calculation for one student on the list.

**Directives From Program Review Report:**

SCSU was required to perform a file review for the 2011-2012 award year to confirm that the required R2T4 calculations had been performed for all official and unofficial withdrawals. **Student #23 and #38** were included in the file review.

In addition, SCSU was required to provide the results of the self-audit to the Department in the form of a spreadsheet that includes name, SSN, return amount, Title IV program, withdrawal date, SCSU's determination date, and return date.

**Final Determination:**

SCSU performed the required file review for all students who withdrew during the 2011/2012 award year. In addition, SCSU also performed a file review for the 2010-2011 award year. SCSU's response can be found in Appendices E-5 and E-6. During the file review, SCSU identified several additional students where a R2T4 calculation was not performed or was performed incorrectly.

SCSU has revised policies and procedures to account for unofficial withdrawals. In addition, SCSU has implemented a procedure to monitor online withdrawals and its effect on Title IV eligibility.

After reviewing SCSU's file review results and applying the applicable rounding rules, the following is due the Department for this finding:

2010/2011 Pell Grant Principal	\$ 18,723.00
2010/2011 Pell Grant Interest	\$ 257.20
2010/2011 Perkins Loan Principal	\$ 8,310.00
2010/2011 Perkins Loan Interest	\$ 75.55
2010/2011 SMART Grant Principal	\$ 292.00
2010/2011 SMART Grant Interest	\$ 4.35
2010/2011 Direct Subsidized Loan Principal	\$ 73,093.00
2010/2011 Direct Unsubsidized Loan Principal	\$131,911.00

2010/2011 Direct PLUS Principal	\$ 991.00
2010/2011 Direct Loan Interest	\$ 2,709.00
2011/2012 Pell Grant Principal	\$ 5,695.00
2011/2012 Pell Grant Interest	\$ 22.28
2011/2012 Direct Subsidized Loan Principal	\$ 40,210.00
2011/2012 Direct Unsubsidized Loan Principal	\$116,706.00
2011/2012 Direct PLUS Principal	\$ 5,989.00
2011/2012 Direct Loan Interest	\$ 443.00

Total: \$405,431.38

Details of this liability can be found in Appendix G.

SCSU must notify all students and/or borrowers in writing regarding payments made on their behalf. This notification must include the amount and date of the payments.

Students cited in this finding (5, 23, and 38) can also be found in Appendix B as liabilities have been assessed for these students. Appendix B assigns different numbers to the students that have liabilities due. Appendix A has a cross-reference to Appendix B. Student 5 (389 in Appendix B) had funds returned in August 2011; therefore, the only liability assessed is for interest due the Department for this student. The other two students are being assessed liabilities for principal and interest.

**D. Summary of Liabilities**

The total amount calculated as liabilities from the findings in the program review determination is as follows.

Liabilities	Pell (Closed Award Year)	FSEOG	ACG	SMART	DL	Perkins	
Finding 1	\$137,069	\$1,875	\$375	\$2,000	\$378,304		
Finding 4	\$24,418			\$292	\$368,900	\$8,310	
<b>Subtotal 1</b>	<b>\$161,487</b>	<b>\$1,875</b>	<b>\$375</b>	<b>\$2,292</b>	<b>\$747,204</b>	<b>\$8,310</b>	
Interest Finding 1	\$1,373.82	\$10.01	\$4.67	\$32.82	\$3,582.00		
Interest Finding 4	\$279.48			\$4.35	\$3,152.00	\$75.55	
<b>Subtotal 2</b>	<b>\$1,653.30</b>	<b>\$10.01</b>	<b>\$4.67</b>	<b>\$37.17</b>	<b>\$6,734.00</b>	<b>\$75.55</b>	
<b>Rounded Subtotal 2</b>	<b>\$1,653.00</b>	<b>\$10.00</b>	<b>\$4.00</b>	<b>\$37.00</b>	<b>\$6,734.00</b>	<b>\$75.00</b>	
<b>TOTAL</b>	<b>\$163,140.00</b>	<b>\$1,885.00</b>	<b>\$379.00</b>	<b>\$2,329.00</b>	<b>\$753,938.00</b>	<b>\$8,385.00</b>	
<b>Payable To:</b>							<b>Totals</b>
<b>Department</b>	<b>\$163,140.00</b>	<b>\$1,885.00</b>	<b>\$379.00</b>	<b>\$2,329.00</b>	<b>\$753,938.00</b>		<b>\$921,671.00</b>
Students							
Lenders							
<b>Inst Accounts</b>						<b>\$8,385.00</b>	<b>\$8,385.00</b>

## E. Payment Instructions

### 1. Liabilities Owed to the Department

#### **Liabilities Owed to the Department \$100,000 or More**

SCSU owes to the Department \$921,671.00. This liability must be paid using an electronic transfer of funds through the Treasury Financial Communications System, which is known as FEDWIRE. SCSU must make this transfer **within 45 days of the date of this letter**. This repayment through FEDWIRE is made via the Federal Reserve Bank in New York. If SCSU's bank does not maintain an account at the Federal Reserve Bank, it must use the services of a correspondent bank when making the payments through FEDWIRE.

Any liability of \$100,000 or more identified through a program review must be repaid to the Department via FEDWIRE. The Department is unable to accept any other method of payment in satisfaction of these liabilities.

***Payment and/or adjustments made via G5 will not be accepted as payment of this liability. Instead, the school must first make any required adjustments in COD as required by the applicable finding(s) and Section II – Instructions by Title IV, HEA Program (below), remit payment, and upon receipt of payment the Department will apply the funds to the appropriate G5 award (if applicable).***

Instructions for completing the electronic fund transfer message format are included on the attached FEDWIRE form.

#### Terms of Payment

As a result of this final determination, the Department has created a receivable for this liability and payment must be received by the Department within **45 days of the date of this letter**. If payment is not received within the 45-day period, interest will accrue in monthly increments from the date of this determination, on the amounts owed to the Department, at the current value of funds rate in effect as established by the Treasury Department, until the date of receipt of the payment. SCSU is also responsible for repaying any interest that accrues. If you have any questions regarding interest accruals or payment credits, contact the Department's Accounts Receivable Group at (202) 245-8080 and ask to speak to SCSU's account representative.

If full payment cannot be made within 45 days of the date of this letter, contact the Department's Accounts Receivable Group to apply for a payment plan. Interest charges and other conditions apply. Written request may be sent to:

U.S. Department of Education  
OCFO Financial Management Operations  
Accounts Receivable Group  
550 12th Street, S.W., Room 6114  
Washington, DC 20202-4461

If within 45 days of the date of this letter, SCSU has neither made payment in accordance with these instructions nor entered into an arrangement to repay the liability under terms satisfactory to the Department, the Department intends to collect the amount due and payable by administrative offset against payments due SCSU from the Federal Government. SCSU may **object to the collection by offset only by challenging the existence or amount of the debt**. To challenge the debt, SCSU must **timely appeal** this determination under the procedures

described in the "Appeal Procedures" section of the cover letter. The Department will use those procedures to consider any objection to offset. **No separate appeal opportunity will be provided.** If a timely appeal is filed, the Department will defer offset until completion of the appeal, unless the Department determines that offset is necessary as provided at 34 C.F.R. § 30.28. This debt may also be referred to the Department of the Treasury for further action as authorized by the Debt Collection Improvement Act of 1996.

**2. Liabilities Owed to the Department in the case of Direct Loans**

**William D. Ford Federal Direct Loan (Direct Loan) Liabilities:**

**Direct Loan Closed Award Years (Request Extended Processing)**

Findings 1 and 4  
 Appendices F and G

SCSU must repay the following Direct Loan liabilities:

<b>DL Closed Award Years</b>			
Amount (Principal)	Amount (Interest)	DL Program	Award Year
\$ 165,285.00	\$ 2,269.00	Subsidized	2010/2011
\$ 229,379.00	\$ 3,173.00	Unsubsidized	2010/2011
\$ 6,366.00	\$ 87.00	PLUS	2010/2011
\$ 113,695.00	\$ 413.00	Subsidized	2011/2012
\$ 212,951.00	\$ 717.00	Unsubsidized	2011/2012
\$ 19,528.00	\$ 75.00	PLUS	2011/2012
<b>Total Principal</b>	<b>Total Interest</b>		
<b>\$ 747,204.00</b>	<b>\$ 6,734.00</b>		

The disbursement record for each student identified in the appendix listed above must be adjusted in the Common Origination and Disbursement (COD) system based on the recalculated amount identified in the appendix. A copy of the adjustment to each student's COD record must be sent to Shari Mecca **within 45 days of the date of this letter.**

**Adjustments in COD must be completed prior to remitting payment to the Department.** Payment cannot be accepted via G5. Once the Department receives payment via FEDWIRE, the Department will apply the principal payment to the applicable G5 award. The interest will be applied to the general program account.

**Request Extended Processing**

COD adjustments are necessary for the closed award year(s) listed above. Before any student level adjustments can be processed, SCSU must immediately request extended processing through the COD Website (<http://cod.ed.gov>).

- Click on the Request Post Deadline/Extended Processing link under the School menu.

- On the request screen, the institution should indicate in their explanation that the request is based on a program review, and provide the program review control number.
- The institution will be notified of the status of the request at the time of submission, and will also be notified by email to the FAA and President when extended processing has been authorized. At that time, the school must transmit student/borrower level adjustments to COD for the closed award year(s).

**3. Liabilities Owed to the Department in the case of Title IV Grants**

**Pell, ACG, and SMART – Closed Award Year**

Findings: 1 and 4  
 Appendices: F and G

SCSU must repay:

Pell, ACG, and SMART Closed Award Year			
Amount (Principal)	Amount (Interest)	Title IV Grant	Award Year
\$ 99,675.00	\$ 1,400.00	Pell Grant	2010/2011
\$ 61,812.00	\$ 253.00	Pell Grant	2011/2012
\$ 375.00	\$ 4.00	ACG	2010/2011
\$ 2,292.00	\$ 37.00	SMART Grant	2010/2011
<b>Total Principal</b>	<b>Total Interest</b>		
<b>\$164,154.00</b>	<b>\$1,694.00</b>		

The disbursement record for each student identified in the appendices to the applicable finding(s) must be adjusted in the Common Origination and Disbursement (COD) system based on the recalculated amount identified in the appendices. A copy of the adjustment to each student's COD record must be sent to Shari Mecca **within 45 days of the date of this letter.**

**Adjustments in COD must be completed prior to remitting payment to the Department. Payment cannot be accepted via G5. Once the Department receives payment via FEDWIRE, the Department will apply the principal payment to the applicable G5 award. The interest will be applied to the general program account.**

**4. Campus Based Programs**

Finding 1  
 Appendix F

SCSU must repay \$1,875.00 in FSEOG principal and \$10.00 in FSEOG interest which will be applied to the general FSEOG fund.

## **5. Institutional Accounts**

### Finding 4 Appendix G

SCSU must deposit \$8,385.00 in its Federal Perkins Loan Revolving Fund. National Student Loan-Data-System (NSLDS) must be corrected for each borrower to reflect the new reduced or cancelled Perkins Loan amount.

As a result of the unpaid returns and the resulting excess interest paid by the students, SCSU must pay the total amount of \$8,385.00 by crediting each borrower's Perkins loan for the amount identified in Appendix G.

SCSU must submit the following **within 45 days of the date of this letter** to Shari Mecca:

- a copy of the front and back of the cancelled check(s), or copies of an electronic transfer of funds, to verify that the payment has been made to the Federal Perkins Loan account
- documentation that the individual NSLDS loan records have been updated
- documentation that the students' Perkins loan accounts at the school have also been properly credited, as applicable

## **6. FISAP Corrections**

### Findings 1 and 4 Appendices: F and G

SCSU must make corrections to its FISAP for award year(s) 2010/2011 and 2011/2012 as follows:

- Log into eCB and make change(s) to the Working Copy, click on Submit and choose "Change Request". Provide the justification for the changes in the comments box, including that the changes are a result of a program review and include the Program Review Control Number (PRCN 201220227806).
- Once the request is approved, submit the changes within 5 days.
- Changes to the FISAP may result in changes to subsequent FISAPS. Contact the eCB Call Center at (877) 801-7168 for assistance in making this determination.
- If the recalculation of the school's funding results in an unprocessed deobligation (negative balance), return those funds via G5 in accordance with the automated notification from eCB.

SCSU must submit proof of the FISAP corrections and payment via G5 for any unprocessed deobligation (if applicable) to Shari Mecca **within 45 days of the date of this letter**.

✓  
Final Program Review Determination  
PRCN #: 201220227806

Appendix A  
Student Level Detail

**Appendix A - Student Sample to the Final Program Review Determination**

School: Southern Connecticut State University  
OPEID: 001406  
PRCN: 201220227806

**Confidential - This document contains Personally Identifiable Information**

Student No.	Award Year	Last Name	First Name	Last 4 of SSN	Institution ID #
-------------	------------	-----------	------------	---------------	------------------

(b)(6); (b)(7)(C)

See #389 in Appendix B

See #297 in Appendix B

See #350 in Appendix B

See #103 in Appendix B

See #257 in Appendix B

See #308 in Appendix B

See #156 in Appendix B

See #320 in Appendix B

Final Program Review Determination  
PRCN #: 201220227806

Appendix B

Student Level Detail for Student's with  
Liabilities Identified from a File Review

**Appendix B - Student Level Detail for Student's with Liabilities Identified from a file review**

School: Southern Connecticut State University  
OPEID: 001406  
PRCN: 201220227806

**Confidential - This document contains Personally Identifiable Information**

<b>Student No.</b>	<b>Award Year</b>	<b>Last Name</b>	<b>First Name</b>	<b>Last 4 SSN</b>	<b>Institution ID #</b>
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(b)(6); (b)(7(C))					
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J  
Final Program Review Determination  
PRCN #: 201220227806

Appendix C ✓

Program Review Report Dated May 4, 2012

PRR



MAY 4 2012

Mary A. Papazian  
President  
Southern Connecticut State University  
501 Crescent Street  
New Haven, CT 06515-1355

*Certified Mail*  
*Return Receipt Requested*  
*Domestic Return Receipt*  
7006 2760 0002 1693 9479

RE: **Program Review Report**  
OPE ID: 001406  
PRCN: 201220227806

Dear Ms. Papazian:

From February 28, 2012 through March 2, 2012, Shari Mecca and Stephen Podeszwa, as representatives of the U.S. Department of Education conducted a review of Southern Connecticut State University's (SCSU) administration of the programs authorized pursuant to Title IV of the Higher Education Act of 1965, as amended, 20 U.S.C. §§ 1070 et seq. (Title IV, HEA programs). The findings of that review are presented in the enclosed report.

Findings of noncompliance are referenced to the applicable statutes and regulations and specify the action required to comply with the statute and regulations. Please review the report and respond to each finding, indicating the corrective actions taken by SCSU. The response should include a brief, written narrative for each finding that clearly states SCSU's position regarding the finding and the corrective action taken to resolve the finding. Separate from the written narrative, SCSU must provide supporting documentation as required in each finding.

Please note that pursuant to HEA section 498A(b), the Department is required to:

- (1) provide to the institution an adequate opportunity to review and respond to any preliminary program review report<sup>1</sup> and relevant materials related to the report before any final program review report is issued;
- (2) review and take into consideration an institution's response in any final program review report or audit determination, and include in the report or determination –
  - a. A written statement addressing the institution's response;
  - b. A written statement of the basis for such report or determination; and
  - c. A copy of the institution's response.

<sup>1</sup> A "preliminary" program review report is the program review report. The Department's final program review report is the Final Program Review Determination (FPRD).

Federal Student Aid, School Participation Team - New York/Boston Team  
Financial Square, 32 Old Slip, 25<sup>th</sup> Floor, New York, NY 10005-3534  
[www.FederalStudentAid.ed.gov](http://www.FederalStudentAid.ed.gov)

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The Department considers the institution's response to be the written narrative (to include e-mail communication). Any supporting documentation submitted with the institution's written response will not be attached to the FPRD. However, it will be retained and available for inspection by SCSU upon request. Copies of the program review report, the institution's response, and any supporting documentation may be subject to release under the Freedom of Information Act (FOIA) and can be provided to other oversight entities after the FPRD is issued.

The institution's response should be sent directly to Shari Mecca of this office within 60 calendar days of receipt of this letter.

**Protection of Personally Identifiable Information (PII):**

PII is any information about an individual which can be used to distinguish or trace an individual's identity (some examples are name, social security number, date and place of birth). The loss of PII can result in substantial harm, embarrassment, and inconvenience to individuals and may lead to identity theft or other fraudulent use of the information. To protect PII, the findings in the attached report do not contain any student PII. Instead, each finding references students only by a student number created by Federal Student Aid. The student numbers were assigned in Appendix A, Student Sample. Please see the enclosure Protection of Personally Identifiable Information for instructions regarding submission to the Department of required data / documents containing PII.

**Record Retention:**

Program records relating to the period covered by the program review must be retained until the later of: resolution of the loans, claims or expenditures questioned in the program review; or the end of the retention period otherwise applicable to the record under 34 C.F.R. § 668.24(e).

We would like to express our appreciation for the courtesy and cooperation extended during the review. Please refer to the above Program Review Control Number (PRCN) in all correspondence relating to this report. If you have any questions concerning this report, please contact Shari Mecca at (646) 428-3757 or shari.mecca@ed.gov.

Sincerely,

(b)(6)

Christopher Curry  
Team Leader

cc: Gloria Lee, Director of Financial Aid

Enclosure:

Protection of Personally Identifiable Information

Prepared for

**Southern Connecticut State  
University**



START HERE  
GO FURTHER  
FEDERAL STUDENT AID

OPE ID 001406  
PRCN 201220227806

Prepared by  
U.S. Department of Education  
Federal Student Aid  
School Participation Team – New York/Boston

## Program Review Report

MAY 4 2012

Federal Student Aid, School Participation Team – New York/Boston  
Financial Square, 32 Old Slip, 25<sup>th</sup> Floor, New York, NY 10005  
[www.FederalStudentAid.ed.gov](http://www.FederalStudentAid.ed.gov)

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**A. Institutional Information**

Southern Connecticut State University  
501 Crescent Street  
New Haven, CT 06515-1355

Type: Public

Highest Level of Offering: Master's Degree or Doctor's Degree

Accrediting Agency: New England Association of Schools and Colleges - CHE

Current Student Enrollment: 10,800 (Spring 2012 per school's website)

% of Students Receiving Title IV: 56.5% (2011/2012 as reported by the school)

Title IV Participation (from PEPS):

	<b>2010-2011 AY</b>
Federal Family Education Loan Program (FFEL)	\$1,842.00
William D. Ford Federal Direct Loan Program (Direct Loan)	\$60,032,922.00
Federal Pell Grant Program (Pell)	\$10,912,236.00
Federal Supplemental Educational Opportunity Grant Program (FSEOG)	\$444,827.00
Federal Work Study Program (FWS)	\$293,826.00
Federal Perkins Loan Program (Perkins)	\$802,232.00

Default Rate FFEL/DL:	2009	3.9%
	2008	7.1%
	2007	6.4%

Default Rate Perkins:	2010	10.6%
	2009	15.7%
	2008	20.3%

## **B. Scope of Review**

The U.S. Department of Education (the Department) conducted a program review at Southern Connecticut State University (SCSU) from February 28, 2012 to March 2, 2012. The review was conducted by Shari Mecca and Stephen Podeszwa.

The focus of the review was to determine SCSU's compliance with the statutes and federal regulations as they pertain to the institution's administration of Title IV programs, specifically for withdrawn students. The review consisted of, but was not limited to, an examination of SCSU's policies and procedures regarding institutional and student eligibility, individual student financial aid and academic files, attendance records, and student account ledgers.

A sample of 15 files was identified for review from the 2010-2011 and 2011-2012 (year to date) award years. The files were selected randomly from a statistical sample of the total population of withdrawn students receiving Title IV, HEA program funds for each award year. In addition, 10 files were selected based on the student having a 0 grade point average (GPA) for a semester in the award year. Appendix A lists the names and partial social security numbers of the students whose files were examined during the program review.

### **Disclaimer:**

Although the review was thorough, it cannot be assumed to be all-inclusive. The absence of statements in the report concerning SCSU's specific practices and procedures must not be construed as acceptance, approval, or endorsement of those specific practices and procedures. Furthermore, it does not relieve SCSU of its obligation to comply with all of the statutory or regulatory provisions governing the Title IV, HEA programs.

This report reflects initial findings. These findings are not final. The Department will issue its final findings in a subsequent Final Program Review Determination letter.

## **C. Findings**

During the review, several areas of noncompliance were noted. Findings of noncompliance are referenced to the applicable statutes and regulations and specify the actions to be taken by SCSU to bring operations of the financial aid programs into compliance with the statutes and regulations.

**Finding 1. Failure to Confirm Enrollment Status**

**Citation:** 34 C.F.R. §668.21(a) states that if a student does not begin attendance in a payment period or period of enrollment, the institution must return all Title IV, HEA program funds that were credited to the student's account at the institution or disbursed directly to that student for that payment period or period of enrollment.

34 C.F.R. §668.22 (c)(1)(iii) states that for a student who ceases attendance at an institution that is not required to take attendance without providing official notification to the institution, in writing or orally, of his or her intent to withdraw, the withdrawal date is the midpoint of the payment period or the period of enrollment. Additional guidance provided in *Federal Student Aid Handbook Volume 2* explains that if a student who began attendance and has not officially withdrawn fails to earn a passing grade in at least one course offered over an entire period, the institution must assume, for Title IV purposes, that the student has unofficially withdrawn, unless the institution can document that the student completed the period.

34 C.F.R. §668.22(c)(1)(iv)(C) states that if the student did not begin the institution's withdrawal process or otherwise provide official notification to the institution of his or her intent to withdraw because of illness, accident, grievous personal loss, or other such circumstances beyond the student's control, the date that the institution determines is related to that circumstance is the student's withdrawal date.

34 C.F.R. §668.164(b)(3) states that an institution may disburse Title IV, HEA program funds to a student or parent for a payment period only if the student is enrolled for classes for that payment period and is eligible to receive those funds.

**Noncompliance:** The review team found that SCSU's procedures to monitor student's enrollment status for purposes of determining Title IV eligibility is not adequate for students who unofficially stop attending an academic term. The reviewers were unable to determine the enrollment status for students who received a grade point average of 0. A conversation was held with staff members present at the exit interview regarding the lack of a working process for verifying enrollment statuses. Staff acknowledged that since SCSU is not required to take attendance, it is difficult to get professors to cooperate in confirming attendance. SCSU must have a process in place to determine whether any student who failed to receive any passing grades during a term established eligibility for the Title IV funds disbursed to the student during the period. If an institution does not have an adequate system to determine whether students actually began enrollment in any classes in a term, it cannot assume that a student attended through the midpoint of the term, as prescribed at 668.22(c), if it subsequently identifies that the student did not complete that term.

Failure to confirm a student's actual enrollment status in a term may result in a student receiving funds for periods of non-enrollment, or may receive funds based on an incorrect enrollment status – especially for the Pell Grant program. Failure to properly document a student's withdrawal date at an institution may result in the institution performing a return of Title IV calculation that could result in the institution keeping more Title IV funds than it is entitled to, thereby creating an increased expense to the Department.

**Student #11** – Information received from SCSU shows that the student did not begin any of the 3 classes she had registered for during the spring 2011 semester. Based on this information, the student is ineligible for the 2<sup>nd</sup> disbursement of Direct Subsidized and Unsubsidized loan funds that were disbursed on February 4, 2011.

**Student #32** – Information received from SCSU shows that the student began 1 class and never attended 2 classes in the spring 2011 semester. Based on this information, the student was only enrolled less than half-time and is therefore ineligible for the Direct Subsidized and Unsubsidized loan funds that were disbursed on February 4, 2011 and March 23, 2011. In addition, the student's Pell Grant eligibility needed to be adjusted to reflect that the student was only enrolled less than half-time. Also, information received from SCSU shows that the student began 2 classes for the spring 2010 semester. Based on this information, the student's Pell Grant eligibility needed to be adjusted to reflect that the student was only enrolled half-time.

**Student #33** – Information received from SCSU shows that the student began 1 class and never attended 1 class during the fall 2010 semester. Based on this information, the student was only enrolled less than half-time and is therefore ineligible for the Direct Unsubsidized loan funds that were disbursed on September 16, 2010 and September 21, 2010.

**Student #37** – Information received from SCSU shows that the student began 1 class and was registered for 1 class during the fall 2011 semester. Based on this information, the student was only enrolled less than half-time and is therefore ineligible for the Direct Subsidized, Direct Unsubsidized, and Direct Graduate PLUS loan funds that were disbursed on September 19, 2011 and October 24, 2011.

**Student #38** – Information received from SCSU did not provide evidence that the student began classes for the fall 2011 semester. The professor for CHE120 confirmed that the student did not take any quizzes or tests throughout the entire semester, but did not confirm whether the student attended any classes. In addition, no information was received from her other professor. Although the student did withdraw online from both of her classes on September 14, 2011, no information was received to indicate that the student began her classes. Based on this information, the student is ineligible for the Pell Grant and Direct Subsidized and Unsubsidized loan funds disbursed on September 19, 2011.

**Required Action:** SCSU is required to confirm the enrollment status for each Title IV recipient who received all non-passing grades (term GPA of 0) for the 2010/2011 and 2011/2012 award years, including the students cited in this finding.

If SCSU cannot document any attendance for the period of enrollment, all Title IV funds paid to the student for the enrollment period become an institutional liability. In addition, if SCSU is able to document attendance for some but not all of the courses, an adjustment to Title IV funds received may be necessary. For students who are documented to have started classes and stopped attending unofficially, SCSU may use as the withdrawal date the midpoint of the payment period or period of enrollment, or SCSU may use a documented last day of attendance at, or participation in, any academically-related activity as documentation of the student's last day of attendance at an academically-related activity for the withdrawal date, and calculate the return of Title IV funds using that date. SCSU must adjust the enrollment status and Title IV awards for any student(s) who did not begin attendance in the classes that their awards were based upon.

The required file review results must be prepared in spreadsheet format with the following information for each student who had no passing grades for a term:

1. Student's name
2. SSN
3. Semester
4. Date student began attendance (if no document of attendance start, report "N/A")
5. Original Enrollment Status
6. Revised Enrollment Status
7. Student's Withdrawal Date
8. Original amount awarded (by Title IV program)
9. Correct amount awarded (by Title IV program)
10. Original amount returned (by Title IV program)
11. Original date returned
12. Remaining amount to be returned (by Title IV program)

After reviewing SCSU's spreadsheet, the Department may select a random sample of students to review to confirm the accuracy of the file review. If a student is selected, SCSU will be required to submit copies of the student's academic transcript and account statement, copies of documentation used for determining attendance, last date of attendance, award adjustments, and if necessary, any return to Title IV calculations. Any changes to a student's last date of attendance as a result of this file review must be reported to NSLDS

SCSU also revise the procedures for identifying unofficial withdrawals and for students who do not begin attendance during a semester, and submit the revisions with the

institution's response. Since students are allowed to withdraw from classes through an online process which could affect their eligibility for Title IV funds, it is recommended that withdrawals done online are not only reported on the SFASTCA screen in Banner, but are also put on a report that the financial aid office can review to ensure refunds and adjustments are done in the proper timeframe. Currently this information is not carried over to the Financial Aid Department.

Instructions for repayment of any liabilities will be provided in the Final Program Review Determination (FPRD) Letter.

**Finding 2: Failure to Document Exit Counseling**

**Citation:** *34 C.F.R. §685.304(b)* states that a school must ensure that exit counseling is conducted with each Direct Subsidized Loan or Direct Unsubsidized Loan borrower shortly before the student borrower ceases at least half-time study at the school and that exit counseling must be in person, by audiovisual presentation, or by interactive electronic means. In each case, the school must ensure that an individual with expertise in the Title IV programs is reasonably available shortly after the counseling to answer the student borrower's questions. If a student borrower withdraws from school without the school's prior knowledge or fails to complete the exit counseling as required, exit counseling must be provided either through interactive electronic means or by mailing written counseling materials to the student borrower at the student borrower's last known address within 30 days after the school learns that the student borrower has withdrawn from school or failed to complete the exit counseling as required.

*34 C.F.R. §685.304(b)(4)* details the specifics of what must be included in exit counseling materials.

*34 C.F.R. §685.304(b)(6)* states that if exit counseling is provided through interactive electronic means, a school must take reasonable steps to ensure that each student borrower receives the counseling materials and participates in and completes the exit counseling.

*34 C.F.R. §685.304(b)(7)* states that the school must maintain documentation substantiating the school's compliance with exit counseling requirements for each student borrower.

**Noncompliance:** There was no evidence that exit counseling was completed for the following students:

#1	#3	#6	#7	#8	#9	#10	#11	#12	#14	#15	#20
#22	#24	#26	#27	#29	#30	#31	#32	#33	#36	#37	#38

In addition, **Student #5's** exit counseling materials were sent to the student in August 2011 even though according to NSLDS, the date of determining the student was no longer enrolled at SCSU was in October 2010.

**Required Action:** SCSU must perform a file review of all students who graduated, were dismissed, or withdrew, during the 2011/2012 award year, including any students identified in Finding #1, to determine whether they have received exit counseling. If exit counseling was not performed, SCSU must immediately perform the required counseling and provided confirmation to the Department that the counseling was completed.

SCSU must provide assurances that exit counseling is performed timely and that SCSU is taking reasonable steps to ensure that each student receives the counseling materials and participates in and completes the exit counseling. SCSU must review and revise the procedures for exit counseling and provide the revised procedures with the response to this report.

It is also recommended that when Exit Counseling is completed, an entry is added to the students electronic file indicating the date the counseling was completed, similar to what is done with entrance counseling, or a copy of the exit counseling should be added to the student's paper financial aid file. It took the Director of Financial Aid a couple of weeks to identify whether exit counseling was completed or not for the students sampled.

Also, it was observed, that for a several students, the exit counseling was sent to the student's email address at SCSU. Since these students withdrew from SCSU, it is probable that the student would no longer be viewing their email address at the SCSU, thus not receive the information concerning where to go for exit counseling. SCSU must therefore review the policies to determine the best practice for exit counseling and consider revising procedures to send exit counseling materials to the student's last known home address or non-institutional email address.

There are no liabilities associated with this finding. SCSU is reminded of the importance of providing exit counseling to their students in a timely manner.

### **Finding 3. Inaccurate NSLDS Reporting**

**Citation:** *34 C.F.R. §685.309(b)* states that a school shall, upon receipt of a student status confirmation report from the Secretary, complete and return that report to the Secretary within 30 days of receipt and, unless it expects to submit its next student status confirmation report to the Secretary within the next 60 days, notify the Secretary within 30 days if it discovers that a Direct Subsidized, Direct Unsubsidized, or Direct PLUS Loan has been made to or on behalf of a student who: (1) enrolled at that school but has ceased to be enrolled on at least a half-time basis; (2) has been accepted for enrollment at

that school but failed to enroll on at least a half-time basis for the period for which the loan was intended; or (3) has changed his or her permanent address.

*The 2011-2012 Federal Student Aid Handbook, Volume 2, Chapter 3, page 48, states that the school is required to report changes in a student's enrollment status, the effective date of the status, and an anticipated completion date. Changes in enrollment to less than half-time, graduated, or withdrawn must be reported within 30 day unless the next Roster file (Student status confirmation report) is expected within 60 days.*

*The National Student Loan Data System Enrollment Reporting Guide dated October 20, 2010 states that the "G" for Graduated Student status must be reported when the student has completed the course of study and is not currently admitted to, nor enrolled in, a different course of study at this institution. The date should be the date the student completed the course requirements (not presentation date of the diploma or certificate). "W" for Withdrawn (voluntary or involuntary) Student status must be reported when the student has officially withdrawn from all courses at this institution, stopped attending all classes at this institution but did not officially withdraw, or for any reason did not re-enroll at this institution for the next regular (non-summer) term without completing the course of study. The date that must be reported is the date the student officially withdraws or, in the absence of a formal withdrawal, the last recorded date of attendance. In the case of the student who completes a term and does not return for the next, leaving the course of study uncompleted, the final day of the term in which the student was last enrolled is reported.*

**Noncompliance:** SCSU did not accurately report student status information to National Student Loan Data System (NSLDS) for some students, and for some others, the information was not reported timely.

**Student #10** – SCSU reported to NSLDS that the student withdrew on August 22, 2011. While this is the date the student officially withdrew from SCSU, the student's actual last date of attendance should have been reported. The student's actual last date of attendance was the last day of the spring 2011 term, as the student was not registered or enrolled in classes for the summer 2011 term.

**Student #11** – According to SCSU's online registration screen, the student withdrew from classes on March 4, 2011, but according to the information SCSU received from the professors, the student never attended any classes. Currently, NSLDS reflects May 21, 2011 as the student's withdrawal date.

**Student #23** – According to SCSU's online registration screen, the student withdrew from classes on October 7, 2011, yet NSLDS reflects December 16, 2011 as the student's withdrawal date.

**Student #27** – SCSU reported to NSLDS that the student began attending less than half time on January 25, 2012. This status was certified on January 26, 2012. The student last attended SCSU during the fall 2011 semester and the spring 2012 charges were removed from the student's account. When the charges were reversed and it was determined that the student was not going to be enrolled for the spring 2012 semester, SCSU should have updated the student's status to a withdrawn status effective the end of the fall 2011 term.

**Student #38** – According to SCSU's online registration screen, the student withdrew from classes on September 14, 2011, yet NSLDS reflects December 16, 2011 as the student's withdrawal date.

**Required Action:** There are no liabilities associated with this finding. However, SCSU is required to make the necessary status corrections, if any, for the student cited in this finding. SCSU must provide documentation to indicate that the required changes have been made.

Also, SCSU is required to have procedures in place to ensure that NSLDS reflects accurate data and that students status changes are reported in a timely manner. Details of those procedures must be provided with the response to this report.

#### **Finding 4. Late or Missing Return to Title IV Calculation (R2T4)**

**Citation:** *34 C.F.R. §668.22(a)* states that when a recipient of Title IV funds withdraws from an institution during a payment period or period of enrollment in which the recipient began attendance, the Institution must determine the amount of Title IV funds that the student earned as of the student's withdrawal date.

*34 CFR §668.22(c)* states that for an institution that is not required to take attendance, the student's withdrawal date is:

1. The date that the student began the withdrawal process prescribed by the institution;
2. The date that the student otherwise provided official notification to the institution, in writing or orally, or his or her intent to withdraw;
3. If the student ceases attendance without providing official notification to the institution of his or her withdrawal, the mid-point of the payment period (or period of enrollment, if applicable);
4. If the institution determines that a student did not begin the institution's withdrawal process or other provide official notification to the institution of his or her intent to withdraw because of illness, accident, grievous personal loss, or other such circumstances beyond the student's control, the date that the institution determines is related to that circumstance;

5. If a student does not return from an approved leave of absence, the date that the institution determines the student began the leave of absence; or
6. If a student takes a leave of absence, the date that the student began the leave of absence.

*34 CFR §668.22(c)(3)* states that an institution that is not required to take attendance may use as the student's withdrawal date a student's last date of attendance at an academically-related activity provided that the institution documents that the activity is academically related and documents the student's attendance at the activity.

*34 C.F.R. §668.22(f)* states that for a program that is measured in credit hours, the percentage of the payment period or period of enrollment completed is determined by dividing the total number of calendar days in the payment period or period of enrollment into the number of calendar days completed in that period as of the student's withdrawal date. The total number of calendar days in a payment period or period of enrollment includes all days within the period, except that scheduled breaks of at least five consecutive days are excluded from the total number of calendar days in a payment period or period of enrollment and the number of calendar days completed in that period. The total number of calendar days in a payment period or period of enrollment does not include days in which the student was on an approved leave of absence.

*34 C.F.R. §668.22(j)* states that an institution must return the amount of Title IV funds for which it is responsible under *34 C.F.R. §668.22(g)* as soon as possible but no later than 45 days after the date of the institution's determination that the student withdrew, as defined in *34 C.F.R. §668.22*.

**Noncompliance:** SCSU did not perform R2T4 calculations timely for 2 students, and for 1 student, a R2T4 calculation was not performed. In August 2011, the institution performed a self-audit of academic year 2010-2011 to ensure that a withdrawal calculation was processed for each financial aid recipient that was on the withdrawal spreadsheet. During that self-audit, it was determined that SCSU failed to perform a R2T4 calculation for one student on the list.

**Student #5** – During the self-audit, it was determined the student had completed an official withdrawal form on September 24, 2010 but the withdrawal calculation was not processed. As soon as it was discovered, the R2T4 calculation was completed on August 11, 2011 and a refund of \$2,091 was sent to the student's Direct Unsubsidized loan that day.

**Student #23** – According to the student's professors, the student began his classes. According to SCSU's online registration screen, the student withdrew from classes on October 7, 2011. Based on an October 7, 2011 withdrawal date, the student would have

completed less than 60% of the term, so a return to Title IV calculation needs to be completed.

**Student #38** – According to SCSU’s online registration screen, the student withdrew from classes on September 14, 2011. SCSU performed the R2T4 calculation as part of the follow up from the Department’s onsite visit and made the appropriate adjustments to the student’s account. On March 13, 2012, \$1,905.00 was returned to the Direct Unsubsidized Loan Program and \$1,452.00 was returned to the Direct Subsidized Loan Program.

**Required Action:** SCSU is required to perform a file review for the 2011-2012 academic year to confirm that the required R2T4 calculations have been performed for all official and unofficial withdrawals. The required file review results must be prepared in spreadsheet format with the following information for each student who had no passing grades for a term:

1. Student’s name
2. SSN
3. Original amount awarded (by Title IV program)
4. Student’s Withdrawal Date
5. SCSU’s Determination date
6. Amount returned or to be returned (by Title IV program)
7. Return Date, if none use N/A

**Student #23 and #38** must be included in the file review.

In addition, SCSU must provide the results of the self-audit to the Department in the form of a spreadsheet that includes name, SSN, return amount, Title IV program, withdrawal date, SCSU’s determination date, and return date.

Instructions for repayment of any liabilities, including interest for the above students, will be provided in the Final Program Review Determination (FPRD) letter.

#### **Finding 5. Direct Loan Awarding**

**Citation:** *34 C.F.R. §685.203* specifies the maximum annual loan limits for the Stafford loan program.

*34 C.F.R. §685.300(b)* states that the school agrees to estimate the need of each eligible student as required by Part F of the Act for an academic year. In addition, the school agrees to certify that the amount of the loan for any student under Part D of the Act is not in excess of the annual limit applicable for that loan program and that the amount of the loan, in combination with previous loans received by the borrower, is not in excess of the

aggregate limit for the loan program. To qualify for benefits on a Stafford loan, a borrower must demonstrate financial need in accordance with Part F of the Act.

34 C.F.R. §685.301(a) states that a school shall certify that the information it provides in connection with a loan application about the borrower and, in the case of a parent borrower, the student for whom the loan is intended, is complete and accurate. The school shall provide borrower information that includes, but is not limited to:

1. The borrower's eligibility for a loan, as determined in accordance with 34 C.F.R. §685.200 and 34 C.F.R. §685.203;
2. The student's loan amount; and
3. The anticipated and actual disbursement date or dates and disbursement amounts of the loan proceeds.

Additional guidance regarding special cases for undergraduate students with a graduate degree is provided in *Federal Student Aid Handbook Volume 3, Chapter 5*. Specifically it states that the institution would only count the loans that the student received for the first undergraduate program in determining the student's remaining eligibility for loans for the second undergraduate program, up to the undergraduate aggregate limits if the student previously received undergraduate and graduate degrees and returns to school to complete a second undergraduate degree. Although the loans received for graduate study are not counted toward a student's undergraduate aggregate loan limit, the combined loan amounts received for undergraduate and graduate programs may not exceed the total allowable aggregate loan limits.

**Noncompliance:** Student #15 received both an undergraduate and graduate degree and was enrolled in a second undergraduate program. NSLDS showed that the student had \$24,745.00 in subsidized loans in addition to \$29,112.00 in unsubsidized loans and \$2,657.00 in unallocated consolidation funds. Based on this information, it appeared as though the student exceeded his maximum subsidized aggregate limit for undergraduate studies and SCSU awarded the student a Federal Direct Unsubsidized loan. Further review of the student's borrowing history shows that all of his loans were for his graduate degree. Since the student did not receive any loans for his first undergraduate degree, the student was incorrectly awarded a Federal Direct Unsubsidized loan instead of being awarded a Federal Direct Subsidized loan.

**Required Action:** During the onsite visit, SCSU was advised that the student was incorrectly awarded unsubsidized loan funds instead of subsidized loan funds. On March 13, 2012, an email was sent to the Director of Financial Aid with information regarding reopening a closed COD award year in order to make the reallocation. On March 16, 2012, SCSU sent an email to COD to reopen the closed award year so that the reallocation can be made. SCSU must provide evidence that the reallocation of funds has been processed with its response to the program review report.

### **Finding 6. Verification Violations**

**Citation:** *34 C.F.R. §668.54(a)(2)* states that an institution shall require each applicant whose application is selected for verification on the basis of edits specified by the Secretary, to verify all the applicable items specified in §668.56, except that no institution is required to verify the application of more than 30 percent of its total number of applicants for assistance under the Federal Pell Grant, ACG, National Smart Grant, Federal Direct Stafford Loan, campus-based, and Federal Stafford Loan programs in an award year.

*34 C.F.R. §668.56* states that an institution shall require an applicant selected for verification to submit acceptable documentation as described in §668.57 that will verify or update the information used to determine the applicant's EFC. The documentation to be verified includes: (a) adjusted gross income (AGI) or income earned from work, for a non-tax filer; (b) U.S. income tax paid; (c) the number of family members in the household; (d) the number of family members in the household that are enrolled as at least half-time students in postsecondary institutions; and (e) Untaxed income and benefits. The verification documentation must be secured and retained in the student's file.

**Noncompliance:** Of the 40 files selected for review, one file selected for verification was incorrectly verified. On the FAFSA, Student #26 indicated that he was an orphan or ward of the court when documentation showed that he was not an orphan or ward of the court. The student had also petitioned the SCSU to consider him an independent student because his mother had passed away, he had no contact with his father, and he lived with a friend but was responsible for his own food. The SCSU accepted this information and performed the dependency override, but did not reflect the dependency override on the ISIR, as the student was already considered independent because of answering incorrectly to the question regarding orphan or ward of the court. In addition, during the verification process, the SCSU incorrectly included food stamps as part of the untaxed income.

**Required Action:** SCSU has made the required changes to the Student #26's ISIR which reflected a change to the student's estimated family contribution from 116 to 0. This resulted in SCSU under-awarding the student in Pell Grant funds. Since the student only attended one semester, the under-award was \$75.00. SCSU posted the additional \$75.00 to the student's account on March 16, 2012 which resulted in a credit balance. The credit balance was sent to the student on March 20, 2012.

SCSU must also review and revise verification procedures as necessary to ensure that all pertinent items are reviewed and conflicts in the data are resolved. A copy of the revised procedures must be provided with the response to this report. It is also recommended that employees are retrained in the area of verification to ensure errors of this nature do not occur in the future.

**Finding 7. Written Policies and Procedures Missing/Inadequate**

**Citation:** 34 C.F.R. §668.6 details the reporting and disclosure requirements for programs that prepare students for gainful employment in a recognized occupation.

34 C.F.R. §668.14(d)(1) states that the institution, if located in a State to which section 4(b) of the National Voter Registration Act (42 U.S.C. 1973gg-2(b)) does not apply, will make a good faith effort to distribute a mail voter registration form, required and received from the State, to each student enrolled in a degree or certificate program and physically in attendance at the institution, and to make those forms widely available to students at the institution. The institution must request the forms from the State 120 days prior to the deadline for registering to vote within the State. If an institution has received a sufficient quantity of forms to fulfill this section from the State within 60 days prior to the deadline for registering to vote in the State, the institution is not liable for not meeting the requirements of this section during that election year.

*The Higher Education Opportunity Act* enacted on August 14, 2008 amends the voter registration requirements of the Program Participation requirement. An institution may now satisfy the requirement that it distributes and makes widely available a mail voter registration form to each student enrolled at and physically attending the institution by electronically transmitting to each student a message containing an acceptable voter registration form or an internet address where that form can be downloaded. The electronic message must be devoted exclusively to voter registration.

668.22(j) states that an institution must return the amount of Title IV funds for which it is responsible as soon as possible but no later than 45 days the date of the Institution's determination that the student withdrew.

668.22(k) states that the "date of the institution's determination that the student withdrew" for an institution that is not required to take attendance is:

- i. For a student who provides notification to the institution of his or her withdrawal, the student's withdrawal date or the date of notification of withdrawal, whichever is later.
- ii. For a student who did not provide notification of his or her withdrawal to the institution, the date that the institution becomes aware that the student ceased attendance.

668.43(a)(4) states that an institution must make readily available to enrolled and prospective students a summary of the requirements under 668.22 for the Return of Title IV grant or loan assistance.

**Noncompliance:** SCSU does not have the following policies and procedures in place and/ or is missing one or more components per requirement:

- Missing Gainful Employment disclosures
- Information on voter registration – Not provided to students
- Return of Title IV Funds Policy and Procedure-missing time frame required for the return of Title IV funds.
- Information concerning unofficial withdrawals and the affect on Title IV eligibility.
  - Process for determining the student's withdrawal date
  - Process to determine whether a student who failed to earn a passing grade in any class completed, withdrew, or never began attendance
- Paper school catalog does not included information on the Federal Direct Subsidized, Federal Direct Unsubsidized, Federal Direct PLUS, or Federal Direct Graduate PLUS Loan Programs.

**Required Action:** There are no liabilities associated with this finding. SCSU is required to provide disclosure of gainful employment requirements to prospective students. More information on the requirements can be found on [www.ifap.ed.gov](http://www.ifap.ed.gov) by clicking on the Gainful Employment link on the right-hand side of the webpage. Evidence that the disclosure requirements have been met must be provided with the response to this report.

SCSU is required to make voter registration forms widely available and provide the forms via mail or electronically to each enrolled student. SCSU must also revise its Return to Title IV funds policy to ensure all requirements in 668.22 are added, including the time frame for returning of funds. SCSU must add information regarding the difference between an official and an unofficial withdrawal and how SCSU determines if a student is an unofficial withdrawal and its impact of Title IV eligibility. Details of these procedures must be provided with the response to this report.

## PROTECTION OF PERSONALLY IDENTIFIABLE INFORMATION

Personally Identifiable Information (PII) being submitted to the Department must be protected. PII is any information about an individual which can be used to distinguish or trace an individual's identity (some examples are name, social security number, date and place of birth).

PII being submitted electronically or on media (e.g., CD-ROM, floppy disk, DVD) must be encrypted. The data must be submitted in a .zip file encrypted with Advanced Encryption Standard (AES) encryption (256-bit is preferred). The Department uses WinZip. However, files created with other encryption software are also acceptable, provided that they are compatible with WinZip (Version 9.0) and are encrypted with AES encryption. Zipped files using WinZip must be saved as Legacy compression (Zip 2.0 compatible).

The Department must receive an access password to view the encrypted information. The password must be e-mailed separately from the encrypted data. The password must be 12 characters in length and use three of the following: upper case letter, lower case letter, number, special character. A manifest must be included with the e-mail that lists the types of files being sent (a copy of the manifest must be retained by the sender).

Hard copy files and media containing PII must be:

- sent via a shipping method that can be tracked with signature required upon delivery
- double packaged in packaging that is approved by the shipping agent (FedEx, DHL, UPS, USPS)
- labeled with both the "To" and "From" addresses on both the inner and outer packages
- identified by a manifest included in the inner package that lists the types of files in the shipment (a copy of the manifest must be retained by the sender).

PII data cannot be sent via fax.

**School Name: Southern Connecticut State University**

**OPEID 001406**

**Award Year 2010/2011**

#	Name	Last 4 Digits of SSN
(b)(6); (b)(7)(C)		

**School Name: Southern Connecticut State University**

**OPEID 001406**

**Award Year 2011/2012**

#	Name	Last 4 Digits of SSN
(b)(6); (b)(7)(C)		

School Name: Southern Connecticut State University

OPEID 001406

Expanded Sample

#	Name	Last 4 Digits of SSN	Award Year
(b)(6); (b)(7)(C)			

Final Program Review Determination  
PRCN #: 201220227806

Appendix D

Southern Connecticut State University's Response  
to the Program Review Report

**Mecca, Shari**

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**From:** Lee, Gloria E. <leeg1@southernct.edu>  
**Sent:** Tuesday, August 07, 2012 8:35 AM  
**To:** Mecca, Shari  
**Cc:** DeLuca, Lewis J.; Blake, James E; Brule, Lise M.; Kennedy, Marianne D.; Crone, Kimberly M.  
**Subject:** Program Review Report

Hello,

I submitted the program review report last night. I have not made any changes to our Banner system or return any funds back to the appropriate account until I hear from you. Please let me know what is the next step.

*Gloria Lee*

Director of Financial Aid & Scholarships  
Southern Connecticut State University  
501 Crescent Street  
New Haven, Connecticut 06515  
(203) 392-5445 - phone  
(203) 392-5229 - fax

**Southern Connecticut State University  
Program Review Report  
August 6, 2012**

**Finding 1: Failure to Confirm Enrollment Status**

- Student #11 – The direct subsidized and unsubsidized Stafford loan were canceled for spring 2011.
- Student #32 – The direct subsidized and unsubsidized Stafford loan were canceled for spring 2011. Also, the PELL was adjusted for spring 2010 based on half-time. COD has been updated with the correct PELL award.
- Student #33 – The direct subsidized and unsubsidized Stafford loan were canceled in Banner for fall 2010. The file will be extracted to direct lending via Edconnect.
- Student # 37 - The direct subsidized and unsubsidized Stafford loan were canceled in Banner for the fall 2011 semester. The loans will be extracted to direct lending.
- Student #38 – The PELL grant and the direct subsidized and unsubsidized Stafford loan were canceled since the professor confirmed that the student did not take any quizzes or test throughout the entire semester.

Attached please find the following spreadsheets which list the students that received a 0 GPA for the 2010-2011 and 2011-2012 year:

1. Fall 2010 zero GPA awards 08-01-12
2. Spring 2011 zero GPA awards 08-01-12
3. Fall 2011 zero GPA awards 08-01-12
4. Spring 2012 zero GPA awards 08-01-12

**Finding 2: Failure to Document Exit Counseling**

We mailed exit interview letters to students that were listed in Finding #2 and made sure that exit counseling was sent to all students who graduated and withdrew from the university for the 2011-2012 year.

**Finding 3: Inaccurate NSLDS Reporting**

The Registrar's Office updated the withdrawal dates with the National Clearinghouse. A copy of the confirmation will be sent to you via email. (Student #10, #11, #23, #27 and #38).

**Finding 4: Late or Missing Return to Title IV Calculation (R2T4)**

Attached please find the email that lists all students that withdrew during 2011-2012 year.

Finding 5: Direct Loan Awarding

SCSU corrected the finding for student #15 during the onsite visit.

Finding 6: Verification Violations

SCSU corrected the finding for student #26 during the onsite visit.

Finding 7: Written Policies and Procedures Missing/Inadequate

Please see attached document regarding the procedures for identifying official and unofficial withdrawals and students not attending class during a semester.

Also, attached please find the link for the gainful employment disclosures, voter registration and Return of Title IV Funds.

**Mecca, Shari**

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**From:** Lee, Gloria E. <leeg1@southernct.edu>  
**Sent:** Monday, August 06, 2012 7:03 PM  
**To:** Mecca, Shari  
**Cc:** DeLuca, Lewis J.  
**Subject:** Gainful Employment Link

[http://www.southernct.edu/financialaid/uploads/textWidget/wysiwyg/documents/Gainful\\_Employment\\_Report\\_-\\_Aug\\_6.pdf](http://www.southernct.edu/financialaid/uploads/textWidget/wysiwyg/documents/Gainful_Employment_Report_-_Aug_6.pdf)

***Gloria Lee***

Director of Financial Aid & Scholarships  
Southern Connecticut State University  
501 Crescent Street  
New Haven, Connecticut 06515  
(203) 392-5445 - phone  
(203) 392-5229 - fax

**Mecca, Shari**

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**From:** Lee, Gloria E. <leeg1@southernct.edu>  
**Sent:** Monday, August 06, 2012 6:08 PM  
**To:** Mecca, Shari  
**Cc:** DeLuca, Lewis J.  
**Subject:** Voter Registration Link

<http://www.southernct.edu/vote/>

*Gloria Lee*

Director of Financial Aid & Scholarships  
Southern Connecticut State University  
501 Crescent Street  
New Haven, Connecticut 06515  
(203) 392-5445 - phone  
(203) 392-5229 - fax

**Mecca, Shari**

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**From:** Lee, Gloria E. <leeg1@southernct.edu>  
**Sent:** Monday, August 06, 2012 6:07 PM  
**To:** Mecca, Shari  
**Cc:** DeLuca, Lewis J.  
**Subject:** Program Review - finding 7  
**Attachments:** Program Review Report - Response.docx; Program Review - Policies-Edit1.docx

Attached please find the procedures to determine the official and unofficial withdrawals, N grades, National Clearinghouse, Attendance Policy.

*Gloria Lee*

Director of Financial Aid & Scholarships  
Southern Connecticut State University  
501 Crescent Street  
New Haven, Connecticut 06515  
(203) 392-5445 - phone  
(203) 392-5229 - fax

## Mecca, Shari

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**From:** Lee, Gloria E. <leeg1@southernct.edu>  
**Sent:** Thursday, August 30, 2012 11:57 AM  
**To:** Mecca, Shari  
**Cc:** DeLuca, Lewis J.  
**Subject:** RE: Request for Additional Information  
**Attachments:** Exit Counseling Procedures.docx

Hello,

Attached please find the exit counseling procedures (Finding 2) and the link to the revisions for the Return of Title IV funds (Finding 7). I'm still working on getting you the rest of the information you requested.

<http://www.southernct.edu/financialaid/withdrawals/>

---

**From:** Mecca, Shari [mailto:Shari.Mecca@ed.gov]  
**Sent:** Tuesday, August 21, 2012 1:47 PM  
**To:** Lee, Gloria E.  
**Subject:** Request for Additional Information

Good afternoon Gloria,

I have taken a quick look through the items you provided me for the program review response. I have not yet looked at the spreadsheets in detail, but did find that I was missing a few things.

Finding 2. Revised procedures for exit counseling are missing. In the withdrawal information, exit counseling is mentioned, but the PRR had stated that SCSU must review and revise the procedures for exit counseling and provide the revised procedures with the response to this report, this would have included graduated students as well.

Finding 3. There was no documentation that the NSLDS reporting discrepancies for Student #11 were resolved and the correct date reported to NSLDS.

Finding 4. The spreadsheet for the file review for 2011/2012 was included, but the PRR had also requested that SCSU provide the results of the self-audit for award year 2010/2011 that was completed in August 2011. The results can be submitted in the form of a spreadsheet that includes name, SSN, return amount, Title IV program, withdrawal date, SCSU's determination date, and return date.

Finding 6. Revised procedures for verification are missing. The PRR had stated that SCSU must review and revise the procedures as necessary to ensure that all pertinent items are reviewed and conflicts in the data are resolved. A copy of the revised procedures must be provided with the response to this report. It is also recommended that employees are retrained in the area of verification to ensure errors of this nature do not occur in the future.

Finding 7. The link to revisions for the Return to Title IV Funds was not provided.

Let me know if you have any questions. I am working offsite today and tomorrow, but will be back in the office on Thursday.

Thank you.

*Shari Mecca*  
Institutional Review Specialist  
(646) 428-3757  
(646) 428-3742 fax  
[Shari.Mecca@ed.gov](mailto:Shari.Mecca@ed.gov)

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an OFFICE of the U.S. DEPARTMENT of EDUCATION **THE AMERICAN MIND™**

**Mecca, Shari**

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**From:** Lee, Gloria E. <leeg1@southernct.edu>  
**Sent:** Friday, August 31, 2012 1:37 PM  
**To:** Mecca, Shari  
**Cc:** DeLuca, Lewis J.  
**Subject:** Verification Procedures  
**Attachments:** Petition to Apply for Financial Aid as an Independent Student - Policy and Procedure.docx; Instructions for Pell Corrections.docx; Verification Check List - Revised April 5 2012.doc; 2012-2013 Financial Aid Overview.doc; Processing Checklist 04 05 12 V3.doc

Attached please find some of the information that is provided to all of the financial aid officers during the financial aid overview session for this year. I have constant meetings with the staff to go over new regulations or go over areas that we need to improve.

I also provided them with a binder which included information such as, the pell schedules, full-time and part-time budgets, the financial aid forms, the Dear Colleague Letter re: 2012-2013 Award Year: FAFSA Information to be Verified, and Acceptable Documentation (GEN-11-13), printed copy of the FAFSA, verification checklist, a copy of the tax returns to interpret the tax transcripts, a copy of the Application and Verification Guide, a copy of the 2012-2013 Federal Student Aid Handbook Errata and Updates, Student Eligibility, etc.

I also provided them with the link to the Federal Student Aid Handbook in the IFAP website.

If you have any questions, please feel free to call or email me.

*Gloria Lee*

Director of Financial Aid & Scholarships  
Southern Connecticut State University  
501 Crescent Street  
New Haven, Connecticut 06515  
(203) 392-5445 - phone  
(203) 392-5229 - fax

Final Program Review Determination  
PRCN #: 201220227806

Appendix E

Southern Connecticut State University's  
File Reviews

Final Program Review Determination  
PRCN #: 201220227806

Appendix E-1

Southern Connecticut State University's  
File Review for Finding 1: Fall 2010

**Mecca, Shari**

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**From:** Lee, Gloria E. <leeg1@southernct.edu>  
**Sent:** Wednesday, November 07, 2012 10:00 AM  
**To:** Mecca, Shari  
**Cc:** DeLuca, Lewis J.  
**Subject:** Revised Fall 2010 zero gpa spreadsheet  
**Attachments:** Fall 2010 zero gpa awards 08-01-12 with Comments - Nov 6 2012.zip

Attached please find the revised fall 2010 zero GPA spreadsheet.

*Gloria Lee*

Director of Financial Aid & Scholarships  
Southern Connecticut State University  
501 Crescent Street  
New Haven, Connecticut 06515  
(203) 392-5445 - phone  
(203) 392-5229 - fax

ID	Last Name	First Name	Fund Code					Original Enrollment Status	Revised Enrollment Status	Date Student Began Attendance	Student Comments			
			DLFRUN Remaining to Ret.	DLGRST Remaining to Ret.	DLGRUN Remaining to Ret.	DLPLUS Remaining to Ret.	DLSTFD Remaining to Ret.					DLUNST Remaining to Ret.	PELL Remaining to Ret.	SEOG Remaining to Ret.
(b)(6); (b)(7)(C)					0					H	L	8/30/2010	w/d from one course on 12/1/10, loan pt 5/10, rec'd midterm, elig for FA	
					0.		0.	0.		F	Withdrawn	8/30/2010	Need to perform R2T4	
					0.		0.	0.		F	F	8/30/2010		
					0.		0.	0.		F	F	8/30/2010		
											Did not attend classes	NA		
					2,888.	2,239.	095.		683.		F	H	8/30/2010	
					0.		0.		0.		F	H	8/30/2010	
							0.		0.		H	H	8/30/2010	
							0.		0.		F	L	8/30/2010	w/d from 3 courses after FA paid on account
							0.		0.		F	H	8/30/2010	
							0.		0.		F	F	8/30/2010	rec'd 3 midterm and 1 email from professor, elig for FA
							0.		0.		F	F	8/30/2010	
							0.		0.		H	L	8/30/2010	w/d from one course after the FA paid
							0.		0.		H	L	8/30/2010	w/d from course after the FA paid
							0.		1,387.		F	H	8/30/2010	
							0.		693.		F	H	8/30/2010	w/d from two course after FA paid, rec'd 1 email from professor
							0.		0.		F	H	8/30/2010	
							0.		0.		F	H	8/30/2010	rec'd midterm grades
							0.		0.		F	H	8/30/2010	rec'd midterm grades
							0.		0.		F	H	8/30/2010	
							0.		0.		F	L	8/30/2010	1 midterm, not elig for FA
							0.		0.		F	H	8/30/2010	
							0.		0.		F	H	8/30/2010	2 professor submitted emails, elig for FA
							0.		0.		H	L	8/30/2010	rec'd email from 1 professor, not elig for FA
							0.		0.		H	H	8/30/2010	
							2,737.	2,985.	2,050.		FT	No proof of attendance NA	Did not attend	
						0.		0.		F	L	8/30/2010	w/d course after the FA paid, elig for FA	
						0.		0.		F	H	8/30/2010	rec'd midterm grades	
						0.	0.	0.	538.		F	H	8/30/2010	rec'd 2 midterms, w/d from 1 course after FA paid, elig for FA
						0.	0.	0.	0.		F	H	8/30/2010	rec'd midterm grades
						0.		0.	0.		F	F	8/30/2010	
						0.		0.	0.		F	H	8/30/2010	w/d from course after the FA paid
						0.		0.	683.		F	H	8/30/2010	
						0.		0.	0.		F	F	8/30/2010	
						4,229.	5,970.				F	No proof of attendance NA		
						0.		0.			F	H	8/30/2010	rec'd midterm grades
						0.		0.	775.		F	L	8/30/2010	w/d from 2 courses after FA paid, elig for FA
						0.		0.	0.		F	H	8/30/2010	
						0.		0.	0.		F	F	8/30/2010	
						504.		2,388.	500.	2,000.	F	L	8/30/2010	rec'd 1 email from professor, elig for FA
						0.		0.	1,387.		F	L	8/30/2010	rec'd one midterm and one email from professor, elig for FA



(b)(6); (b)(7)(C)

			0.	0.			F	H	8/30/2010	wa from three courses after FA paid, rec'd midterm
			0.	0.	525.		F	H	8/30/2010	wa from 2 courses after FA paid, elig for FA
			0.	0.	0.		F	F	8/30/2010	rec'd midterms
					0.		F	F	8/30/2010	rec'd midterms
			0.	0.	350.		F	L	8/30/2012	wa from 2 courses after FA paid, elig for FA
					693.		F	L	8/30/2010	wa from 2 courses after FA Paid, rec'd midterm
					0.		F	H	8/30/2010	rec'd 3 midterm and 1 email from professor, elig for FA
			0.	0.	0.		F	H	8/30/2010	wa from 2 courses after FA Paid, rec'd midterm
0.				3,732.			F	L	8/30/2010	wa from 2 courses after FA Paid, rec'd midterm
			0.	0.	775.		F	H	8/30/2010	rec'd midterm grades
			0.	0.			F	F	8/30/2010	rec'd midterm grades
	0.	0.					F	L	8/30/2010	rec'd 1 midterm and 1 email from professor, elig for FA
			0.				F	H	8/30/2010	wa from one course after FA paid, rec'd midterm
	0.	0.	2,737.	2,637.	975.		F	L	8/30/2010	wa from one course after FA paid, rec'd midterm
			0.	0.	0.		F	L	8/30/2010	wa from two courses after FA paid
0.			0.	0.	0.		F	F	8/30/2010	wa from two courses after FA paid
					0.		F	F	8/30/2010	wa from two courses after FA paid
4727	12848	24,342.	2688	14507	16574	20252	500	2000		

Final Program Review Determination  
PRCN #: 201220227806

Appendix E-2

Southern Connecticut State University's  
File Review for Finding 1: Spring 2011

**Mecca, Shari**

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**From:** Lee, Gloria E. <leeg1@southernct.edu>  
**Sent:** Wednesday, November 07, 2012 9:54 AM  
**To:** Mecca, Shari  
**Cc:** DeLuca, Lewis J.  
**Subject:** Revised Spring 2011 zero gpa spreadsheet  
**Attachments:** Spring 2011 zero gpa awards 08-01-12 with Comments - Nov 6 2012.zip

Attached please find the updated spring 2011 zero GPA spreadsheet.

*Gloria Lee*

Director of Financial Aid & Scholarships  
Southern Connecticut State University  
501 Crescent Street  
New Haven, Connecticut 06515  
(203) 392-5445 - phone  
(203) 392-5229 - fax

ID	Last Name	First Name	ACG	DLFRST	DLFRUN	DLGRST	DLGRUN	DLPLUS	DLSTFD	DLUNST	PELL	Original Enrollment Status	Revised Enrollment Status	Comments
			Remainin g to Ret.	Remainin g to Ret.	Remainin g to Ret.	Remaining to Ret.								
											1,031.	H	L	
									0.		1,387.	F	H	rec'd midterm, rec'd 1 email from professor, elig for FA
									0.		0.	F	F	
			375.	1,742.							2,200.	F	No proof of attendance	
									0.	0.	1,387.	F	H	rec'd midterm and 1 email from professor, elig for FA
									0.	0.	0.	F	H	
									2,737.	3,483.	788.	F	L	
						2,687.	2,239.	995.	0.	0.	0.	F	L	rec'd 1 midterm, not elig for FA
									0.	0.	0.	F	H	rec'd 2 midterms, elig for FA
									2,737.	995.	0.	F	L	rec'd 1 midterm, not elig for FA
						0.	0.	0.	0.	0.	0.	F	H	rec'd 2 midterms, elig for FA
									0.	0.	1,387.	F	L	rec'd midterms, w/d from course after FA paid
									2,737.	279.	0.	F	H	rec'd 2 midterms, elig for FA
			0.	0. NA				0.			237.	F	H	Did not attend
									0.	0.	0.	F	H	rec'd 3 midterm and 1 email from professor, elig for FA
									2,737.		2,775.	F	No proof of attendance	
									0.	0.	0.	F	F	
											0.	F	H	rec'd 1 midterm and 1 email from professor, elig for FA
									0.	0.	0.	F	H	rec'd 3 midterm and 1 email from professor, elig for FA
									1,297.	5,047.	0.	F	L	rec'd 1 midterm, not elig for FA
			0.								0.	F	H	rec'd midterm grades and 1 email from professor, elig for FA
									0.	0.	1,387.	F	H	rec'd 2 midterms, elig for FA
									0.	0.	1,387.	F	H	rec'd 2 midterms, elig for FA
									4,478.	4,145.	1,100.	F	L	rec'd 1 midterm, not elig for FA
											0.	F	W	Need to complete R2T4
											0.	F	L	
					2,737.						0.	F	H	rec'd 3 midterm, elig for FA
				0.	0.			0.			2,552.	F	No proof of attendance	
									0.	0.	350.	F	H	rec'd 1 midterm and 2 emails from professor, elig for FA
											0.	F	H	rec'd 2 midterm and 1 email from professor, elig for FA
									0.	0.	512.	F	H	rec'd 3 midterm
									0.	0.	0.	F	W	Need to complete R2T4
				0.	0.			0.			0.	F	H	rec'd 2 midterm, elig for FA
									0.	0.	0.	F	H	
									0.	0.	1,387.	F	H	
									0.	0.	684.	F	H	
									0.	0.	693.	F	H	rec'd 2 midterm and 1 email from professor, elig for FA

(b)(6); (b)(7)(C)



(b)(6); (b)(7)(C)

					0.	0.	0.	0.	F	H	rec'd 2 midterms and w/d from 2 courses after FA paid, elig for FA		
					2,239.	995.	1,325.	H	Did not attend				
					0.	0.	1,387.	F	H		rec'd 2 midterms, elig for FA		
					0.	0.	385.	F	H				
					0.	0.		F	H				
					0.	0.		F	H				
0.	0.				0.	0.		F	H		rec'd 2 midterms, elig for FA		
					2,738.	996.	2,775.	F	Did not attend				
					0.	0.	425.	F	H				
					0.	0.	693.	F	H				
					0.	0.		F	H		rec'd 1 midterm and 1 email from professor, elig for FA		
					2,737.	3,021.	2,775.	F	No proof of attendance				
0.	0.							F	H				
1,742.	2,986.						2,418.	F	L				
								F	L				
		4,229.	5,970.					F	H		received 4 midterms, elig for FA		
					0.	0.		F	F				
					0.	0.	250.	F	H		rec'd 3 midterms, elig for FA		
					0.	0.		F	H				
					0.	0.	1,387.	F	H		rec'd 2 midterms, elig for FA		
					0.	0.		F	L		rec'd 2 midterms, elig for FA		
0.	0.							F	H				
					0.		694.	H	H		rec'd midterm and 1 email from professor, elig for		
					0.	0.		H	H		rec'd midterm and 1 email from professor, elig for		
					3,014.		1,388.	H	No proof of attendance		no proof of attendance		
					0.	0.	693.	F	H				
					1,624.			H	L				
					0.	0.		F	L		rec'd 2 midterms, elig for FA		
					2,737.	3,483.	950.	F	L				
					2,738.	749.	650.	H	Did not attend				
					0.	0.		H	L		rec'd 2 midterms, elig for FA		
					375	3484	5723	8957	5970	2687	51602	46867	55,730.

Final Program Review Determination  
PRCN #: 201220227806

Appendix E-3

Southern Connecticut State University's  
File Review for Finding 1: Fall 2011

**Mecca, Shari**

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**From:** Lee, Gloria E. <leeg1@southernct.edu>  
**Sent:** Wednesday, November 07, 2012 9:16 AM  
**To:** Mecca, Shari  
**Cc:** DeLuca, Lewis J.  
**Subject:** Updated Fall 2011 Zero GPA  
**Attachments:** Fall 2011 zero gpa awards 08-01-12 with Comments - Nov 7 2012.zip

Attached please find the updated fall 2011 GPA spreadsheet.

*Gloria Lee*

Director of Financial Aid & Scholarships  
Southern Connecticut State University  
501 Crescent Street  
New Haven, Connecticut 06515  
(203) 392-5445 - phone  
(203) 392-5229 - fax

ID	Last Name	First Name	Fund Code				PELL	Original Enrollment Status	Revised Enrollment Status	Comments		
			DLGRPL	DLGRST	DLGRUN	DLPLUS	DLSTFD	DLUNST	PELL			
			Remaining to Ret.	Remaining to Ret.								
(b)(6); (b)(7)(C)									0. L	L		
									0. F	F	rec'd 3 midterm and 1 email from professor, elig for FA	
							0.	0.	1,387. F	H	rec'd midterm for 2 courses	
									0. F	L	w/d from 4 courses after FA paid, eligible for FA	
						3,672.		2,739.	F	Did not attend		
									312. F	H		
						0.	0.	0.	F	H		
						0.			F	H		
						0.			F	F		
								0.	0.	1,387. F	H	
										F		
								0.	0.	693. F	H	rec'd midterm for 3 courses
								0.	0.	0. H	H	
								0.	0.	693. F	H	student withdrew from bio 120 on 10/28 after FA paid, rec'd midterm
								0.	0.	F	H	rec'd midterm grades
						0.		0.		0. F	H	student withdrew from english 112 on 10/11 after FA paid, rec'd midterm
										F	L	student withdrew from swk 550 and swk 521 on 11/7 and 11/9 after FA paid, eligible for FA
			0.	0.						0. F	F	
						0.		0.	0.	0. F	F	
										0. F	F	
										0. F	F	
										500. F	H	rec'd midterm for one class and rec'd email from Professor for one class. Eligible for FA
									5,224.	F	No proof of attendance	
								0.	0.	693. F	L	w/d from 2 courses after FA paid, rec'd midterm
								0.	0.	F	L	w/d from 3 courses after FA paid, rec'd midterm
										0. F	F	
						2,738.		3,484.	2,400.	F	L	proof of attendance for one class
										H	H	
								400.	F	L	proof of attendance for one class	
					0.		0.	0.	F	H	w/d from 2 courses after FA paid, rec'd midterm	
									607. F	H	Money paid on 9/19 student withdrew from PHH 200 on 9/26, elig for FA	
					0.		0.	0.	F	F		
									0. F	F		
									F	H	money paid on 9/28 student withdrew from COM 205 on 10/1, elig for FA	
							0.	0.	0. F	F		
									563. F	H		
									F	H		
							0.		0. F	H	student withdrew on 10/19 after FA paid, rec'd midterm	
									469. L	No proof of attendance		
							0.	0.	F	H	student withdrew from eng 112 on 12/08 after FA paid	
								0.	F	H	student withdrew on 10/21 after FA paid, rec'd midterm	
									F	H	w/d from one course after FA paid, rec'd midterm	

(b)(6); (b)(7)(C)

			0.		0.	693. F	H	student withdrew from pch 446 and 365 on 10/27 after FA paid, rec'd midterm
						F	F	
	0.	0.				H	L	rec'd emails from both professor, elig for FA
						FT	H	
				0.	0.	0. F	H	
						0. F	H	student withdrew from geo 109 and art 113 after FA paid
			0.			0. F	H	student withdrew from mat 100 on 10/13, rec'd midterm for 3 courses, elig for FA
						0. F	F	
						0. F	F	
			0.	0.	0.	693. F	H	student withdrew from che 129 on 10/26 after FA paid, rec'd
						1,387. f	H	midterm, elig for FA
								received midterm for 2 courses, elig for FA
1,648.	4,230.	5,970.				F	No proof of attendance	
				0.	1,808.	0. F	H	
								student withdrew from geo 200 on 10/25 after FA paid, rec'd
							H	midterm, elig for FA
				0.	0.	F	H	student withdrew from HFS 111 on 10/5 after FA paid, rec'd
						693. F	H	midterm, elig for FA
	4,229.			0.	0.	H	L	
								No proof of attendance
	4,230.	5,174.				F	F	rec'd email from one professor, return FA
				0.	0.	0. F	F	
				0.	0.	0. F	H	w/d from 2 courses after FA paid, rec'd midterm, elig for FA
				0.	0.	1,387. F	H	
						F	F	
				0.	0.	F	L	w/d from one course after FA paid, rec'd midterm, eligible for FA
			0.			F	H	rec'd midterm for 3 courses, elig for FA
								No proof of attendance
					6,220.	F	F	
				0.	0.	0. F	F	
								w/d from one course after FA paid, rec'd email from professor from
				0.	0.	1,300. F	L	one class, eligible for FA
				0.	0.	0. F	L	w/d from 3 courses after FA paid, rec'd midterm
				0.	0.	1,387. F	H	rec'd midterm for 2 courses
								No proof of attendance
			1,742.	2,986.		F	H	need midterm grades for EXS 281, PSC 110 - did not receive proof
			0.	0.	0.	693. F	H	withdrew after money paid, elig for FA
						278. L	L	
						F	F	
			0.	0.	0.	693. F	H	rec'd midterm for 3 courses, elig for FA
					2,737.	H	Never attended	
			0.			F	H	withdrew from MAT 100 on 10/28 after FA paid, rec'd midterm
				0.	0.	1,200. F	H	rec'd midterm for 2 courses, elig for FA
				1,127.	3,364.	H	L	
			0.		0.	F	H	rec'd midterm for 3 courses, elig for FA
			0.	0.	0.	F	H	
					0.	0. F	F	
				0.	0.	F	L	withdrew from MAT 250 on 11/15 after FA paid and received one
				0.	0.	F	H	midterm, eligible for FA
				0.	0.	0. F	H	

(b)(6); (b)(7)(C)

4,230. 767.

0. 0.

0 F  
687. F

F  
F

F  
H  
**No proof of  
attendance**  
F

rec'd midterm for 3 course, elig for FA  
need midterm grades for EXS 565, IDS 553 - only one professor  
sent me an email, not eligible for FA

1048 16919 11810 3872 5607 28562 21275 6969

**Final Program Review Determination  
PRCN #: 201220227806**

**Appendix E-4**

**Southern Connecticut State University's  
File Review for Finding 1: Spring 2012**

## Mecca, Shari

---

**From:** Lee, Gloria E. <leeg1@southernct.edu>  
**Sent:** Wednesday, November 07, 2012 9:13 AM  
**To:** Mecca, Shari  
**Cc:** DeLuca, Lewis J.  
**Subject:** RE: File Review 0 GPA  
**Attachments:** Spring 2012 zero gpa awards 08-01-12 with comments - Nov 6 2012.zip

Attached please find the revised spring 2012 spreadsheet for zero GPA.

-----Original Message-----

**From:** Mecca, Shari [mailto:Shari.Mecca@ed.gov]  
**Sent:** Wednesday, October 31, 2012 9:22 AM  
**To:** Lee, Gloria E.  
**Subject:** RE: File Review 0 GPA

OK. Let me know if you have any questions.

Shari

---

**From:** Lee, Gloria E. [leeg1@southernct.edu]  
**Sent:** Wednesday, October 31, 2012 9:19 AM  
**To:** Mecca, Shari  
**Subject:** RE: File Review 0 GPA

I'm glad everyone is fine. Two weeks is fine.

-----Original Message-----

**From:** Mecca, Shari [mailto:Shari.Mecca@ed.gov]  
**Sent:** Wednesday, October 31, 2012 9:17 AM  
**To:** Lee, Gloria E.  
**Subject:** RE: File Review 0 GPA

Hi Gloria, we are all fine, just lost power for Monday night. Our office in NY is still without power. Hope you are doing ok. How long of an extension do you need? Is two weeks good?

Shari

---

**From:** Lee, Gloria E. [leeg1@southernct.edu]  
**Sent:** Wednesday, October 31, 2012 9:16 AM  
**To:** Mecca, Shari  
**Subject:** RE: File Review 0 GPA

Hello,

I hope you and your family are doing well especially during the hurricane.

I need to request an extension on the program review. I have professors who are still submitting emails concerning the student's attendance.

From: Mecca, Shari [mailto:Shari.Mecca@ed.gov]  
Sent: Thursday, September 20, 2012 10:49 AM  
To: Lee, Gloria E.  
Subject: File Review O GPA

Good Morning Gloria,

Thank you for taking the time yesterday to meet with me to discuss the results of the file review for 0 GPA students. You mentioned that you were not able to contact all the professors to verify attendance for the students in the sample due to conducting the file review over the summer during which time not all of the professors are on campus. Therefore, SCSU can review the students again to see if any professors have additional information. In addition, as discussed yesterday, if a student withdrew from a course through your online system after disbursement, SCSU should review all courses to decide whether an adjustment is needed or whether a R2T4 calculation is needed. Also loan adjustments are not necessary if the student was at least half-time at the time of disbursement. Again, if after disbursement the student drops below half-time status, a R2T4 calculation may be needed. Lastly, please ensure that if a Pell recipient is no longer full time, that you have used the appropriate  $\frac{3}{4}$  time, half time, or less than half time Pell Charts. I understand the spreadsheet that was initially provided had the students status as reported to NSLDS and not the actual status of the student.

Please have the file review results to me by Monday, October 29, 2012. If you have any questions, please do not hesitate to contact me. Thank you.

Shari Mecca  
Institutional Review Specialist  
(646) 428-3757  
(646) 428-3742 fax  
[Shari.Mecca@ed.gov](mailto:Shari.Mecca@ed.gov)<mailto:Shari.Mecca@ed.gov>

[cid:image001.png@01CDB748.609A0F90]

**Mecca, Shari**

---

**Subject:** FW: File Review 0 GPA - Spring 2012

-----Original Message-----

**From:** Lee, Gloria E. [mailto:leeg1@southernct.edu]  
**Sent:** Monday, January 07, 2013 1:19 PM  
**To:** Mecca, Shari  
**Subject:** RE: File Review 0 GPA - Spring 2012

Hello,

This student was eligible for \$1388 PELL grant for spring 2012. However, her pell origination record was rejected. Therefore, we were not able to submit the changes on COD and we will not be able to receive the pell grants funds for this student since it was after the September 30th deadline to submit a valid origination record to COD.

-----Original Message-----

**From:** Mecca, Shari [mailto:Shari.Mecca@ed.gov]  
**Sent:** Monday, January 07, 2013 11:14 AM  
**To:** Lee, Gloria E.  
**Cc:** DeLuca, Lewis J.  
**Subject:** RE: File Review 0 GPA - Spring 2012

Great, thank you.

Happy New Year to you also.

Shari

-----Original Message-----

**From:** Lee, Gloria E. [mailto:leeg1@southernct.edu]  
**Sent:** Monday, January 07, 2013 10:16 AM  
**To:** Mecca, Shari  
**Cc:** DeLuca, Lewis J.  
**Subject:** RE: File Review 0 GPA - Spring 2012

Hello,

Happy New Year. I will review and get back to you.

-----Original Message-----

**From:** Mecca, Shari [mailto:Shari.Mecca@ed.gov]  
**Sent:** Wednesday, December 26, 2012 12:44 PM  
**To:** Lee, Gloria E.  
**Cc:** DeLuca, Lewis J.

Subject: RE: File Review.0 GPA - Spring 2012

Hi (b) (6),

I am reviewing this spreadsheet and it states that (b) (6), (b) (7) received a Pell Grant for the Spring 2012 in which 693.00 was ineligible. I am not seeing that on COD. Did you mean to include her on the Spring 2011 list?

Thank you.

(b) (6), (b)

ID	Last Name	First Name	DLFRST	DLFRUN	DLGRST	DLGRUN	DLPLUS	DLSTFD	DLUNST	PELL	Fund Code SEOG	Current Enrollment Status	Revised Enrollment Status	Comment
			Remaining to Ret.											
								0.	0.	0.		F	F	
								2,239.	2,252.			H	L	
										2,418.		F	L	
												F	H	
								0.	0.			F	H	
					5,658.							H	No proof of attendance	
								0.	0.	1,387.		F	L	
			0.	0.						360.		F	H	
							6,909.	179.	2,559.			F	H	Did not attend
								0.	0.			F	H	
								0.	0.			F	H	
								2,737.	379.	2,775.		F	Did not attend	
			0.	0.			0.					F	F	
									2,737.			H	L	
										0.		F	F	
										0.		F	H	
												F	L	
								1,021.	5,434.			F	L	
								982.	3,498.			F	L	
								0.	0.			F	F	
								0.	0.	0.		F	H	
								0.	0.	100.		F	H	
								2,737.		1,030.		F	L	
							0.		0.			F	H	
					4,229.	0.						F	No proof of attendance	
								0.	0.	603.		F	H	
									0.			H	L	
										1,387.		F	H	
			0.	0.						0.		F	F	
								0.		1,387.		F	L	
								0.		0.		H	H	
								0.	0.			F	L	
								0.	0.			H	H	
								0.	0.	0.		F	F	
								0.	0.	475.		F	H	
								0.	0.	693.		F	H	
								2,580.	1,911.	1,724.		H	L	
												F	H	
										1,387.		F	H	
					4,229.	2,438.						H	No proof of attendance	
			0.	0.				0.	0.	0.		F	H	
										425.		F	H	
					4,229.	5,565.						F	No proof of attendance	
								0.	0.	693.		F	H	
								0.	0.	693.		F	H	
			0.	0.				0.	0.	1,176.		F	H	
										0.		F	F	
								0.		388.		F	H	
			0.	0.				0.	0.			F	H	
								0.	0.	0.		F	F	
					4,229.	5,180.						F	No proof of attendance	

(b)(6); (b)(7)(C)

(b) (6), (b) (7)(C)



**Final Program Review Determination  
PRCN #: 201220227806**

**Appendix E-5**

**Southern Connecticut State University's  
File Review for Finding 4: 2010/2011**

(b) (6), (b) (7)(C)

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**From:** (b) (6), (b) (7)(C)  
**Sent:** Wednesday, November 07, 2012 10:04 AM  
**To:** (b) (6), (b) (7)(C)  
**Cc:**  
**Subject:** Revised 2010-2011 Withdrawals  
**Attachments:** 2010-2011 Withdrawals - Aug 30 2012 - REVISED Nov 6 2012.zip

Attached please find the revised 2010-2011 withdrawals. I added 4 withdrawals including (b) (6) (highlighted in green).

If you have any questions, please feel free to call or email me.

*Gloria Lee*

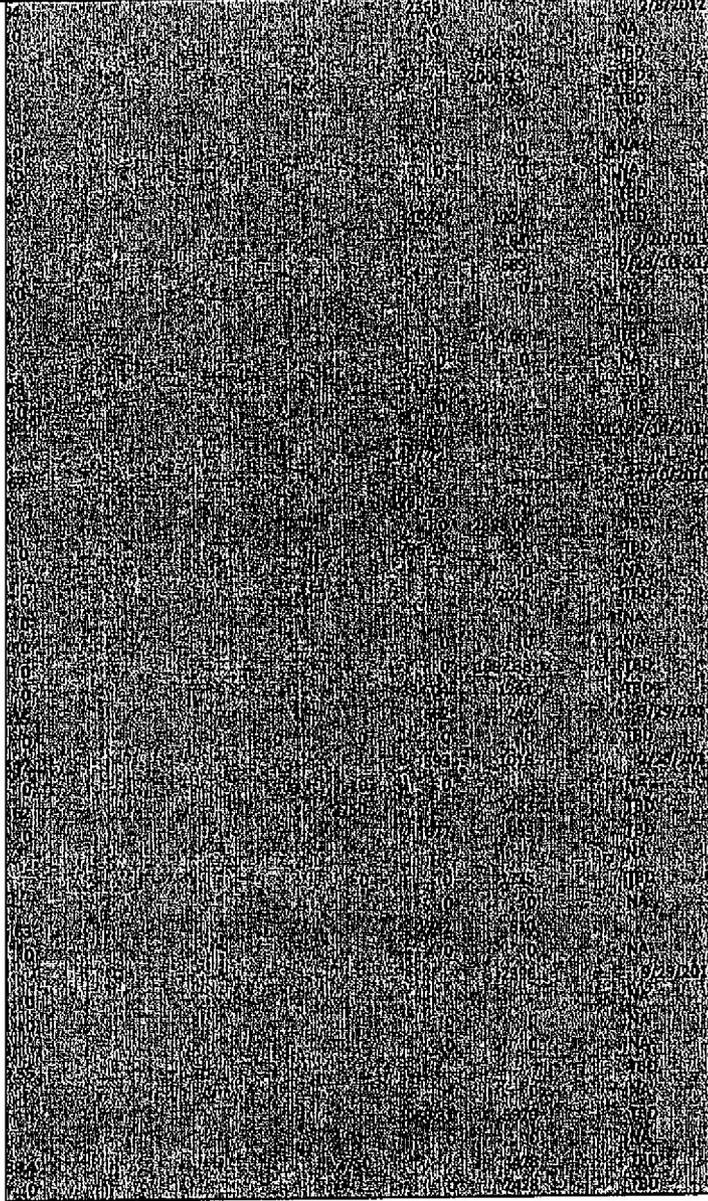
Director of Financial Aid & Scholarships  
Southern Connecticut State University  
501 Crescent Street  
New Haven, Connecticut 06515  
(203) 392-5445 - phone  
(203) 392-5229 - fax

ID	LAST NAME	FIRST NAME	Determination Date	Correct W/D Date	Comment
(b)(6); (b)(7)(C)				4/27/2011	earned 100%
				2/23/2011	already returned the funds prev.
				9/27/2010	w/d online
				10/19/10	
				11/12/2010	earned 100%
				10/18/2010	
				10/26/2010	already ret. 86
				02/11/11	already returned the funds prev. (ret. Too much 2159)
				9/18/10	w/d online
				2/27/2011	w/d online
				2/10/2011	w/d online
				3/28/2011	w/d online
				11/3/10	earned 100%
				2/15/2011	w/d online
				2/16/2011	w/d online
				9/21/2010	6247 returned originally, w/d done correctly
				2/15/2011	w/d online
				5/21/2011	earned 100%
				4/14/2011	earned 100%
				3/10/2011	w/d online
				12/8/2010	earned 100%
				10/12/10	
				9-Feb	already returned the funds prev.
				4/25/2011	earned 100%
				4/21/2011	earned 100%
				9/11/2010	w/d online
				12/3/10	earned 100%
				10/19/10	
				12/3/2010	earned 100%
				11/29/2010	earned 100%
				10/23/2010	w/d online
				3/30/2011	
				9/22/10	student w/d online
				10/25/2010	w/d online
				3/14/2011	w/d online
				3/31/2011	w/d online
				4/27/2011	earned 100%
				9/29/2010	
				9/30/2010	
				3/1/2011	w/d online
				3/15/2011	w/d online
				4/4/2011	w/d online
				8/30/2010	PWD
				10/25/2010	
				3/16/2011	R2T4 done prev.
				11/4/10	earned 100%
				4/1/2011	w/d online

(b)(6); (b)(7)(C)

2/9/2011	R2T4 done prev.
11/23/10	earned 100%
2/11/2011	R2T4 done prev.
11/18/2010	earned 100%
11/5/10	earned 100%
2/24/2011	w/d online
9/8/2010	no financial aid
10/4/2010	
12/9/2010	earned 100%
3/19/2011	w/d online
12/7/2010	earned 100%
9/24/2010	unsub was prev. returned
10/12/2010	w/d online
2/10/2010	R2T4 done prev.
10/5/2010	w/d online
11/2/2010	earned 100%
3/1/2011	w/d online
9/22/2010	Rev. R2T4 6/1/12
2/15/2011	w/d online
2/17/2011	R2T4 done prev.
5/3/2011	earned 100%
10/21/2010	W/O ONLINE
3/13/2011	w/d online
5/6/2011	earned 100%
2/15/2011	R2T4 done prev.
12/8/10	earned 100%
2/7/2011	R2T4 done prev.
12/3/10	earned 100%
3/19/11	w/d online
12/7/10	earned 100%
2/10/2011	R2T4 done prev.
4/6/2011	R2T4 done prev.
2/4/2011	R2T4 done prev.
10/11/2010	W/O ONLINE
3/29/2011	Already returned \$374 prev.
10/14/2010	
2/23/2011	deceased 6/15/11
3/29/2011	W/O ONLINE
10/8/2010	W/O ONLINE
8/24/10	already returned prev.
12/9/10	earned 100%
4/1/2011	W/O ONLINE
3/11/2011	W/O ONLINE
10/21/2010	W/O ONLINE
10/12/2010	W/O ONLINE
12/7/2010	earned 100%
4/6/2011	
12/8/10	earned 100%
12/8/10	earned 100%
10/21/2010	W/O ONLINE
5/4/2011	earned 100%

(b)(6); (b)(7)(C)



2/8/2011	R2T4 done prev.
5/21/2011	earned 100%
10/19/2010	W/D ONLINE
10/25/2010	W/D ONLINE
10/6/10	
5/10/2011	earned 100%
12/1/10	earned 100%
5/10/2011	earned 100%
2/10/2011	w/d online
9/28/10	withdraw online
9/14/10	returned 2022 prev.
9/24/2010	R2T4 done prev. ret funds 1/6/12
12/8/10	earned 100%
9/27/10	
10/25/2010	w/d online
4/13/2011	earned 100%
9/28/2010	W/O ONLINE
3/11/2011	w/d online
2/10/2011	R2T4 PROCESSED PREV.
3/30/2011	already returned \$1341 prev.
9/8/10	
10/6/2010	w/d online
4/1/2011	w/d online
4/1/2011	w/d online
5/16/2011	earned 100%
9/17/10	w/d online
4/28/2011	earned 100%
12/3/10	earned 100%
10/21/10	
2/22/11	W/D ONLINE
3/3/2011	R2T4 PROCESSED PREV.
8/30/10	PWD of 92 pell , should be \$97.49
2/25/2011	R2T4 PROCESSED PREV.
12/1/10	earned 100%
2/11/2011	w/d online
4/7/2011	w/d online
9/10/2010	no financial aid
10/28/2010	
11/5/10	earned 100%
9/29/10	
12/18/2011	earned 100%
9/24/10	already returned the funds prev.
12/9/10	earned 100%
15-Nov	earned 100%
5/2/2011	earned 100%
10/14/10	w/d online
5/11/2011	earned 100%
9/10/2010	w/d online
5/10/2011	earned 100%
2/14/2011	Withdraw online
10/18/10	withdrew online

(b)(6); (b)(7)(C)

	10/17/10	w/d online
	10/22/10	w/d online
	9/24/10	already returned the 733 poli prev.
	9/9/10	already returned \$2224
	12/8/10	earned 100%
	5/6/2011	earned 100%
	10/13/2010	w/d online
	4/1/2011	w/d online
	10/14/10	return 2252 previously.
	9/28/2010	w/d online
	3/31/2011	w/d online
	5/11/2011	earned 100%
	5/10/2011	earned 100%
	4/4/2011	prev. r2t4 - REV
	5/19/2011	earned 100%
	5/4/2011	earned 100%
	3/28/2011	
	4/11/2011	earned 100%
	10/18/10	
	9/28/10	
	2/25/2011	R2T4 PROCESSED PREV.
	4/19/2011	earned 100%
	12/7/10	earned 100%
	9/15/2010	already returned \$1952 prev.
	12/17/2010	earned 100%
	10/21/10	
	2/28/2011	w/d online
	2/16/2011	w/d online
	12/6/2010	earned 100%
	10/20/2010	w/d online
	2/3/2011	R2T4 done prev.
	3/10/2011	w/d online
	4/26/2011	earned 100%
	10/21/2010	
	12/7/10	earned 100%
	9/23/2010	w/d online
	10/15/10	w/d online
	2/22/11	w/d online
	10/4/10	
	2/8/2011	
	4/12/2011	earned 100%
	4/6/2011	
	9/1/2010	R2T4 done prev.
	4/1/2011	w/d online
	5/9/2011	earned 100%
	10/22/2010	
	12/6/2010	earned 100%
	10/12/2010	w/d online
	4/21/2011	earned 100%
	11/15/2010	earned 100%
	9/3/2010	already ret. 2477

(b)(6); (b)(7)(C)

7050.01	995	TBD	2/11/2011 w/d online
17821	995	3/1/2011	3/29/2011 R2T4 done prev.
59.88	12737	995	10/11/2010 w/d online
710.2	995	TBD	3/31/2011 w/d online
2837.83		8/1/2012	3/4/2011 w/d online - returned 10199 to direct lending on 8/2/12
1731.65		TBD	3/31/2011 w/d online
587649.1	10199	10/15/2010	

**Final Program Review Determination  
PRCN #: 201220227806**

**Appendix E-6**

**Southern Connecticut State University's  
File Review for Finding 4: 2011/2012**

**Mecca, Shari**

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**From:** Lee, Gloria E. <leeg1@southernct.edu>  
**Sent:** Monday, August 06, 2012 5:45 PM  
**To:** Mecca, Shari  
**Cc:** DeLuca, Lewis J.  
**Subject:** Program Review - #4  
**Attachments:** Program Review Finding 4 - 1112 withdrawals.zip

Attached please find the spreadsheet that list all of the students that withdrew during the 2011-2012 year. This is the response to finding #4.

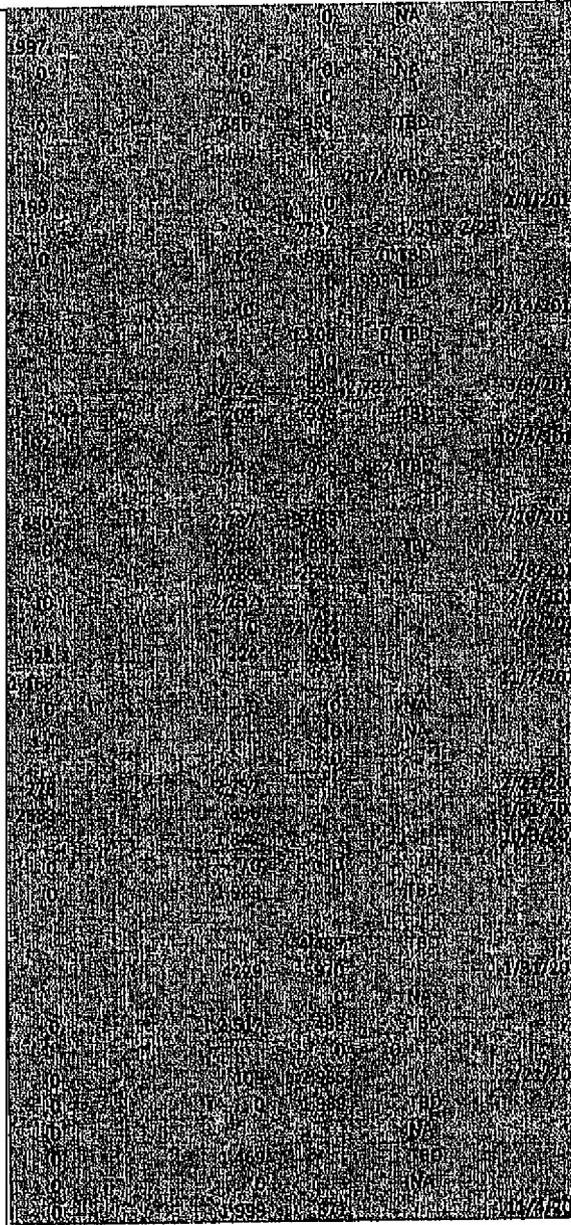
*Gloria Lee*

Director of Financial Aid & Scholarships  
Southern Connecticut State University  
501 Crescent Street  
New Haven, Connecticut 06515  
(203) 392-5445 - phone  
(203) 392-5229 - fax

AMOUNT RETURNED OR TO BE RETURNED (net amo

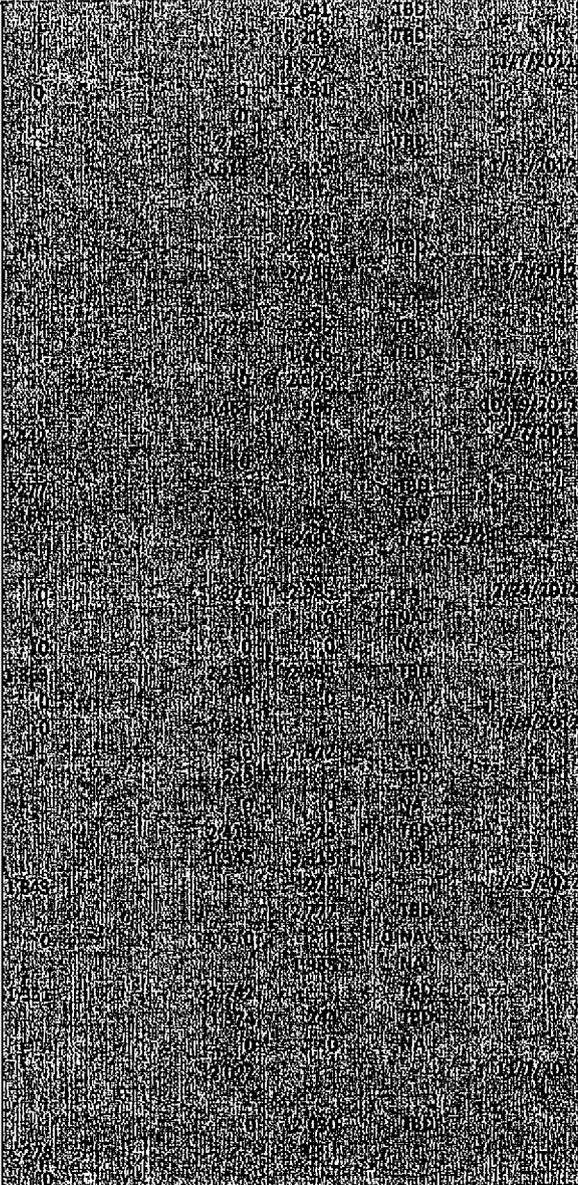
ID	LAST NAME	FIRST NAME	Correct W/D Date	Determinati on Date	AMOUNT RETURNED OR TO BE RETURNED (net amo	Comment
(b)(6); (b)(7)(C)					[REDACTED]	<p>did not attend SCSU - return all funds earned 100%</p> <p>earned 100%</p> <p>already returned the funds prev. PWD - Rev R2T4 w/d online.</p> <p>R2T2 done 5/1/12 - earned 100% w/d online</p> <p>did not attend SCSU - canceled the FA w/d online</p> <p>already ret funds prev. PWD - did not attend SCSU earned 100%</p> <p>earned 100%</p> <p>R2T4 done prev. - 5/17/12 earned 100% w/d online</p> <p>w/d online</p> <p>earned 100%</p> <p>w/d online</p> <p>already returned 2203, need to return 273</p> <p>R2T4 PROCESSED , need to return add'l funds didn't attend</p> <p>R2T4 done 4/2/12 ret.1831</p> <p>R2T4 done 4/4/12</p> <p>R2T4 done 4/13/12 earned 100%</p> <p>R2T4 done 4/2/12 earned 100%</p> <p>earned 100%</p> <p>R2T4 done 5/1/12 - earned 100% earned 100%</p> <p>R2T4 done 2/10/12</p> <p>no sap - no FA</p> <p>w/d online</p> <p>w/d online</p> <p>R2T4 done 2/7/12</p> <p>w/d online</p> <p>earned 100%</p> <p>earned 100%</p> <p>w/d online</p> <p>earned 100%</p>

(b)(6); (b)(7)(C)



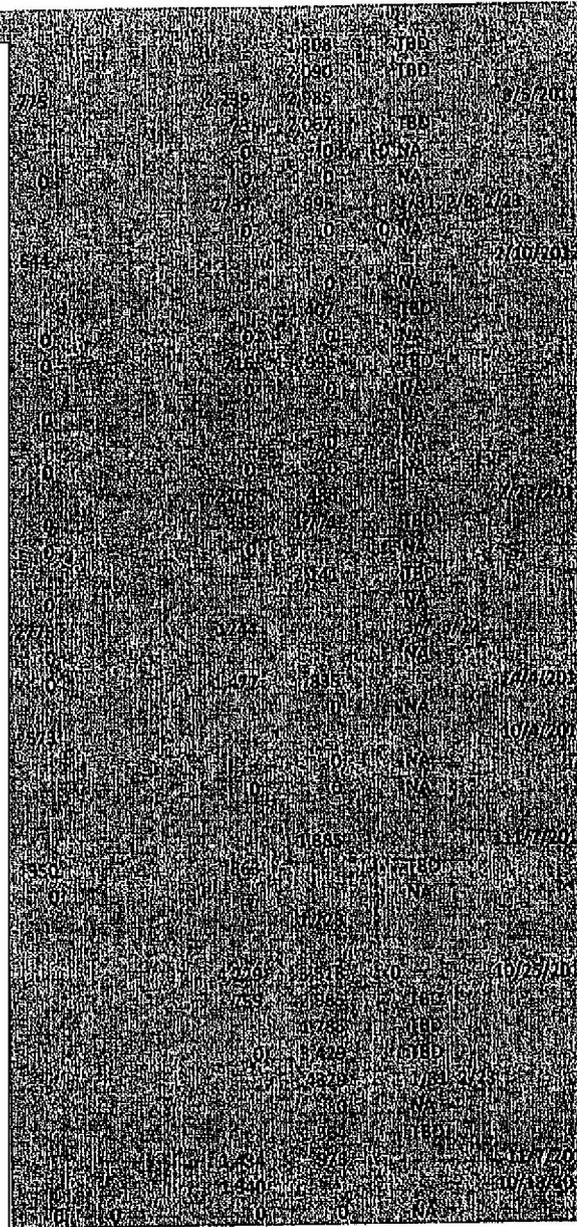
never responded to PWD  
R2T4 done 9/28/11 ret. 997  
earned 100%  
earned 100%  
w/d online  
  
w/d online - already ret. 819 per parent request  
11/1/11 PWD only eligible for 199 pell  
PWD - did not respond  
w/d online  
w/d online  
12/1/11 PWD - did not attend SCSU  
w/d online  
official R2T4 done - earned 100%  
12/1/11 official R2T4 done  
w/d online  
12/1/11 rev. R2T4 done 7/31/12  
  
R2T4 done prev. - ret. 5628 & now she was  
12/1/11 retroactively 100% w/d ret. 7/26  
online  
updated last name Abdo  
12/1/11 R2T4 done prev. - ret. 2737 2/8/12  
official R2T4 done  
w/d online  
12/1/11 official R2T4 done  
earned 100%  
R2T4 done 4/27 - earned 100%  
earned 100%  
12/1/11 R2T4 done 2/21/12 - ret. 3015  
PWD  
12/1/11 official R2T4 done prev.  
earned 100%  
  
w/d online -student rec'd "N" for midterm - not elig  
for FA  
12/1/11 didn't respond to PWD  
earned 100%  
w/d online  
R2T4 12/12/11 earned 100%  
12/1/11 R2T4 done 2/21/12 - ret. 3093  
w/D ONLINE  
No Financial Aid - 3 credits  
W/D ONLINE  
earned 100%  
12/1/11 R2T4 done prev. - ret. 1616 - REV R2T4

(b)(6); (b)(7)(C)



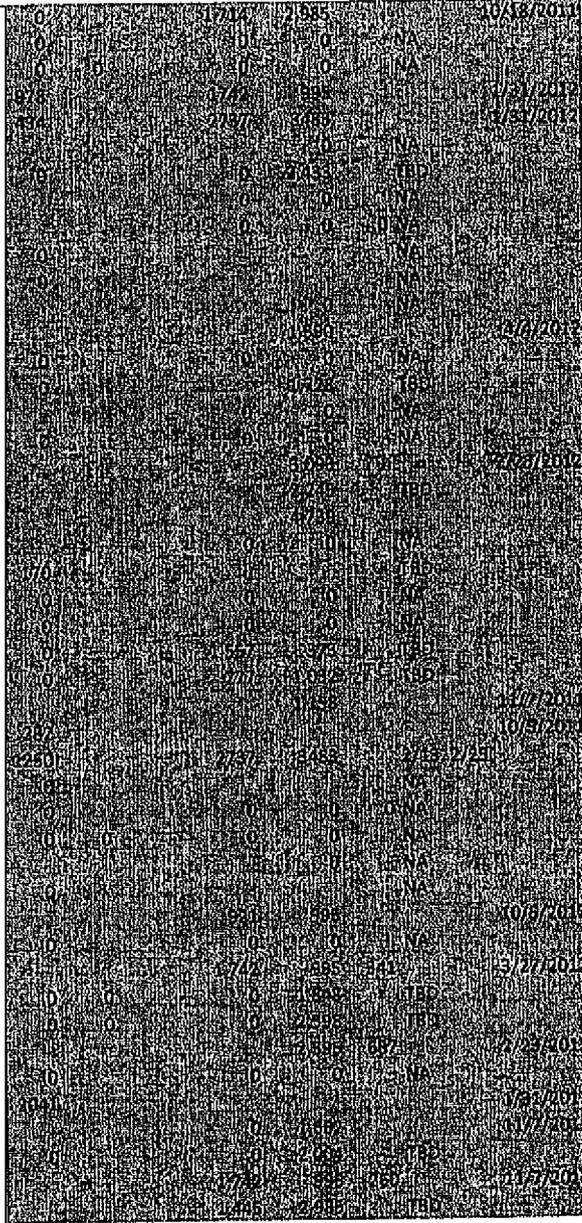
w/D ONLINE  
did not attend - "N"  
already returned \$1486 prev. - need to ret. 86  
W/D ONLINE  
earned 100%  
PWD - not elig  
accepted PWD  
student requested to ret the entire loan funds  
w/d ONLINE  
R2T4 done 3/7/12  
she w/d online on 3/20 which falls during sprk brk  
moved date to 3/17  
W/D ONLINE  
R2T4 done 4/4/12  
R2T4 done 10/19 ret. 2458 Rev. R2T4  
R2T4 done 2/7/12  
didn't respond to PWD  
w/d online  
w/d online  
didn't respond to PWD  
R2T4 done 2/23/12 - ret. 3267 need to ret. Add'l  
\$94  
R2T4 done 4/27/12 - earned 100%  
R2T4 done 5/17/12 - earned 100%  
PWD 911.89  
earned 100%  
R2T4 done 4/4/12  
R2T4 done 4/4/12 ret. 1872 Rev. R2T4  
PWD - not elig  
earned 100%  
w/d online  
w/d online  
R2T4 done 2/21 ret. 2121  
Rev. R2T4  
R2T4 done 5/17/12 - earned 100%  
R2T4 done 5/17/12 - earned 100%  
w/d online  
R2T4 done 5/17 earned 100%  
already returned \$2022 prev. - Rev. R2T4  
w/d online ON 3/20 during sprk brk moved date to  
3/17  
did not attend  
earned 100%

(b)(6); (b)(7)(C)



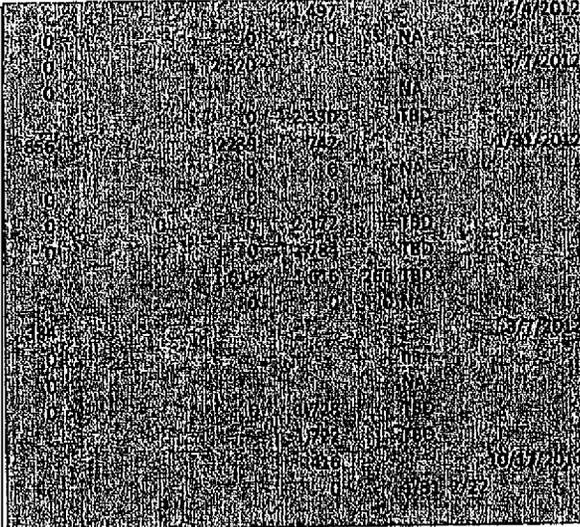
w/d online ON 3/20 during sprk brk moved date to 3/17  
w/d online  
did not attend - she went to UCONN  
w/d online  
earned 100%  
no financial aid  
didn't respond to PWD  
R2T\$ done 4/27/12 earned 100%  
R2T4 done 2/10/12  
R2T4 done 5/1/12 - earned 100%  
  
R2T4 done 9/16/11 PWD733.70  
w/d online  
earned 100%  
no financial aid - non matric  
earned 100%  
earned 100%  
  
w/d online  
R2T4 done 11/23 earned 100%  
w/d online  
no financial aid  
approved for 100% w/d  
no financial aid  
R2T4 done 4/4/12 - ret. 2312  
earned 100%  
R2T4 done 10/4 ret. 573 Rev R2T4  
R2T4 done 4/4 - earned 100%  
earned 100%  
  
R2T4 done 10/25 ret.1848 need to return add'l \$37  
PWD - not elig  
no financial aid  
R2T4 done ret.1728 Rev. R2T4  
student declined 2nd disb - need to ret. 1916.09  
unsub  
  
w/d online -  
W/D ONLINE  
didn't respond to PWD  
PWD 794 - Rev. R2t4  
w/d online  
R2T5 done 11/4/11 ret.2410  
R2T4 done - Rev R2T4  
earned 100%

(b)(6); (b)(7)(C)



R2T\$ done - Rev R2T4  
R2T4 done 5/1 - earned 100%  
R2T4 done 5/17 earned 100%  
PWD  
earned 100%  
w/d online  
earned 100%  
earned 100%  
NO FINANCIAL AID  
NO FINANCIAL AID  
R2T4 done 12/12 -earned 100%  
R2T4 PROCESSED PREV.- 4/4/12 ret. 1590  
earned 100%  
w/d online  
w/d online - earned 100%  
earned 100%  
R2T4 PROCESSED PREV.  
w/d online  
R2T4 done 2/1/12-PWD 486  
declined financial aid  
w/d online - 3credits  
R2T4 done 4/27/12 - earned 100%  
earned 100%  
w/d online  
w/d online  
R2T4 done 11/7/11 ret. 1158  
R2T4 done prev - Rev R2T4  
approved for 100% w/d  
no financial aid - 3crs  
earned 100%  
R2T4 done 5/17 - earned 100%  
R2T4 done 5/1/12 - earned 100%  
no financial aid  
R2T4 done 10/5 ret. 1916  
R2T4 done 12/12 -earned 100%  
R2T4 done 3/27/12 - ret. 3078 Rev R2t4  
w/d online  
w/d online  
R2T4 done 2/23 - ret. 3082  
R2T4 done 12/7 - earned 100%  
R2T4 done 11/7/11 ret. 1652  
w/d online  
R2T4 prev. done- ret. 2997  
w/d online

(b)(6); (b)(7)(C)



7/4/2012 R2T4 done 4/4/12 - ret. 1497  
R2T4 done 4/3/12 - earned 100%  
3/7/2012 R2T4 done 3/7/12 - ret. 2520  
R2T4 done 12/7/11 - earned 100%  
w/d online  
3/17/2012  
NO FINANCIAL AID  
R2T4 done 5/1/12 - earned 100%  
w/d online  
w/d online  
w/d online  
R2T4 5/17/12 - EARNED 100%  
3/7/2012 R2T4 3/7/12 ret. 384  
R2T4 done prev. PWD - 585.73  
NO FINANCIAL AID  
w/d online  
w/d online  
3/7/2012 R2T4 done prev. - ret. 357 need to ret. \$59  
doesn't want the PWD loan

F-G-11  
+.  
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Final Program Review Determination  
PRCN #: 201220227806

Appendix F

Cost of Funds: Finding 1

### Ineligible Disbursements (Loans) - Cost of Funds

Name of Institution: Finding 1: Failure to Confirm Enrollment Status Spring 2012

Note: Only for FFEL loans not subject to Estimated Actual Loss

No.	Description/Name	Ineligible Disbrsmnt	Program	Disbursement Date	Loan Paid Date	No. of Days	Imputed CVFR	FFEL Interest	Special Allow	To ED
(b)(6); (b)(7)(C)		\$2,239.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$6.00
		\$2,252.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$6.00
		5,658.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$15.00
		6,999.00	DL PLUS	01/31/12	05/04/12	94	1.00%			\$18.00
		179.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$0.00
		2,559.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$7.00
		2,737.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$7.00
		379.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$1.00
		2,737.00	DL Unsub	04/05/12	05/04/12	29	1.00%			\$2.00
		1,021.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$3.00
		3,013.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$8.00
		2,448.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$6.00
		992.00	DL Sub	02/06/12	05/04/12	88	1.00%			\$2.00
		3,498.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$9.00
		2,737.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$7.00
		4,229.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$11.00
		5,970.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$15.00
		2,580.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$7.00
		1,911.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$5.00
		4,229.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$11.00
		2,438.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$6.00
		4,229.00	DL Sub	02/09/12	05/04/12	85	1.00%			\$10.00
		5,565.00	DL Unsub	02/09/12	05/04/12	85	1.00%			\$13.00
		4,229.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$11.00
		4,971.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$13.00
		225.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$1.00
		2,737.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$7.00
		2,023.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$5.00
		1,424.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$4.00
		2,168.00	DL Sub	02/21/12	05/04/12	73	1.00%			\$4.00
		3,491.00	DL Unsub	02/21/12	05/04/12	73	1.00%			\$7.00
		1,148.00	DL PLUS	01/31/12	05/04/12	94	1.00%			\$3.00
		3,732.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$10.00

(b)(6); (b)(7)(C)	2,239.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$6.00
	870.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$2.00
	2,737.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$7.00
	2,488.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$6.00
	995.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$3.00
	2,737.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$7.00
	3,021.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$8.00
	4,285.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$11.00
	1,720.00	DL PLUS	01/31/12	05/04/12	94	1.00%			\$4.00
	1,495.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$4.00
	995.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$3.00
	247.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$1.00
	4,229.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$11.00
	1,138.00	DL Sub	01/31/12	05/04/12	94	1.00%			\$3.00
	1,990.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$5.00
	607.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$2.00
	995.00	DL Unsub	01/31/12	05/04/12	94	1.00%			\$3.00

Total Principal

\$ 129,535.00

Total

\$ 326.00

Total DL Sub

\$ 55,189.00

Total DL Unsub

\$ 64,479.00

Total FFEL Sub

\$ -

Total FFEL Unsub

\$ -

Total DL PLUS

\$ 9,867.00

Total FFEL PLUS

\$ -

### Ineligible Disbursements (Non-Loan) - Cost of Funds and Administrative Cost Allowance

Name of Institution: Finding 1: Failure to Confirm Enrollment Status Spring 2012

No.	Description/Name	Ineligible Disbrsmnt	Program	Disburseme nt Date	Return Paid Date	No. of Days	CVFR or Interest	Federal Share	To ED	To Inst Accounts
(b)(6); (b)(7(C)		\$2,418.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 2,418.00	\$ 6.23	\$ -
		\$1,387.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 1,387.00	\$ 3.57	\$ -
		\$350.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 350.00	\$ 0.90	\$ -
		\$2,775.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 2,775.00	\$ 7.15	\$ -
		\$100.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 100.00	\$ 0.26	\$ -
		\$1,030.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 1,030.00	\$ 2.65	\$ -
		\$693.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 693.00	\$ 1.78	\$ -
		\$1,387.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 1,387.00	\$ 3.57	\$ -
		\$1,387.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 1,387.00	\$ 3.57	\$ -
		\$475.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 475.00	\$ 1.22	\$ -
		\$693.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 693.00	\$ 1.78	\$ -
		\$1,724.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 1,724.00	\$ 4.44	\$ -
		\$1,387.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 1,387.00	\$ 3.57	\$ -
		\$425.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 425.00	\$ 1.09	\$ -
		\$693.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 693.00	\$ 1.78	\$ -
		\$0.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ -	\$ -	\$ -
		\$1,175.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 1,175.00	\$ 3.03	\$ -
		\$388.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 388.00	\$ 1.00	\$ -
		\$475.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 475.00	\$ 1.22	\$ -
		\$693.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 693.00	\$ 1.78	\$ -
		\$1,725.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 1,725.00	\$ 4.44	\$ -
		\$2,418.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 2,418.00	\$ 6.23	\$ -
		\$2,000.00	FSEOG	1/31/2012	5/4/2012	94	1.00%	\$ 1,500.00	\$ 3.86	\$ -
		\$1,387.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 1,387.00	\$ 3.57	\$ -
		\$600.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 600.00	\$ 1.55	\$ -
		\$2,418.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 2,418.00	\$ 6.23	\$ -
		\$1,387.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 1,387.00	\$ 3.57	\$ -
		\$1,387.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 1,387.00	\$ 3.57	\$ -
		\$693.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 693.00	\$ 1.78	\$ -
		\$400.00	Pell Grant	1/31/2012	5/4/2012	94	1.00%	\$ 400.00	\$ 1.03	\$ -

Total Ineligible **\$34,070.00**

Totals  
ACA Liability **\$ 86.45** **\$ -**

Campus-Based Amounts Spent (from FISAP, Part VI, Section B)  
Federal FWS

Interest Breakdown  
Pell Grants **\$ 82.59** **\$ -**

Federal SEOG  
Federal Perkins


Total C-B Spent  
ACA Percentage

\$
-

ACG	\$	-	\$	-
SMART	\$	-	\$	-
TEACH	\$	-	\$	-
FWS	\$	-	\$	-
FSEOG	\$	3.86	\$	-
Perkins	\$	-	\$	-
FWS-50% Match	\$	-	\$	-
FWS-No Match	\$	-	\$	-
FSEOG-No Match	\$	-	\$	-
Perkins-No Match	\$	-	\$	-
ACA Breakdown	\$	-	\$	-

FWS	
FSEOG	
Perkins	
FWS-50% Match	
FWS-No Match	
FSEOG-No Match	
Perkins-No Match	

	Total	Federal Share
Pell	\$ 32,070.00	\$ 32,070.00
ACG	\$ -	\$ -
SMART	\$ -	\$ -
TEACH	\$ -	\$ -
FWS	\$ -	\$ -
FSEOG	\$ 2,000.00	\$ 1,500.00
Perkins	\$ -	\$ -
FWS-50% Match	\$ -	\$ -
FWS-No Match	\$ -	\$ -
FSEOG-No Match	\$ -	\$ -
Perkins-No Match	\$ -	\$ -

Total Campus-Based

\$ 2,000.00
\$ 1,500.00

\* Per email from Gloria Lee on 1/7/2013, this student was eligible for \$1388.00 Pell Grant for the Spring 2012 semester but the record was rejected by COD. Therefore no funds were drawdown from the Department for the student and no refund is necessary.

### Ineligible Disbursements (Loans) - Cost of Funds

Name of Institution: Finding 1: Failure to Confirm Enrollment Status Fall 2011

Note: Only for FFEL loans not subject to Estimated Actual Loss

No.	Description/Name	Ineligible Disbrsmnt	Program	Disbursement Date	Loan Paid Date	No. of Days	Imputed CVFR	FFEL Interest	Special Allow	To ED
(b)(6); (b)(7)(C)		\$1,836.00	DL PLUS	09/19/11	05/04/12	228	1.00%			\$11.00
		\$1,836.00	DL PLUS	10/24/11	05/04/12	193	1.00%			\$10.00
		\$498.00	DL Unsub	09/19/11	05/04/12	228	1.00%			\$3.00
		\$498.00	DL Unsub	10/24/11	05/04/12	193	1.00%			\$3.00
		\$567.00	DL Unsub	09/19/11	05/04/12	228	1.00%			\$4.00
		\$567.00	DL Unsub	10/24/11	05/04/12	193	1.00%			\$3.00
		\$609.00	DL Unsub	11/23/11	05/04/12	163	1.00%			\$3.00
		5,224.00	DL Unsub	09/19/11	05/04/12	228	1.00%			\$33.00
		1,369.00	DL Sub	09/19/11	05/04/12	228	1.00%			\$9.00
		1,369.00	DL Sub	10/24/11	05/04/12	193	1.00%			\$7.00
		1,742.00	DL Unsub	09/19/11	05/04/12	228	1.00%			\$11.00
		1,742.00	DL Unsub	10/24/11	05/04/12	193	1.00%			\$9.00
		1,808.00	DL Unsub	10/24/11	05/04/12	193	1.00%			\$10.00
		4,229.00	DL Sub	09/19/11	05/04/12	228	1.00%			\$26.00
		2,115.00	DL Sub	09/19/11	05/04/12	228	1.00%			\$13.00
		2,115.00	DL Sub	10/24/11	05/04/12	193	1.00%			\$11.00
		2,587.00	DL Unsub	09/19/11	05/04/12	228	1.00%			\$16.00
		2,587.00	DL Unsub	10/24/11	05/04/12	193	1.00%			\$14.00
		3,732.00	DL Unsub	09/19/11	05/04/12	228	1.00%			\$23.00
		2,488.00	DL Unsub	09/19/11	05/04/12	228	1.00%			\$16.00
		871.00	DL Sub	10/06/11	05/04/12	211	1.00%			\$5.00
		871.00	DL Sub	10/24/11	05/04/12	193	1.00%			\$5.00
		1,493.00	DL Unsub	10/06/11	05/04/12	211	1.00%			\$9.00
		1,493.00	DL Unsub	10/24/11	05/04/12	193	1.00%			\$8.00
		564.00	DL Sub	09/19/11	05/04/12	228	1.00%			\$4.00
		563.00	DL Sub	10/24/11	05/04/12	193	1.00%			\$3.00
		1,682.00	DL Unsub	09/19/11	05/04/12	228	1.00%			\$11.00
		1,682.00	DL Unsub	10/24/11	05/04/12	193	1.00%			\$9.00
		2,115.00	DL Sub	09/19/11	05/04/12	228	1.00%			\$13.00
		2,115.00	DL Sub	10/24/11	05/04/12	193	1.00%			\$11.00
		384.00	DL Unsub	09/19/11	05/04/12	228	1.00%			\$2.00
		383.00	DL Unsub	10/24/11	05/04/12	193	1.00%			\$2.00



\$ 317.00

Total

\$ 53,734.00

\$ 18,296.00

\$ 31,766.00

\$ -

\$ -

\$ 3,672.00

\$ -

Total Principal

Total DL Sub

Total DL Unsub

Total FFEL Sub

Total FFEL Unsub

Total DL PLUS

Total FFEL PLUS

Name of Institution:

Finding 1: Failure to Confirm Enrollment Status Fall 2011  
Interest Only

*Note: Only for FFEL loans not subject to Estimated Actual Loss*

No.	Description/Name	Ineligible Disbrsmnt	Program	Disburse ment Date	Loan Paid Date*	No. of Days	Imputed CVFR	FFEL Interest	Special Allow	To ED
	(b)(6); (b)(7)(C)	824.00	DL PLUS	09/19/11	08/06/12	322	1.00%			\$7.00
		824.00	DL PLUS	10/24/11	08/06/12	287	1.00%			\$6.00
		2,115.00	DL Sub	09/19/11	08/06/12	322	1.00%			\$19.00
		2,115.00	DL Sub	10/24/11	08/06/12	287	1.00%			\$17.00
		2,985.00	DL Unsub	09/19/11	08/06/12	322	1.00%			\$26.00
		2,985.00	DL Unsub	10/24/11	08/06/12	287	1.00%			\$23.00
		2,737.00	DL Unsub	10/28/11	08/06/12	283	1.00%			\$21.00

Total Principal \$ 14,585.00

Total \$ 119.00

Total DL Sub	\$ 4,230.00
Total DL Unsub	\$ 8,707.00
Total FFEL Sub	\$ -
Total FFEL Unsub	\$ -
Total PLUS	\$ 824.00

\*Date funds returned to the Department

### Ineligible Disbursements (Non-Loan) - Cost of Funds and Administrative Cost Allowance

Name of Institution: Name of Institution: Finding 1: Failure to Confirm Enrollment Status Fall 2011

No.	Description/Name	Ineligible Disbrsmnt	Program	Disburseme nt Date	Return Paid Date	No. of Days	CVFR or Interest	Federal Share	To ED	To Inst Accounts
(b)(6); (b)(7)(C)		\$1,387.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 1,387.00	\$ 8.66	\$ -
		\$312.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 312.00	\$ 1.95	\$ -
		\$1,387.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 1,387.00	\$ 8.66	\$ -
		\$693.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 693.00	\$ 4.33	\$ -
		\$693.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 693.00	\$ 4.33	\$ -
		\$500.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 500.00	\$ 3.12	\$ -
		\$693.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 693.00	\$ 4.33	\$ -
		\$2,400.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 2,400.00	\$ 14.99	\$ -
		\$400.00	Pell Grant	9/23/2011	5/4/2012	224	1.00%	\$ 400.00	\$ 2.45	\$ -
		\$687.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 687.00	\$ 4.29	\$ -
		\$563.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 563.00	\$ 3.52	\$ -
		\$469.00	Pell Grant	9/23/2011	5/4/2012	224	1.00%	\$ 469.00	\$ 2.88	\$ -
		\$693.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 693.00	\$ 4.33	\$ -
		\$693.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 693.00	\$ 4.33	\$ -
		\$693.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 693.00	\$ 4.33	\$ -
		\$693.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 693.00	\$ 4.33	\$ -
		\$1,387.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 1,387.00	\$ 8.66	\$ -
		\$693.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 693.00	\$ 4.33	\$ -
		\$693.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 693.00	\$ 4.33	\$ -
		\$1,387.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 1,387.00	\$ 8.66	\$ -
		\$1,300.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 1,300.00	\$ 8.12	\$ -
		\$1,387.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 1,387.00	\$ 8.66	\$ -
		\$693.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 693.00	\$ 4.33	\$ -
		\$278.00	Pell Grant	5/3/2012	5/4/2012	1	1.00%	\$ 278.00	\$ 0.01	\$ -
		\$693.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 693.00	\$ 4.33	\$ -
		\$1,200.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 1,200.00	\$ 7.50	\$ -
		\$693.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 693.00	\$ 4.33	\$ -
		\$687.00	Pell Grant	9/19/2011	5/4/2012	228	1.00%	\$ 687.00	\$ 4.29	\$ -

Total Ineligible \$24,047.00

Totals \$ 148.39 \$ -  
 ACA Liability

Campus-Based Amounts Spent (from FISAP, Part VI, Section B)

Interest Breakdown

Pell Grants	\$	148.39	\$	-
ACG	\$	-	\$	-
SMART	\$	-	\$	-
TEACH	\$	-	\$	-
FWS	\$	-	\$	-
FSEOG	\$	-	\$	-
Perkins	\$	-	\$	-
FWS-50% Match	\$	-	\$	-
FWS-No Match	\$	-	\$	-
FSEOG-No Match	\$	-	\$	-
Perkins-No Match	\$	-	\$	-
<b>ACA Breakdown</b>				

FWS	-
FSEOG	-
Perkins	-
FWS-50% Match	-
FWS-No Match	-
FSEOG-No Match	-
Perkins-No Match	-


\$	-
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Federal FWS  
Federal SEOG  
Federal Perkins

Total C-B Spent  
ACA Percentage

	Total	Federal Share
Pell	\$ 24,047.00	\$ 24,047.00
ACG	\$ -	\$ -
SMART	\$ -	\$ -
TEACH	\$ -	\$ -
FWS	\$ -	\$ -
FSEOG	\$ -	\$ -
Perkins	\$ -	\$ -
FWS-50% Match	\$ -	\$ -
FWS-No Match	\$ -	\$ -
FSEOG-No Match	\$ -	\$ -
Perkins-No Match	\$ -	\$ -

\$	-	\$	-
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Total Campus-Based

## Ineligible Disbursements (Loans) - Cost of Funds

Name of Institution:

Finding 1: Failure to Confirm Enrollment Status Spring 2011

Note: Only for FFEL loans not subject to Estimated Actual Loss

No.	Description/Name	Ineligible Disbrsmnt	Program	Disbursement Date	Loan Paid Date	No. of Days	Imputed CVFR	FFEL Interest	Special Allow	To ED
(b)(6); (b)(7)(C)		\$1,742.00	DL Sub	02/04/11	05/04/12	455	1.00%			\$22.00
		\$2,737.00	DL Sub	02/08/11	05/04/12	451	1.00%			\$34.00
		3,483.00	DL Unsub	02/08/11	05/04/12	451	1.00%			\$43.00
		2,887.00	DL PLUS	02/04/11	05/04/12	455	1.00%			\$33.00
		2,239.00	DL Sub	02/04/11	05/04/12	455	1.00%			\$28.00
		995.00	DL Unsub	02/04/11	05/04/12	455	1.00%			\$12.00
		2,737.00	DL Sub	02/04/11	05/04/12	455	1.00%			\$34.00
		995.00	DL Unsub	02/04/11	05/04/12	455	1.00%			\$12.00
		2,737.00	DL Sub	02/09/11	05/04/12	450	1.00%			\$34.00
		279.00	DL Unsub	02/09/11	05/04/12	450	1.00%			\$3.00
		2,737.00	DL Sub	02/04/11	05/04/12	455	1.00%			\$34.00
		649.00	DL Sub	02/04/11	05/04/12	455	1.00%			\$8.00
		648.00	DL Sub	03/23/11	05/04/12	408	1.00%			\$7.00
		2,524.00	DL Unsub	02/04/11	05/04/12	455	1.00%			\$31.00
		2,523.00	DL Unsub	03/23/11	05/04/12	408	1.00%			\$28.00
		2,239.00	DL Sub	02/04/11	05/04/12	455	1.00%			\$28.00
		2,239.00	DL Sub	03/23/11	05/04/12	408	1.00%			\$25.00
		2,073.00	DL Unsub	02/04/11	05/04/12	455	1.00%			\$26.00
		2,072.00	DL Unsub	03/23/11	05/04/12	408	1.00%			\$23.00
		2,737.00	DL Sub	02/04/11	05/04/12	455	1.00%			\$34.00
		2,552.00	DL Sub	02/04/11	05/04/12	455	1.00%			\$32.00
		2,604.00	DL Unsub	02/04/11	05/04/12	455	1.00%			\$32.00
		864.00	DL Sub	02/04/11	05/04/12	455	1.00%			\$11.00
		1,872.00	DL Unsub	02/04/11	05/04/12	455	1.00%			\$23.00
		2,364.00	DL Sub	02/04/11	05/04/12	455	1.00%			\$29.00
		2,364.00	DL Sub	03/23/11	05/04/12	408	1.00%			\$26.00
		2,737.00	DL Sub	02/09/11	05/04/12	450	1.00%			\$34.00
		605.00	DL Unsub	02/09/11	05/04/12	450	1.00%			\$7.00
		2,239.00	DL Sub	02/11/11	05/04/12	448	1.00%			\$27.00
		2,239.00	DL Sub	03/23/11	05/04/12	408	1.00%			\$25.00
	498.00	DL Unsub	02/11/11	05/04/12	448	1.00%			\$6.00	
	498.00	DL Unsub	03/23/11	05/04/12	408	1.00%			\$6.00	
	2,197.00	DL Unsub	02/08/11	05/04/12	451	1.00%			\$27.00	
	2,197.00	DL Unsub	03/23/11	05/04/12	408	1.00%			\$25.00	

(b)(6); (b)(7)(C)	1,493.00	DL Unsub	02/09/11	05/04/12	450	1.00%		\$18.00
	1,664.00	DL Unsub	02/09/11	05/04/12	450	1.00%		\$21.00
	2,068.00	DL Unsub	02/10/11	05/04/12	449	1.00%		\$25.00
	2,239.00	DL Sub	02/04/11	05/04/12	455	1.00%		\$28.00
	2,985.00	DL Unsub	02/04/11	05/04/12	455	1.00%		\$37.00
	2,239.00	DL Sub	02/08/11	05/04/12	451	1.00%		\$28.00
	995.00	DL Unsub	02/08/11	05/04/12	451	1.00%		\$12.00
	1,369.00	DL Sub	02/04/11	05/04/12	455	1.00%		\$17.00
	1,369.00	DL Sub	03/23/11	05/04/12	408	1.00%		\$15.00
	498.00	DL Unsub	02/04/11	05/04/12	455	1.00%		\$6.00
	498.00	DL Unsub	03/23/11	05/04/12	408	1.00%		\$6.00
	2,737.00	DL Sub	02/04/11	05/04/12	455	1.00%		\$34.00
	3,021.00	DL Unsub	02/04/11	05/04/12	455	1.00%		\$38.00
	871.00	DL Sub	02/04/11	05/04/12	455	1.00%		\$11.00
	871.00	DL Sub	03/23/11	05/04/12	408	1.00%		\$10.00
	1,493.00	DL Unsub	02/04/11	05/04/12	455	1.00%		\$19.00
	1,493.00	DL Unsub	03/23/11	05/04/12	408	1.00%		\$17.00
	4,229.00	DL Sub	02/04/11	05/04/12	455	1.00%		\$53.00
	5,970.00	DL Unsub	02/04/11	05/04/12	455	1.00%		\$74.00
	1,507.00	DL Sub	02/04/11	05/04/12	455	1.00%		\$19.00
	1,507.00	DL Sub	03/23/11	05/04/12	408	1.00%		\$17.00
	1,624.00	DL Sub	02/04/11	05/04/12	455	1.00%		\$20.00
	2,737.00	DL Sub	02/04/11	05/04/12	455	1.00%		\$34.00
	2,488.00	DL Unsub	02/04/11	05/04/12	455	1.00%		\$31.00
	995.00	DL Unsub	02/04/11	05/04/12	455	1.00%		\$12.00
	1,369.00	DL Sub	02/15/11	05/04/12	444	1.00%		\$17.00
	1,369.00	DL Sub	03/23/11	05/04/12	408	1.00%		\$15.00
	375.00	DL Unsub	02/15/11	05/04/12	444	1.00%		\$5.00
	374.00	DL Unsub	03/23/11	05/04/12	408	1.00%		\$4.00

Total Principal

\$ 119,349.00

Total

\$ 1,452.00

Total DL Sub

\$ 64,837.00

Total DL Unsub

\$ 51,825.00

Total FFEL Sub

\$ -

Total FFEL Unsub

\$ -

Total DL PLUS

\$ 2,687.00

Total FFEL PLUS

\$ -

Name of Institution:

Finding 1: Failure to Confirm Enrollment Status Spring 2011  
Interest Only

Note: Only for FFEL loans not  
subject to Estimated Actual Loss

No.	Description/Name	Ineligible Disbrsmnt	Program	Disbursement Date	Loan Paid Date*	No. of Days	Imputed CVFR	FFEL Interest	Special Allow	To ED
(b)(6); (b)(7(C))		972.00	DL Sub	02/04/11	05/13/13	829	1.00%			\$22.00
		971.00	DL Sub	03/23/11	05/13/13	782	1.00%			\$21.00
		1,999.00	DL Unsub	02/04/11	05/13/13	829	1.00%			\$45.00
		1,999.00	DL Unsub	03/23/11	05/13/13	782	1.00%			\$43.00

Total Principal \$5,941.00

Total \$ 131.00

Total DL Sub	\$ -
Total DL Unsub	\$ -
Total FFEL Sub	\$ -
Total FFEL Unsub	\$ -
Total PLUS	\$ -

\*Date funds returned to the Department

### Ineligible Disbursements (Non-Loan) - Cost of Funds and Administrative Cost Allowance

Name of Institution: Finding 1: Failure to Confirm Enrollment Status Spring 2011

No.	Description/Name	Ineligible Disbrsmnt	Program	Disburseme nt Date	Return Paid Date	No. of Days	CVFR or Interest	Federal Share	To ED	To Inst Accounts
(b)(6); (b)(7)(C)		\$1,031.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,031.00	\$ 12.85	\$ -
		\$1,387.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,387.00	\$ 17.29	\$ -
		\$375.00	ACG	2/4/2011	5/4/2012	455	1.00%	\$ 375.00	\$ 4.67	\$ -
		\$2,200.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 2,200.00	\$ 27.42	\$ -
		\$1,387.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,387.00	\$ 17.29	\$ -
		\$788.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 788.00	\$ 9.82	\$ -
		\$1,025.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,025.00	\$ 12.78	\$ -
		\$1,387.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,387.00	\$ 17.29	\$ -
		\$1,387.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,387.00	\$ 17.29	\$ -
		\$1,388.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,388.00	\$ 17.30	\$ -
		\$237.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 237.00	\$ 2.95	\$ -
		\$2,775.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 2,775.00	\$ 34.59	\$ -
		\$1,387.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,387.00	\$ 17.29	\$ -
		\$1,387.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,387.00	\$ 17.29	\$ -
		\$1,100.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,100.00	\$ 13.71	\$ -
		\$2,775.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 2,775.00	\$ 34.59	\$ -
		\$350.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 350.00	\$ 4.36	\$ -
		\$512.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 512.00	\$ 6.38	\$ -
		\$1,387.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,387.00	\$ 17.29	\$ -
		\$694.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 694.00	\$ 8.65	\$ -
		\$693.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 693.00	\$ 8.64	\$ -
		\$1,387.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,387.00	\$ 17.29	\$ -
		\$294.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 294.00	\$ 3.66	\$ -
		\$1,050.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,050.00	\$ 13.09	\$ -
		\$693.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 693.00	\$ 8.64	\$ -
		\$693.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 693.00	\$ 8.64	\$ -
		\$1,375.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,375.00	\$ 17.14	\$ -
		\$693.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 693.00	\$ 8.64	\$ -
		\$975.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 975.00	\$ 12.15	\$ -
		\$1,387.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,387.00	\$ 17.29	\$ -
		\$693.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 693.00	\$ 8.64	\$ -
		\$1,300.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,300.00	\$ 16.21	\$ -
		\$688.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 688.00	\$ 8.58	\$ -
		\$1,325.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,325.00	\$ 16.52	\$ -
		\$1,387.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,387.00	\$ 17.29	\$ -

(b)(6); (b)(7)(C)	\$385.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 385.00	\$ 4.80	\$ -
	\$2,775.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 2,775.00	\$ 34.59	\$ -
	\$425.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 425.00	\$ 5.30	\$ -
	\$693.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 693.00	\$ 8.64	\$ -
	\$2,775.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 2,775.00	\$ 34.59	\$ -
	\$2,418.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 2,418.00	\$ 30.14	\$ -
	\$250.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 250.00	\$ 3.12	\$ -
	\$1,387.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,387.00	\$ 17.29	\$ -
	\$694.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 694.00	\$ 8.65	\$ -
	\$1,388.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 1,388.00	\$ 17.30	\$ -
	\$693.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 693.00	\$ 8.64	\$ -
	\$950.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 950.00	\$ 11.84	\$ -
	\$650.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 650.00	\$ 8.10	\$ -

Total Ineligible **\$55,075.00**

Totals  
ACA Liability **\$ 686.55 \$ -**

**Campus-Based Amounts Spent (from FISAP, Part VI, Section B)**

Federal FWS	
Federal SEOG	
Federal Perkins	

Total C-B Spent **\$ -**  
ACA Percentage

	Total	Federal Share
Pell	\$ 54,700.00	\$ 54,700.00
ACG	\$ 375.00	\$ 375.00
SMART	\$ -	\$ -
TEACH	\$ -	\$ -
FWS	\$ -	\$ -
FSEOG	\$ -	\$ -
Perkins	\$ -	\$ -
FWS-50% Match	\$ -	\$ -
FWS-No Match	\$ -	\$ -
FSEOG-No Match	\$ -	\$ -
Perkins-No Match	\$ -	\$ -

Total Campus-Based **\$ - \$ -**

**Interest Breakdown**

Pell Grants	\$ 681.88	\$ -
ACG	\$ 4.67	\$ -
SMART	\$ -	\$ -
TEACH	\$ -	\$ -
FWS	\$ -	\$ -
FSEOG	\$ -	\$ -
Perkins	\$ -	\$ -
FWS-50% Match	\$ -	\$ -
FWS-No Match	\$ -	\$ -
FSEOG-No Match	\$ -	\$ -
Perkins-No Match	\$ -	\$ -

**ACA Breakdown**

FWS	
FSEOG	
Perkins	
FWS-50% Match	
FWS-No Match	
FSEOG-No Match	
Perkins-No Match	

### Ineligible Disbursements (Non-Loan) - Cost of Funds and Administrative Cost Allowance

Name of Institution: Finding 1: Failure to Confirm Enrollment Status Spring 2011 Interest Only

No.	Description/Name	Ineligible Disbrsmnt	Program	Disburseme nt Date	Return Paid Date	No..of Days	CVFR or Interest	Federal Share	To-ED	To Inst Accounts
(b)(6); (b)(7)(C)		\$2,418.00	Pell Grant	2/4/2011	5/4/2012	455	1.00%	\$ 2,418.00	\$ 30.14	\$ -

Total Ineligible \$2,418.00

Totals  
ACA Liability \$ 30.14 \$ -

**Campus-Based Amounts Spent (from FISAP, Part VI, Section B)**

Federal FWS	
Federal SEOG	
Federal Perkins	

Total C-B Spent \$ -  
ACA Percentage

	Total	Federal Share
Pell	\$ 2,418.00	\$ 2,418.00
ACG	\$ -	\$ -
SMART	\$ -	\$ -
TEACH	\$ -	\$ -
FWS	\$ -	\$ -
FSEOG	\$ -	\$ -
Perkins	\$ -	\$ -
FWS-50% Match	\$ -	\$ -
FWS-No Match	\$ -	\$ -
FSEOG-No Match	\$ -	\$ -
Perkins-No Match	\$ -	\$ -

Total Campus-Based \$ - \$ -

**Interest Breakdown**

Pell Grants	\$ 30.14	\$ -
ACG	\$ -	\$ -
SMART	\$ -	\$ -
TEACH	\$ -	\$ -
FWS	\$ -	\$ -
FSEOG	\$ -	\$ -
Perkins	\$ -	\$ -
FWS-50% Match	\$ -	\$ -
FWS-No Match	\$ -	\$ -
FSEOG-No Match	\$ -	\$ -
Perkins-No Match	\$ -	\$ -

**ACA Breakdown**

FWS	
FSEOG	
Perkins	
FWS-50% Match	
FWS-No Match	
FSEOG-No Match	
Perkins-No Match	

### Ineligible Disbursements (Loans) - Cost of Funds

Name of Institution: Finding 1: Failure to Confirm Enrollment Status Fall 2010

Note: Only for FFEL loans not subject to Estimated Actual Loss

No.	Description/Name	Ineligible Disbrsmnt	Program	Disbursement Date	Loan Paid Date	No. of Days	Imputed CVFR	FFEL Interest	Special Allow	To ED
(b)(6); (b)(7)(C)		\$2,688.00	DL PLUS	09/13/10	05/04/12	599	1.00%			\$44.00
		\$2,239.00	DL Sub	09/13/10	05/04/12	599	1.00%			\$37.00
		\$995.00	DL Unsub	09/13/10	05/04/12	599	1.00%			\$16.00
		\$2,737.00	DL Unsub	09/29/10	05/04/12	583	1.00%			\$44.00
		\$1,990.00	DL Unsub	09/29/10	05/04/12	583	1.00%			\$32.00
		\$161.00	DL Sub	09/15/10	05/04/12	597	1.00%			\$3.00
		\$462.00	DL Unsub	09/21/10	05/04/12	591	1.00%			\$7.00
		\$5,970.00	DL Unsub	09/15/10	05/04/12	597	1.00%			\$98.00
		\$2,737.00	DL Sub	09/13/10	05/04/12	599	1.00%			\$45.00
		\$2,985.00	DL Unsub	09/13/10	05/04/12	599	1.00%			\$49.00
		\$4,229.00	DL Sub	09/13/10	05/04/12	599	1.00%			\$69.00
		\$5,970.00	DL Unsub	09/13/10	05/04/12	599	1.00%			\$98.00
		\$504.00	DL Sub	09/13/10	05/04/12	599	1.00%			\$8.00
		\$4,395.00	DL Unsub	09/21/10	05/04/12	591	1.00%			\$71.00
		\$4,229.00	DL Sub	09/13/10	05/04/12	599	1.00%			\$69.00
		\$5,970.00	DL Unsub	09/13/10	05/04/12	599	1.00%			\$98.00
		\$2,737.00	DL Sub	09/13/10	05/04/12	599	1.00%			\$45.00
		\$835.00	DL Unsub	09/13/10	05/04/12	599	1.00%			\$14.00
		\$816.00	DL Sub	09/16/10	05/04/12	596	1.00%			\$13.00
		\$717.00	DL Unsub	09/16/10	05/04/12	596	1.00%			\$12.00
		\$4,229.00	DL Sub	09/13/10	05/04/12	599	1.00%			\$69.00
		\$5,970.00	DL Unsub	09/13/10	05/04/12	599	1.00%			\$98.00
		\$2,737.00	DL Sub	09/13/10	05/04/12	599	1.00%			\$45.00
		\$278.00	DL Unsub	09/13/10	05/04/12	599	1.00%			\$5.00
		\$3,732.00	DL Unsub	09/13/10	05/04/12	599	1.00%			\$61.00
		\$249.00	DL Sub	09/15/10	05/04/12	597	1.00%			\$4.00
		\$2,488.00	DL Sub	09/21/10	05/04/12	591	1.00%			\$40.00
		\$2,637.00	DL Unsub	09/15/10	05/04/12	597	1.00%			\$43.00

\$ 1,237.00

Total

\$ 75,686.00

Total DL Sub	\$ 27,355.00
Total DL Unsub	\$ 45,643.00
Total FFEL Sub	\$ -
Total FFEL Unsub	\$ -
Total DL PLUS	\$ 2,688.00
Total FFEL PLUS	\$ -

### Ineligible Disbursements (Non-Loan) - Cost of Funds and Administrative Cost Allowance

Name of Institution: Finding 1: Failure to Confirm Enrollment Status Fall 2010

No.	Description/Name	Ineligible Disbrsmnt	Program	Disburseme nt Date	Return Paid Date	No. of Days	CVFR or Interest	Federal Share	To ED	To Inst Accounts
(b)(6); (b)(7(C)		\$693.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 693.00	\$ 11.37	\$ -
		\$1,387.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 1,387.00	\$ 22.76	\$ -
		\$693.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 693.00	\$ 11.37	\$ -
		\$2,050.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 2,050.00	\$ 33.64	\$ -
		\$538.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 538.00	\$ 8.83	\$ -
		\$693.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 693.00	\$ 11.37	\$ -
		\$775.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 775.00	\$ 12.72	\$ -
		\$2,388.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 2,388.00	\$ 39.19	\$ -
		\$500.00	FSEOG	9/13/2010	5/4/2012	599	1.00%	\$ 375.00	\$ 6.15	\$ -
		\$2,000.00	SMART	9/13/2010	5/4/2012	599	1.00%	\$ 2,000.00	\$ 32.82	\$ -
		\$1,387.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 1,387.00	\$ 22.76	\$ -
		\$1,387.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 1,387.00	\$ 22.76	\$ -
		\$693.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 693.00	\$ 11.37	\$ -
		\$688.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 688.00	\$ 11.29	\$ -
		\$1,387.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 1,387.00	\$ 22.76	\$ -
		\$693.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 693.00	\$ 11.37	\$ -
		\$2,418.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 2,418.00	\$ 39.68	\$ -
		\$693.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 693.00	\$ 11.37	\$ -
		\$1,260.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 1,260.00	\$ 20.68	\$ -
		\$693.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 693.00	\$ 11.37	\$ -
		\$1,387.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 1,387.00	\$ 22.76	\$ -
		\$1,031.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 1,031.00	\$ 16.92	\$ -
		\$525.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 525.00	\$ 8.62	\$ -
		\$350.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 350.00	\$ 5.74	\$ -
		\$693.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 693.00	\$ 11.37	\$ -
		\$775.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 775.00	\$ 12.72	\$ -
		\$975.00	Pell Grant	9/13/2010	5/4/2012	599	1.00%	\$ 975.00	\$ 16.00	\$ -

Total Ineligible \$28,752.00

Totals \$ 469.80 \$ -  
 ACA Liability

Campus-Based Amounts Spent (from FISAP, Part VI, Section B)

Interest Breakdown

Federal FWS  
 Federal SEOG  
 Federal Perkins


Total C-B Spent  
 ACA Percentage

\$ -

Pell Grants

\$ 430.82	\$ -
\$ -	\$ -
\$ 32.82	\$ -
\$ -	\$ -
\$ -	\$ -
\$ 6.15	\$ -
\$ -	\$ -
\$ -	\$ -
\$ -	\$ -
\$ -	\$ -
\$ -	\$ -
\$ -	\$ -

ACG  
 SMART  
 TEACH  
 FWS  
 FSEOG  
 Perkins  
 FWS-50% Match  
 FWS-No Match  
 FSEOG-No Match  
 Perkins-No Match  
**ACA Breakdown**

FWS  
 FSEOG  
 Perkins  
 FWS-50% Match  
 FWS-No Match  
 FSEOG-No Match  
 Perkins-No Match


	Total	Federal Share
Pell	\$ 26,252.00	\$ 26,252.00
ACG	\$ -	\$ -
SMART	\$ 2,000.00	\$ 2,000.00
TEACH	\$ -	\$ -
FWS	\$ -	\$ -
FSEOG	\$ 500.00	\$ 375.00
Perkins	\$ -	\$ -
FWS-50% Match	\$ -	\$ -
FWS-No Match	\$ -	\$ -
FSEOG-No Match	\$ -	\$ -
Perkins-No Match	\$ -	\$ -

Total Campus-Based

\$ 500.00	\$ 375.00
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Final Program Review Determination  
PRCN #: 201220227806

## Appendix G

Cost of Funds: Finding 2

Late or Unmade Returns (Loans) - Cost of Funds

Name of Institution:

Finding 4: 2011/2012 Principal and Interest

Note: The withdrawal date is necessary to determine if an institution has 30 (default) or 45 days to return funds

No.	Description/Name	Return Amount	Program	W/D Date	Institution Det Date	Return Paid Date	Return Due Date	Days Late	Days ED	Days Stud	Imputed CVFR	FFEL Interest	Special Allow	To ED	To Student
(b)(6); (b)(7)(C)		\$2,374.00	DL Unsub	10/20/11	10/20/11 *	05/04/12	12/4/2011	152			1.00%			\$ 10.00	
		\$1,387.00	DL Unsub	03/27/12	03/27/12 *	05/04/12	5/11/2012	-7			1.00%			\$ -	
		\$1,120.00	DL Sub	10/27/11	10/27/11 *	05/04/12	12/11/2011	145			1.00%			\$ 4.00	
		\$498.00	DL Unsub	10/27/11	10/27/11 *	05/04/12	12/11/2011	145			1.00%			\$ 2.00	
		\$1,511.00	DL Sub	10/11/11	10/11/11 *	05/04/12	11/25/2011	161			1.00%			\$ 7.00	
		\$3,907.00	DL Unsub	10/11/11	10/11/11 *	05/04/12	11/25/2011	161			1.00%			\$ 17.00	
		\$1,369.00	DL Sub	09/26/11	09/26/11 *	05/04/12	11/10/2011	176			1.00%			\$ 7.00	
		\$3,136.00	DL Unsub	09/26/11	09/26/11 *	05/04/12	11/10/2011	176			1.00%			\$ 15.00	
		\$321.00	DL Sub	10/11/11	10/11/11 *	05/04/12	11/25/2011	161			1.00%			\$ 1.00	
		\$2,095.00	DL Unsub	10/11/11	10/11/11 *	05/04/12	11/25/2011	161			1.00%			\$ 9.00	
		\$273.00	DL Sub	10/07/11	10/11/11	05/04/12	11/25/2011	161			1.00%			\$ 1.00	
		\$214.00	DL Sub	09/14/11	03/13/12	05/04/12	4/27/2012	7			1.00%			\$ -	
		\$1,769.00	DL Unsub	10/27/11	10/27/11 *	05/04/12	12/11/2011	145			1.00%			\$ 7.00	
		\$3,015.00	DL Unsub	02/15/12	02/15/12 *	05/04/12	3/31/2012	34			1.00%			\$ 3.00	
		\$856.00	DL Sub	02/02/12	02/02/12 *	05/04/12	3/18/2012	47			1.00%			\$ 1.00	
		\$1,881.00	DL Unsub	02/02/12	02/02/12 *	05/04/12	3/18/2012	47			1.00%			\$ 1.00	
		\$777.00	DL PLUS	02/02/12	02/02/12 *	05/04/12	3/18/2012	47			1.00%			\$ 4.00	
		\$957.00	DL Sub	10/02/11	10/02/11 *	05/04/12	11/16/2011	170			1.00%			\$ 16.00	
		\$3,483.00	DL Unsub	10/02/11	10/02/11 *	05/04/12	11/16/2011	170			1.00%			\$ 3.00	
		\$856.00	DL Sub	10/27/11	10/27/11 *	05/04/12	12/11/2011	145			1.00%			\$ 4.00	
		\$958.00	DL Unsub	10/27/11	10/27/11 *	05/04/12	12/11/2011	145			1.00%			\$ 6.00	
		\$1,355.00	DL PLUS	10/12/11	10/12/11 *	05/04/12	11/26/2011	160			1.00%			\$ -	
		\$814.00	DL Sub	03/26/12	03/26/12 *	05/04/12	5/10/2012	-6			1.00%			\$ -	
		\$995.00	DL Unsub	03/26/12	03/26/12 *	05/04/12	5/10/2012	-6			1.00%			\$ 1.00	
		\$1,995.00	DL PLUS	02/23/12	02/23/12 *	05/04/12	4/8/2012	26			1.00%			\$ 7.00	
		\$1,806.00	DL Unsub	10/26/11	10/26/11 *	05/04/12	12/10/2011	146			1.00%			\$ 1.00	
		\$204.00	DL Sub	10/26/11	10/26/11 *	05/04/12	12/10/2011	146			1.00%			\$ 4.00	
		\$995.00	DL Unsub	10/26/11	10/26/11 *	05/04/12	12/10/2011	146			1.00%			\$ 7.00	
		\$1,742.00	DL Sub	10/20/11	10/20/11 *	05/04/12	12/4/2011	152			1.00%			\$ 4.00	
		\$995.00	DL Unsub	10/20/11	10/20/11 *	05/04/12	12/4/2011	152			1.00%			\$ 8.00	
		\$1,862.00	DL PLUS	10/20/11	10/20/11 *	05/04/12	12/4/2011	152			1.00%			\$ 1.00	
		\$1,298.00	DL Sub	03/05/12	03/05/12 *	05/04/12	4/19/2012	15			1.00%			\$ -	
		\$995.00	DL Unsub	03/05/12	03/05/12 *	05/04/12	4/19/2012	15			1.00%			\$ 1.00	
		\$224.00	DL Sub	10/27/11	10/27/11 *	05/04/12	12/11/2011	145			1.00%			\$ 2.00	
		\$416.00	DL Unsub	10/27/11	10/27/11 *	05/04/12	12/11/2011	145			1.00%			\$ 10.00	
		\$1,983.00	DL Sub	09/27/11	09/27/11 *	05/04/12	11/11/2011	175			1.00%			\$ -	
		\$4,489.00	DL Unsub	03/27/12	03/27/12 *	05/04/12	5/11/2012	-7			1.00%			\$ 2.00	
		\$2,517.00	DL Sub	02/15/12	02/15/12 *	05/04/12	3/31/2012	34			1.00%			\$ -	
		\$498.00	DL Unsub	02/15/12	02/15/12 *	05/04/12	3/31/2012	34			1.00%			\$ -	
		\$1,989.00	DL Unsub	03/27/12	03/27/12 *	05/04/12	5/11/2012	-7			1.00%			\$ 6.00	
		\$1,469.00	DL Sub	10/24/11	10/24/11 *	05/04/12	12/8/2011	148			1.00%			\$ 5.00	
		\$1,254.00	DL Sub	10/05/11	10/26/11	05/04/12	12/10/2011	146			1.00%				

(b)(6); (b)(7)(C)

\$2,641.00	DL Unsub	10/06/11	10/06/11 *	05/04/12	11/20/2011	166	1.00%	\$	12.00
\$6,219.00	DL Unsub	03/27/12	03/27/12 *	05/04/12	5/11/2012	-7	1.00%	\$	-
\$86.00	DL Unsub	10/21/11	11/07/11	05/04/12	12/22/2011	134	1.00%	\$	-
1,831.00	DL Unsub	03/17/12	03/17/12 *	05/04/12	5/1/2012	3	1.00%	\$	-
213.00	DL Sub	09/02/11	09/02/11	05/04/12	10/17/2011	200	1.00%	\$	1.00
1,383.00	DL Unsub	10/19/11	10/19/11 *	05/04/12	12/3/2011	153	1.00%	\$	6.00
995.00	DL Sub	03/17/12	03/20/12 ***	05/04/12	5/4/2012	0	1.00%	\$	-
775.00	DL Unsub	03/17/12	03/20/12 ***	05/04/12	5/4/2012	0	1.00%	\$	-
\$1,206.00	DL Unsub	10/22/11	10/22/11 *	05/04/12	12/6/2011	150	1.00%	\$	5.00
\$2,239.00	DL Sub	02/06/12	02/06/12 *	05/04/12	3/22/2012	43	1.00%	\$	3.00
\$995.00	DL Unsub	02/06/12	02/06/12 *	05/04/12	3/22/2012	43	1.00%	\$	1.00
\$79.00	DL Sub	02/06/12	02/23/12	05/04/12	4/8/2012	26	1.00%	\$	-
\$15.00	DL Unsub	02/06/12	02/23/12	05/04/12	4/8/2012	26	1.00%	\$	-
\$2,239.00	DL Sub	09/09/11	09/09/11 *	05/04/12	10/24/2011	193	1.00%	\$	12.00
\$2,985.00	DL Unsub	09/09/11	09/09/11 *	05/04/12	10/24/2011	193	1.00%	\$	16.00
\$249.00	DL Sub	09/07/11	09/07/11	05/04/12	10/22/2011	195	1.00%	\$	1.00
\$2,411.00	DL Sub	10/07/11	10/07/11 *	05/04/12	11/21/2011	165	1.00%	\$	11.00
\$373.00	DL Unsub	10/07/11	10/07/11 *	05/04/12	11/21/2011	165	1.00%	\$	2.00
\$1,345.00	DL Sub	02/20/12	02/20/12 *	05/04/12	4/5/2012	29	1.00%	\$	1.00
\$3,313.00	DL Unsub	02/20/12	02/20/12 *	05/04/12	4/5/2012	29	1.00%	\$	3.00
\$995.00	DL Unsub	10/28/11	12/05/11	05/04/12	1/19/2012	106	1.00%	\$	3.00
\$1,782.00	DL Unsub	10/28/11	12/05/11	05/04/12	1/19/2012	106	1.00%	\$	5.00
\$1,742.00	DL Sub	02/13/12	02/13/12 *	05/04/12	3/29/2012	36	1.00%	\$	2.00
\$1,374.00	DL Sub	10/27/11	10/27/11 *	05/04/12	12/11/2011	145	1.00%	\$	5.00
\$244.00	DL Unsub	10/27/11	10/27/11 *	05/04/12	12/11/2011	145	1.00%	\$	1.00
\$2,030.00	DL Unsub	03/17/12	03/20/12 ***	05/04/12	5/4/2012	0	1.00%	\$	-
\$1,308.00	DL Unsub	03/17/12	03/20/12 ***	05/04/12	5/4/2012	0	1.00%	\$	-
\$2,090.00	DL Unsub	10/24/11	10/24/11 *	05/04/12	12/8/2011	148	1.00%	\$	8.00
\$73.00	DL Sub	03/09/12	03/09/12 *	05/04/12	4/23/2012	11	1.00%	\$	-
\$2,067.00	DL Unsub	03/09/12	03/09/12 *	05/04/12	4/23/2012	11	1.00%	\$	1.00
\$1,407.00	DL Unsub	10/18/11	10/18/11 *	05/04/12	12/2/2011	154	1.00%	\$	6.00
\$716.00	DL Sub	03/26/12	03/26/12 *	05/04/12	5/10/2012	-6	1.00%	\$	-
\$995.00	DL Unsub	03/26/12	03/26/12 *	05/04/12	5/10/2012	-6	1.00%	\$	-
\$833.00	DL Sub	02/03/12	02/03/12 *	05/04/12	3/19/2012	46	1.00%	\$	1.00
\$1,771.00	DL Unsub	02/03/12	02/03/12 *	05/04/12	3/19/2012	46	1.00%	\$	2.00
\$2,141.00	DL Unsub	10/11/11	10/11/11 *	05/04/12	11/25/2011	161	1.00%	\$	9.00
\$37.00	DL Unsub	10/24/11	10/25/11	05/04/12	12/9/2011	147	1.00%	\$	-
\$563.00	DL Sub	09/07/11	09/07/11	05/04/12	10/22/2011	195	1.00%	\$	3.00
\$1,916.00	DL Unsub	10/28/11	10/28/11	05/04/12	12/12/2011	144	1.00%	\$	8.00
\$759.00	DL Sub	02/01/12	02/01/12	05/04/12	3/17/2012	48	1.00%	\$	1.00
\$2,985.00	DL Unsub	02/01/12	02/01/12	05/04/12	3/17/2012	48	1.00%	\$	4.00
\$1,785.00	DL Unsub	10/28/11	10/28/11 *	05/04/12	12/12/2011	144	1.00%	\$	7.00
\$3,429.00	DL Unsub	02/13/12	02/13/12 *	05/04/12	3/29/2012	36	1.00%	\$	3.00
\$1,564.00	DL Unsub	10/28/11	10/28/11 *	05/04/12	12/12/2011	144	1.00%	\$	6.00
\$2,433.00	DL Unsub	10/10/11	10/10/11 *	05/04/12	11/24/2011	162	1.00%	\$	11.00
\$1,728.00	DL Unsub	10/28/11	10/28/11 *	05/04/12	12/12/2011	144	1.00%	\$	7.00
\$2,245.00	DL Unsub	03/12/12	03/12/12 *	05/04/12	4/26/2012	8	1.00%	\$	-

(b)(6); (b)(7)(C)	\$1,557.00	DL Sub	09/22/11	09/22/11 *	05/04/12	11/6/2011	180	1.00%	\$	8.00
	\$373.00	DL Unsub	09/22/11	09/22/11 *	05/04/12	11/6/2011	180	1.00%	\$	2.00
	\$777.00	DL Sub	09/27/11	09/27/11 *	05/04/12	11/11/2011	175	1.00%	\$	4.00
	\$1,032.00	DL Unsub	09/27/11	09/27/11 *	05/04/12	11/11/2011	175	1.00%	\$	5.00
	\$1,848.00	DL Unsub	10/25/11	10/25/11 *	05/04/12	12/9/2011	147	1.00%	\$	7.00
	\$2,598.00	DL Unsub	02/26/12	02/26/12 *	05/04/12	4/11/2012	23	1.00%	\$	2.00
	\$2,004.00	DL Unsub	10/21/11	10/21/11 *	05/04/12	12/5/2011	151	1.00%	\$	8.00
	\$1,445.00	DL Sub	09/13/11	09/13/11 *	05/04/12	10/28/2011	189	1.00%	\$	7.00
	\$2,985.00	DL Unsub	09/13/11	09/13/11 *	05/04/12	10/28/2011	189	1.00%	\$	15.00
	\$2,330.00	DL Unsub	03/04/12	03/04/12 *	05/04/12	4/18/2012	16	1.00%	\$	1.00
	\$2,172.00	DL Unsub	03/12/12	03/12/12 *	05/04/12	4/26/2012	8	1.00%	\$	-
	\$1,784.00	DL Unsub	10/27/11	10/27/11 *	05/04/12	12/11/2011	145	1.00%	\$	7.00
	\$1,619.00	DL Sub	10/24/11	10/24/11 *	05/04/12	12/8/2011	148	1.00%	\$	7.00
	\$1,616.00	DL Unsub	10/24/11	10/24/11 *	05/04/12	12/8/2011	148	1.00%	\$	7.00
	\$1,728.00	DL Unsub	10/28/11	10/28/11 *	05/04/12	12/12/2011	144	1.00%	\$	7.00
	\$1,722.00	DL Unsub	10/06/11	10/06/11 *	05/04/12	11/20/2011	166	1.00%	\$	8.00
	\$59.00	DL Unsub	10/12/11	10/12/11	05/04/12	11/26/2011	160	1.00%	\$	-

Total Principal \$ 162,905.00

\$ 441.00	\$ -
	\$ 441.00

Total DL Sub	\$ 40,210.00
Total DL Unsub	\$ 116,706.00
Total FFEL Sub	\$ -
Total FFEL Unsub	\$ -
Total PLUS	\$ 5,989.00

\* For date of determination, used same date as withdrawal when the student withdrew online as school was notified of the withdrawal on that date.  
 \*\* For return paid date, used the date of the Program Review Report  
 \*\*\* Student withdrew online March 20, 2012 which was during spring break, used March 17, 2012 for last date of attendance as this was the last day of classes before Spring Break.

**Late or Unmade Returns (Non-Loan) - Cost of Funds**

complete

Name of Institution: Finding 4: 2011/2012 Principal and Interest

Note: the withdrawal date is necessary to determine if an institution has 30 (default) or 45 days to return funds

No.	Description/Name	Return Amount	Program	W/D Date	Institution Det Date	Return Paid Date	Return Due Date	Days Late	Imputed CVFR	Federal Share	To ED	To Inst Accounts
(b)(6); (b)(7)(C)		\$425.00	Pell Grant	09/14/11	3/13/2012	5/4/2012	04/27/12	7	1.00%	\$ 425.00	\$ 0.08	\$ -
		\$825.00	Pell Grant	10/27/11	10/27/2011 *	5/4/2012	12/11/11	145	1.00%	\$ 825.00	\$ 3.28	\$ -
		\$277.00	Pell Grant	10/10/11	10/10/2011 *	5/4/2012	11/24/11	162	1.00%	\$ 277.00	\$ 1.23	\$ -
		\$156.00	Pell Grant	02/06/12	2/6/2012 *	5/4/2012	03/22/12	43	1.00%	\$ 156.00	\$ 0.18	\$ -
		\$1,863.00	Pell Grant	09/09/11	9/9/2011 *	5/4/2012	10/24/11	193	1.00%	\$ 1,863.00	\$ 9.85	\$ -
		\$1,351.00	Pell Grant	02/13/12	2/13/2012 *	5/4/2012	03/29/12	36	1.00%	\$ 1,351.00	\$ 1.33	\$ -
		\$278.00	Pell Grant	09/09/11	9/9/2011	5/4/2012	10/24/11	193	1.00%	\$ 278.00	\$ 1.47	\$ -
		\$350.00	Pell Grant	09/07/11	09/07/11	5/4/2012	10/22/11	195	1.00%	\$ 350.00	\$ 1.87	\$ -
		\$170.00	Pell Grant	02/28/12	02/28/12 *	5/4/2012	04/13/12	21	1.00%	\$ 170.00	\$ 0.10	\$ -

Total Returns	\$ 5,695.00
Total Campus-Based	\$ -
Totals By Program	
Pell Grant	\$ 5,695.00
FSEOG	\$ -
Perkins	\$ -
ACG	\$ -
SMART	\$ -
TEACH	\$ -
FSEOG-No Match	\$ -
Perkins-No Match	\$ -

Totals	\$ 19.39	\$ -
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<b>Interest Breakdown</b>		
Pell Grant	\$ 19.39	\$ -
FSEOG	\$ -	\$ -
Perkins	\$ -	\$ -
ACG	\$ -	\$ -
SMART	\$ -	\$ -
TEACH	\$ -	\$ -
FSEOG-No Match	\$ -	\$ -
Perkins-No Match	\$ -	\$ -

\* For date of determination, used same date as withdrawal when the student withdrew online as school was notified of the withdrawal on that date.  
 \*\* For return paid date, used the date of the Program Review Report

**Late or Unmade Returns (Loans) - Cost of Funds**

Name of Institution: Finding 4: 11/12 Interest Only

*Note: The withdrawal date is necessary to determine if an institution has 30 (default) or 45 days to return funds*

No.	Description/Name	Return Amount	Program	W/D Date	Institution Det Date	Return Paid Date	Return Due Date	Days Late	Days ED	Days Stud	Imputed CVFR	FFEL Interest	Special Allow	To ED	To Student
(b)(6); (b)(7)(C)		\$592.00	DL Sub	02/08/12	02/08/12	07/26/12	3/24/2012	124			1.00%			\$ 2.00	

Total Principal 

\$	592.00
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\$	2.00	\$	-
		\$	2.00

Total DL Sub 

\$	592.00
----	--------

Total DL Unsub 

\$	-
----	---

Total FFEL Sub 

\$	-
----	---

Total FFEL Unsub 

\$	-
----	---

Total PLUS 

\$	-
----	---

Late or Unmade Returns (Loans) - Cost of Funds

Name of Institution: Finding 4: 10/11 (Principal and Interest)

Note: The withdrawal date is necessary to determine if an institution has 30 (default) or 45 days to return funds

No.	Description/Name	Return Amount	Program	WD Date	Institution Det Date	Return Paid	Return Due Date	Days Late	Days ED	Days Stud	Imputed CVFR	FFEL Interest	Special Allow	To ED	To Student
(b)(6); (b)(7)(C)	\$1,742.00	DL Sub		9/27/10	9/27/10 *	5/4/12	11/11/2010	540			1.00%			\$ 26.00	
	\$1,743.00	DL Unsub		9/27/10	9/27/10 *	5/4/12	11/11/2010	540			1.00%			\$ 26.00	
	\$2,343.00	DL Sub		10/19/10	10/28/10	5/4/12	12/12/2010	509			1.00%			\$ 33.00	
	\$647.00	DL Sub		10/18/10	10/18/10	5/4/12	12/2/2010	519			1.00%			\$ 9.00	
	\$1,462.00	DL Unsub		10/18/10	10/18/10	5/4/12	12/2/2010	519			1.00%			\$ 21.00	
	\$1,803.00	DL Unsub		10/28/10	10/28/10	5/4/12	12/10/2010	511			1.00%			\$ 25.00	
	\$2,239.00	DL Sub		9/18/10	9/18/10 *	5/4/12	11/2/2010	549			1.00%			\$ 34.00	
	\$2,985.00	DL Unsub		9/18/10	9/18/10 *	5/4/12	11/2/2010	549			1.00%			\$ 45.00	
	\$1,995.00	DL Unsub		2/27/11	2/27/11	5/4/12	4/13/2011	387			1.00%			\$ 21.00	
	\$2,239.00	DL Sub		2/10/11	2/10/11 *	5/4/12	3/27/2011	404			1.00%			\$ 25.00	
	\$995.00	DL Unsub		2/10/11	2/10/11 *	5/4/12	3/27/2011	404			1.00%			\$ 11.00	
	\$699.00	DL Sub		3/28/11	3/28/11 *	5/4/12	5/12/2011	358			1.00%			\$ 7.00	
	\$1,042.00	DL Unsub		3/28/11	3/28/11 *	5/4/12	5/12/2011	358			1.00%			\$ 10.00	
	\$463.00	DL PLUS		3/28/11	3/28/11 *	5/4/12	5/12/2011	358			1.00%			\$ 5.00	
	\$2,649.00	DL Unsub		2/15/11	2/15/11 *	5/4/12	4/1/2011	399			1.00%			\$ 29.00	
	\$1,934.00	DL Unsub		2/16/11	2/16/11 *	5/4/12	4/2/2011	398			1.00%			\$ 21.00	
	\$961.00	DL Sub		2/15/11	2/15/11 *	5/4/12	4/1/2011	399			1.00%			\$ 11.00	
	\$995.00	DL Unsub		2/15/11	2/15/11 *	5/4/12	4/1/2011	399			1.00%			\$ 11.00	
	\$2,343.00	DL Unsub		3/10/11	3/10/11 *	5/4/12	4/24/2011	376			1.00%			\$ 24.00	
	\$1,742.00	DL Sub		10/12/10	1/18/11 ***	5/4/12	3/2/2011	429			1.00%			\$ 20.00	
	\$1,323.00	DL Unsub		10/19/10	10/19/10	5/4/12	12/3/2010	518			1.00%			\$ 18.00	
	\$1,753.00	DL Sub		10/23/10	10/23/10 *	5/4/12	12/7/2010	514			1.00%			\$ 25.00	
	\$1,374.00	DL Unsub		3/30/11	6/20/11 ***	5/4/12	8/4/2011	274			1.00%			\$ 10.00	
	\$1,742.00	DL Sub		9/22/10	9/22/10 *	5/4/12	11/8/2010	545			1.00%			\$ 26.00	
	\$995.00	DL Unsub		9/22/10	9/22/10 *	5/4/12	11/8/2010	545			1.00%			\$ 15.00	
	\$1,130.00	DL Sub		10/26/10	10/25/10 *	5/4/12	12/9/2010	512			1.00%			\$ 16.00	
	\$2,007.00	DL Sub		3/14/11	3/14/11 *	5/4/12	4/28/2011	372			1.00%			\$ 20.00	
	\$1,900.00	DL Unsub		3/31/11	3/31/11 *	5/4/12	5/15/2011	355			1.00%			\$ 18.00	
	\$1,742.00	DL Sub		9/29/10	1/16/11 ***	5/4/12	3/2/2011	429			1.00%			\$ 20.00	
	\$995.00	DL Unsub		9/29/10	1/16/11 ***	5/4/12	3/2/2011	429			1.00%			\$ 12.00	
	\$2,851.00	DL Sub		9/30/10	10/25/10	5/4/12	12/9/2010	512			1.00%			\$ 40.00	
	\$249.00	DL Unsub		9/30/10	10/25/10	5/4/12	12/9/2010	512			1.00%			\$ 3.00	
	\$686.00	DL Sub		3/1/11	3/1/11 *	5/4/12	4/15/2011	385			1.00%			\$ 7.00	
	\$1,532.00	DL Unsub		3/1/11	3/1/11 *	5/4/12	4/15/2011	385			1.00%			\$ 16.00	
	\$2,157.00	DL Unsub		3/15/11	3/15/11 *	5/4/12	4/29/2011	371			1.00%			\$ 22.00	
	\$1,130.00	DL Unsub		10/25/10	1/18/11 ***	5/4/12	3/2/2011	429			1.00%			\$ 13.00	
	\$1,831.00	DL Sub		4/1/11	4/1/11 *	5/4/12	5/16/2011	354			1.00%			\$ 16.00	
	\$1,742.00	DL Sub		2/24/11	3/12/11	5/4/12	4/26/2011	374			1.00%			\$ 18.00	
	\$528.00	DL PLUS		2/24/11	3/12/11	5/4/12	4/26/2011	374			1.00%			\$ 5.00	
	\$2,842.00	DL Sub		10/4/10	10/4/10 *	5/4/12	11/18/2010	533			1.00%			\$ 42.00	
	\$2,013.00	DL Unsub		3/19/11	3/19/11	5/4/12	5/3/2011	367			1.00%			\$ 20.00	
	\$1,364.00	DL Sub		10/12/10	10/12/10 *	5/4/12	11/26/2010	525			1.00%			\$ 20.00	
	\$995.00	DL Unsub		10/12/10	10/12/10 *	5/4/12	11/26/2010	525			1.00%			\$ 14.00	
	\$2,892.00	DL Unsub		10/5/10	10/5/10 *	5/4/12	11/19/2010	532			1.00%			\$ 39.00	
	\$2,254.00	DL Unsub		3/1/11	3/1/11	5/4/12	4/15/2011	385			1.00%			\$ 24.00	
\$1,742.00	DL Sub		9/22/10	9/22/10	5/4/12	11/8/2010	545			1.00%			\$ 26.00		
\$995.00	DL Unsub		9/22/10	9/22/10	5/4/12	11/8/2010	545			1.00%			\$ 15.00		
\$163.00	DL Sub		2/15/11	2/15/11 *	5/4/12	4/1/2011	399			1.00%			\$ 2.00		
\$3,021.00	DL Unsub		2/15/11	2/15/11 *	5/4/12	4/1/2011	399			1.00%			\$ 33.00		
\$728.00	DL Sub		10/21/10	10/21/10 *	5/4/12	12/5/2010	516			1.00%			\$ 10.00		

(b)(6); (b)(7)(C)

2,985.00	DL Unsub	10/21/10	10/21/10 *	5/4/12	12/5/2010	516	1.00%	\$	42.00
2,234.00	DL Unsub	3/13/11	3/13/11 *	5/4/12	4/27/2011	373	1.00%	\$	23.00
1,972.00	DL Unsub	3/19/11	3/19/11 *	5/4/12	5/3/2011	367	1.00%	\$	20.00
651.00	DL Sub	4/6/11	4/6/11 *	5/4/12	5/21/2011	349	1.00%	\$	6.00
995.00	DL Unsub	4/6/11	4/6/11 *	5/4/12	5/21/2011	349	1.00%	\$	10.00
\$1,548.00	DL Sub	10/11/10	10/11/10 *	5/4/12	11/25/2010	526	1.00%	\$	22.00
\$995.00	DL Unsub	10/11/10	10/11/10 *	5/4/12	11/25/2010	526	1.00%	\$	14.00
\$1,904.00	DL Unsub	3/29/11	3/29/11 *	5/4/12	5/13/2011	357	1.00%	\$	19.00
\$1,351.00	DL Sub	10/14/10	1/16/11 ***	5/4/12	3/2/2011	429	1.00%	\$	16.00
\$1,558.00	DL Sub	2/23/11	2/23/11 *	5/4/12	4/9/2011	391	1.00%	\$	17.00
\$1,399.00	DL Unsub	2/23/11	2/23/11 *	5/4/12	4/9/2011	391	1.00%	\$	15.00
1,042.00	DL Sub	3/29/11	6/12/11 *	5/4/12	7/27/2011	282	1.00%	\$	8.00
2,761.00	DL Sub	10/8/10	10/8/10 *	5/4/12	11/22/2010	529	1.00%	\$	40.00
1,827.00	DL Unsub	4/1/11	4/1/11 *	5/4/12	5/16/2011	354	1.00%	\$	18.00
2,306.00	DL Unsub	3/11/11	3/11/11 *	5/4/12	4/25/2011	375	1.00%	\$	24.00
\$541.00	DL Sub	10/21/10	10/21/10 *	5/4/12	12/5/2010	516	1.00%	\$	8.00
\$1,648.00	DL Unsub	4/8/11	4/6/11 *	5/4/12	5/21/2011	349	1.00%	\$	16.00
\$1,224.00	DL Unsub	10/21/10	10/21/10 *	5/4/12	12/5/2010	516	1.00%	\$	17.00
\$1,407.00	DL Unsub	10/19/10	10/19/10 *	5/4/12	12/3/2010	518	1.00%	\$	20.00
\$2,006.00	DL Unsub	10/25/10	10/25/10 *	5/4/12	12/9/2010	512	1.00%	\$	28.00
2,568.00	DL Unsub	10/6/10	10/6/10 *	5/4/12	11/20/2010	531	1.00%	\$	37.00
1,962.00	DL Sub	9/28/10	9/28/10 *	5/4/12	11/12/2010	539	1.00%	\$	29.00
1,224.00	DL Unsub	9/28/10	9/28/10 *	5/4/12	11/12/2010	539	1.00%	\$	18.00
242.00	DL Unsub	9/14/10	9/14/10 *	5/4/12	10/29/2010	553	1.00%	\$	4.00
1,715.00	DL Unsub	10/25/10	10/25/10 *	5/4/12	12/9/2010	512	1.00%	\$	33.00
2,349.00	DL Unsub	3/11/11	3/11/11 *	5/4/12	4/25/2011	375	1.00%	\$	24.00
127.00	DL Sub	3/30/11	3/30/11 *	5/4/12	5/14/2011	356	1.00%	\$	1.00
\$1,730.00	DL Sub	10/6/10	10/6/10 *	5/4/12	11/20/2010	531	1.00%	\$	25.00
\$960.00	DL Unsub	10/6/10	10/6/10 *	5/4/12	11/20/2010	531	1.00%	\$	13.00
\$2,598.00	DL Unsub	4/1/11	4/1/11 *	5/4/12	5/16/2011	354	1.00%	\$	25.00
\$796.00	DL Sub	4/1/11	4/1/11 *	5/4/12	5/16/2011	354	1.00%	\$	8.00
\$995.00	DL Unsub	4/1/11	4/1/11 *	5/4/12	5/16/2011	354	1.00%	\$	10.00
2,025.00	DL Unsub	9/17/10	9/17/10 *	5/4/12	11/1/2010	550	1.00%	\$	31.00
1,992.00	DL Unsub	10/21/10	10/21/10 *	5/4/12	12/5/2010	516	1.00%	\$	26.00
334.00	DL Sub	2/22/11	2/22/11 *	5/4/12	4/8/2011	392	1.00%	\$	4.00
1,261.00	DL Unsub	2/22/11	2/22/11 *	5/4/12	4/8/2011	392	1.00%	\$	14.00
3,483.00	DL Unsub	2/11/11	2/11/11 *	5/4/12	3/28/2011	403	1.00%	\$	38.00
677.00	DL Sub	4/7/11	4/7/11 *	5/4/12	5/22/2011	348	1.00%	\$	6.00
933.00	DL Unsub	4/7/11	4/7/11 *	5/4/12	5/22/2011	348	1.00%	\$	9.00
1,725.00	DL Unsub	10/28/10	10/28/10 *	5/4/12	12/12/2010	509	1.00%	\$	24.00
1,742.00	DL Sub	9/29/10	9/29/10 *	5/4/12	11/13/2010	538	1.00%	\$	26.00
\$810.00	DL Unsub	9/29/10	9/29/10 *	5/4/12	11/13/2010	538	1.00%	\$	12.00
\$3,068.00	DL Sub	9/10/10	9/10/10 *	5/4/12	10/25/2010	557	1.00%	\$	47.00
\$5,970.00	DL Unsub	9/10/10	9/10/10 *	5/4/12	10/25/2010	557	1.00%	\$	91.00
\$4,478.00	DL Unsub	2/14/11	2/14/11 *	5/4/12	3/31/2011	400	1.00%	\$	49.00
\$2,428.00	DL Unsub	10/18/10	10/18/10 *	5/4/12	12/2/2010	519	1.00%	\$	35.00
2,173.00	DL Unsub	10/17/10	10/17/10 *	5/4/12	12/1/2010	520	1.00%	\$	31.00
2,049.00	DL Sub	10/13/10	10/13/10 *	5/4/12	11/27/2010	524	1.00%	\$	29.00
498.00	DL Unsub	10/13/10	10/13/10 *	5/4/12	11/27/2010	524	1.00%	\$	7.00
830.00	DL Sub	4/1/11	4/1/11 *	5/4/12	5/16/2011	354	1.00%	\$	8.00
995.00	DL Unsub	4/1/11	4/1/11 *	5/4/12	5/16/2011	354	1.00%	\$	10.00
250.00	DL Sub	3/31/11	3/31/11 *	5/4/12	5/15/2011	355	1.00%	\$	2.00
995.00	DL Unsub	3/31/11	3/31/11 *	5/4/12	5/15/2011	355	1.00%	\$	10.00
2,013.00	DL Sub	3/28/11	3/28/11 *	5/4/12	5/12/2011	358	1.00%	\$	20.00
2,059.00	DL Unsub	10/18/10	10/18/10 *	5/4/12	12/2/2010	519	1.00%	\$	29.00
1,879.00	DL Sub	9/28/10	9/28/10 *	5/4/12	11/12/2010	539	1.00%	\$	28.00
\$995.00	DL Unsub	9/28/10	9/28/10 *	5/4/12	11/12/2010	539	1.00%	\$	15.00

(b)(6); (b)(7)(C)	\$2,149.00	DL Unsub	9/15/10	1/16/11 ***	5/4/12	3/2/2011	429	1.00%	\$	25.00
	\$2,088.00	DL Sub	2/28/11	2/28/11	5/4/12	4/14/2011	366	1.00%	\$	22.00
	\$1,934.00	DL Sub	2/16/11	2/16/11	5/4/12	4/2/2011	398	1.00%	\$	21.00
	\$2,042.00	DL Unsub	10/20/10	10/20/10	5/4/12	12/4/2010	517	1.00%	\$	29.00
	\$1,593.00	DL Unsub	3/10/11	3/10/11	5/4/12	4/24/2011	376	1.00%	\$	18.00
	1,992.00	DL Unsub	10/21/10	10/21/10 *	5/4/12	12/5/2010	516	1.00%	\$	28.00
	2,222.00	DL Unsub	10/15/10	10/15/10	5/4/12	11/29/2010	522	1.00%	\$	32.00
	2,926.00	DL Unsub	2/22/11	2/22/11 *	5/4/12	4/8/2011	392	1.00%	\$	31.00
	2,363.00	DL Unsub	4/6/11	4/6/11 *	5/4/12	5/21/2011	349	1.00%	\$	23.00
	1,807.00	DL Unsub	4/1/11	4/1/11 *	5/4/12	5/16/2011	354	1.00%	\$	18.00
	\$2,050.00	DL Sub	2/11/11	2/11/11 *	5/4/12	3/28/2011	403	1.00%	\$	23.00
	\$995.00	DL Unsub	2/11/11	2/11/11 *	5/4/12	3/28/2011	403	1.00%	\$	11.00
	\$2,737.00	DL Sub	10/11/10	10/11/10 *	5/4/12	11/25/2010	526	1.00%	\$	39.00
	\$995.00	DL Unsub	10/11/10	10/11/10 *	5/4/12	11/25/2010	526	1.00%	\$	14.00
	710.00	DL Sub	3/31/11	3/31/11 *	5/4/12	5/15/2011	355	1.00%	\$	7.00
	995.00	DL Unsub	3/31/11	3/31/11 *	5/4/12	5/15/2011	355	1.00%	\$	10.00
	1,732.00	DL Sub	3/31/11	3/31/11 *	5/4/12	5/15/2011	355	1.00%	\$	17.00

Total Principal \$ 205,995.00

\$ 2,561.00 \$  
\$ 2,561.00

Total DL Sub \$ 73,093.00  
 Total DL Unsub \$ 131,911.00  
 Total FFEL Sub \$ -  
 Total FFEL Unsub \$ -  
 Total PLUS \$ 991.00

\* For students whose date of determination was after the program review report was issued, used the same date as withdrawal when the students withdrew online as the school was notified of the withdrawal on that date.  
 \*\* for return paid date, used the date of the Program Review Report  
 \*\*\* For date of determination, used 30 days after the end of the payment period

Late or Unmade Returns (Non-Loan) - Cost of Funds

complete

Name of Institution: Finding 4: 10/11 (Principal and Interest)

Note: the withdrawal date is necessary to determine if an institution has 30 (default) or 45 days to return funds

No.	Description/Name	Return Amount	Program	W/D Date	Institution Det Date	Return Paid Date**	Return Due Date	Days Late	Imputed CVFR	Federal Share	To ED	To Inst Accounts
(b)(6); (b)(7(C))		\$800.00	Pell Grant	9/27/10	9/27/10 *	5/4/12	11/11/10	540	1.00%	\$ 600.00	\$ 8.88	\$ -
		\$1,247.00	Pell Grant	9/18/10	9/18/10 *	5/4/12	11/02/10	549	1.00%	\$ 1,247.00	\$ 18.78	\$ -
		\$131.00	Pell Grant	2/10/11	2/10/11 *	5/4/12	03/27/11	404	1.00%	\$ 131.00	\$ 1.45	\$ -
		\$444.00	Perkins	10/12/10	1/16/11 ***	5/4/12	03/02/11	429	1.00%	\$ 333.00	\$ -	\$ 3.91
		\$1,216.00	Pell Grant	9/11/10	9/11/10 *	5/4/12	10/26/10	556	1.00%	\$ 1,216.00	\$ 18.53	\$ -
		\$163.00	Pell Grant	10/23/10	10/23/10 *	5/4/12	12/07/10	514	1.00%	\$ 163.00	\$ 2.30	\$ -
		\$395.00	Pell Grant	9/22/10	9/22/10 *	5/4/12	11/06/10	545	1.00%	\$ 395.00	\$ 5.90	\$ -
		\$382.00	Pell Grant	9/29/10	9/29/10 *	5/4/12	11/13/10	538	1.00%	\$ 382.00	\$ 6.63	\$ -
		\$1,185.00	Pell Grant	4/4/11	4/4/11	5/4/12	05/19/11	351	1.00%	\$ 1,185.00	\$ 11.40	\$ -
		\$48.00	Pell Grant	8/30/10	9/7/10	5/4/12	10/22/10	580	1.00%	\$ 48.00	\$ 0.74	\$ -
		\$484.00	Pell Grant	9/22/10	9/22/10 *	5/4/12	11/06/10	545	1.00%	\$ 484.00	\$ 7.23	\$ -
		\$414.00	Pell Grant	10/21/10	10/21/10 *	5/4/12	12/05/10	516	1.00%	\$ 414.00	\$ 5.85	\$ -
		\$207.00	Pell Grant	10/12/10	10/12/10 *	5/4/12	11/26/10	525	1.00%	\$ 207.00	\$ 2.98	\$ -
		\$298.00	Pell Grant	2/10/11	2/10/11	5/4/12	03/27/11	404	1.00%	\$ 298.00	\$ 3.30	\$ -
		2,366.00	Perkins	9/27/10	9/27/10	5/4/12	11/11/10	540	1.00%	\$ 1,774.50	\$ -	\$ 26.26
		\$549.00	Pell Grant	9/27/10	9/27/10	5/4/12	11/11/10	540	1.00%	\$ 549.00	\$ 8.12	\$ -
		\$1,928.00	Pell Grant	9/28/10	9/28/10	5/4/12	11/12/10	539	1.00%	\$ 1,928.00	\$ 28.47	\$ -
		\$1,217.00	Pell Grant	2/11/11	2/11/11 *	5/4/12	03/28/11	403	1.00%	\$ 1,217.00	\$ 13.44	\$ -
		\$2,750.00	Perkins	2/11/11	2/11/11 *	5/4/12	03/28/11	403	1.00%	\$ 2,082.50	\$ -	\$ 22.77
		\$286.00	Pell Grant	9/29/10	9/29/10	5/4/12	11/13/10	538	1.00%	\$ 286.00	\$ 4.22	\$ -
		\$1,580.00	Pell Grant	10/14/10	10/14/10 *	5/4/12	11/28/10	523	1.00%	\$ 1,560.00	\$ 22.36	\$ -
		\$138.00	Pell Grant	2/14/11	2/14/11 *	5/4/12	03/31/11	400	1.00%	\$ 138.00	\$ 1.51	\$ -
		\$2,750.00	Perkins	2/14/11	2/14/11 *	5/4/12	03/31/11	400	1.00%	\$ 2,082.50	\$ -	\$ 22.81
		\$1,354.00	Pell Grant	9/24/10	9/24/10	5/4/12	11/08/10	543	1.00%	\$ 1,354.00	\$ 20.15	\$ -
		\$260.00	Pell Grant	9/9/10	9/9/10	5/4/12	10/24/10	558	1.00%	\$ 260.00	\$ 3.98	\$ -
		\$1,185.00	Pell Grant	4/4/11	4/4/11	5/4/12	05/19/11	351	1.00%	\$ 1,185.00	\$ 11.40	\$ -
		\$177.00	Pell Grant	10/21/10	10/21/10	5/4/12	12/05/10	516	1.00%	\$ 177.00	\$ 2.50	\$ -
		\$2,775.00	Pell Grant	9/23/10	9/23/10	5/4/12	11/07/10	544	1.00%	\$ 2,775.00	\$ 41.38	\$ -
		\$292.00	SMART	9/23/10	9/23/10	5/4/12	11/07/10	544	1.00%	\$ 292.00	\$ 4.35	\$ -
		\$299.00	Pell Grant	2/8/11	2/8/11 *	5/4/12	03/25/11	406	1.00%	\$ 299.00	\$ 3.33	\$ -
		\$165.00	Pell Grant	9/3/10	9/3/10	5/4/12	10/18/10	564	1.00%	\$ 165.00	\$ 2.55	\$ -
		\$60.00	Pell Grant	10/11/10	10/11/10 *	5/4/12	11/25/10	526	1.00%	\$ 60.00	\$ 0.88	\$ -

Total Returns	\$ 27,325.00
Total Campus-Based	\$ 8,310.00
Totals By Program	
Pell Grant	\$ 18,723.00
FSEOG	\$ -
Perkins	\$ 8,310.00
ACG	\$ -
SMART	\$ 292.00
TEACH	\$ -
FSEOG-No Match	\$ -
Perkins-No Match	\$ -

Totals \$ 281.55 \$ 75.55

Interest Breakdown	
Pell Grant	\$ 257.20 \$ -
FSEOG	\$ - \$ -
Perkins	\$ - \$ 75.55
ACG	\$ - \$ -
SMART	\$ 4.35 \$ -
TEACH	\$ - \$ -
FSEOG-No Match	\$ - \$ -
Perkins-No Match	\$ - \$ -

\* For students whose date of determination was after the program review report was issued, used the same date as withdrawal when the students withdrew online as the school was notified of the withdrawal on that date.  
 \*\* for return paid date, used the date of the Program Review Report  
 \*\*\* For date of determination, used 30 days after the end of the payment period

**Late or Unmade Returns (Loans) - Cost of Funds**

Name of Institution: Finding 4: 10/11 Withdrawals, interest only

*Note: The withdrawal date is necessary to determine if an institution has 30 (default) or 45 days to return funds*

No.	Description/Name	Return Amount	Program	W/D Date	Institution Det Date	Return Paid Date	Return Due Date	Days Late	Days ED	Days Stud	Imputed CVFR	FFEL Interest	Special Allow	To ED	To Student
(b)(6); (b)(7(C))		\$4,229.00	DL Sub	03/04/11	03/04/11	08/02/12	4/18/2011	472			1.00%			\$ 55.00	
		\$5,970.00	DL Unsub	03/04/11	03/04/11	08/02/12	4/18/2011	472			1.00%			\$ 77.00	
		2,091.00	DL Unsub	09/24/10	09/24/10	08/12/11	11/8/2010	277			1.00%			\$ 16.00	

Total Principal **\$ 12,290.00**

**\$ 148.00**      **\$ -**  
**\$ 148.00**

Total DL Sub **\$ 4,229.00**

Total DL Unsub **\$ 8,061.00**

Total FFEL Sub **\$ -**

Total FFEL Unsub **\$ -**

Total PLUS **\$ -**

Final Program Review Determination  
PRCN #: 201220227806

Appendix H

Cost of Funds: Fedwire

**FEDWIRE Form**

DEPARTMENT OF EDUCATION FED-WIRE EFT MESSAGE FORMAT & INSTRUCTIONS		
ABA Number 021030004	Type/Sub-Type	
Sender No.:	Sender Ref. No.	Amount <span style="font-size: 1.5em;">①</span>
Sender Name (Automatically inserted by the Federal Reserve Bank)		
Treasury Department Name/CTR/ TREAS NYC / CTR /		
BNF=ED / AC - 91020001 OBI=		
Name / City / State: <span style="font-size: 1.5em;">②</span>		
DUNS / TIN: <span style="font-size: 1.5em;">③</span>		
FOR: <span style="font-size: 1.5em;">④</span>		
<b>INSTRUCTIONS</b>		
A. Complete circled items 1-4 above as follows:		
<span style="font-size: 1.5em;">①</span> Indicate amount including cents digits.		
<span style="font-size: 1.5em;">②</span> Indicate Name, City, and State.		
<span style="font-size: 1.5em;">③</span> Indicate DUNS Number and Taxpayer Identification Number (TIN).		
<span style="font-size: 1.5em;">④</span> Enter the reason for the remittance: Bill Number / Document Number / Other.		
B. Provide the sending bank with a copy of the completed form. This form contains other information the bank will need to transmit the FEDWIRE message.		

**Accounting Document – Prior Year Monetary Recovery (AD-PYMR)**

**Institution:** Southern Connecticut State University

**City, State:** New Haven, CT

**PRCN:** 220227806

**TIN:** 061363115

**DUNS:** 145900825

**Reviewer:** Shari Mecca

**Region:** NY/Boston **Date:** 8/7/2013

**Section A - Use if no adjustments are being made in COD**

Programs	Type	Amount	Funding Code	Object Class
FSEOG (No FISAP Corrections)	Principal	\$1,875.00	3220RNOYR	69017
	Interest	\$10.00	1435RNOYR	64020

**Section B: Use if the Institution is instructed to make adjustments in COD**

Add rows if necessary			Amount			G5 Program Award # *
Pell, ACG, SMART, TEACH	Pell 11-12	Principal	\$61,812.00	3875FNOYR	69020	P063P111225
	Pell 11-12	Imputed Interest	\$253.00	1435RNOYR	64020	N/A
	Pell 10-11	Principal	\$99,675.00	3875FNOYR	69020	P063P101225
	Pell 10-11	Imputed Interest	\$1,400.00	1435RNOYR	64020	N/A
	ACG 10-11	Principal	\$375.00	3875FNOYR	69020	P375A101225
	ACG 10-11	Imputed Interest	\$4.00	1435RNOYR	64020	N/A
	National SMART 10-11	Principal	\$2,292.00	3875FNOYR	69020	P376S101225
	National SMART 10-11	Imputed Interest	\$37.00	1435RNOYR	64020	N/A
Direct Loan (do not use for estimated loss)	11-12	Principal	\$346,174.00	3875FNOYR	69020	P268K121225
	11-12	Imputed Interest	\$1,205.00	4253XNOYR	53040	N/A
	10-11	Principal	\$401,030.00	3875FNOYR	69020	P268K111225
	10-11	Imputed Interest	\$5,529.00	4253XNOYR	53040	N/A

**Comments:** Federal Perkins principal of \$8,310.00 and interest of \$75.00 is going to the institutional Perkins account.

G5 Award # (P063P104306, first 5 digits = program, next 2 digits = award year, next 4 digits = code unique to school). Note: It is important that the year in the G5 award number correspond to the award year for which any adjustments are being made, or for which the balance has been incurred (in the case of an unsubstantiated cash or negative cash balance). If the reviewer is unsure after looking in G5, contact Susan Lewey for Pell or DL, or Tremia Haythe for FWS or FSEOG.

Example of G5 award numbers for a single school for the 2009-2010 award year, Pell vs. DL:

Pell is designated by "P063P" and DL is designated by "P268K"

P063P091445 (Pell 2009-2010 award - Pell uses the final two digits of the leading award year in the award number)

P268K101445 (Direct Loan 2009-2010 award - DL uses the final two digits of the trailing award year in the award number)

Example of G5 award number for FWS and FSEOG

FWS is designated by "P033A" and FSEOG is designated by "P007A"

P033A092121 (FWS 2009-2010 award - FWS uses the final two digits of the leading award year in the award number)

P007A092121 (FSEOG 2009-2010 award - FSEOG uses the final two digits of the leading award year in the award number)

See FPRD Distribution Form for distribution information for this form and related program review documents.